Paper No. \_\_\_\_ Date Filed: Oct. 22, 2015

### UNITED STATES PATENT AND TRADEMARK OFFICE

### **BEFORE THE PATENT TRIAL AND APPEAL BOARD**

ETHICON, INC.

Petitioner

v.

ENDOEVOLUTION, LLC

Patent Owner

Case IPR: Unassigned

Patent No. 6,923,819

<u>Title</u>: Apparatus and Method for Surgical Suturing with Thread Management

PETITION FOR *INTER PARTES* REVIEW OF UNITED STATES PATENT NO. 6,923,819

# TABLE OF CONTENTS

I.	IN7	rod	UCTION	.1
II.			RY OF THE '819 PATENT	
	A.		819 Patent	
	B.		ecution History of the '819 Patent	
	C. D.		Purported Improvements of the '819 Patent Over the Prior Art Challenged Claims of the '819 Patent	
III.	CL	AIM (	CONSTRUCTION	.6
	A.	Leve	l of Ordinary Skill in the Art	.6
			s to be Construed Under 37 C.F.R. § 42.100(b)	
		1.	"positioned within the protective housing" (all Challenged Claims	
		2.	"deactivating the actuator to cause" (claims 40 and 51)	.9
		3.	"cartridge holder assembly" (all Challenged Claims)1	
IV.	CH	ALLE	ENGE UNDER § 42.104(b) AND RELIEF REQUESTED1	3
V.	NO	RED	UNDANCY1	5
VI.	EA	CH C	HALLENGED CLAIM OF THE '819 PATENT IS	
			Intable	6
	A.	The I	Prior Art References1	6
	B.	Detai	led Explanation of Grounds of Unpatentability1	7
		1.	Ground 1: Meade Anticipates Claims 1, 2, 6-10, 16, 17, and 25-3	1
		_		.7
		2.	Ground 2: Under an Alternative Construction of the Term	
			"positioned within the protective housing," Meade in View of	_
		•	Beurrier Renders Obvious Claims 1, 2, 6-10, 16, 17, and 25-313	
		3.	Ground 3: Meade in View of Chironis Renders Obvious Claim 15	
		4.	Ground 4: Under an Alternative Construction of the Term	0
		4.	<i>"positioned within the protective housing,"</i> Meade in View of	
			Chironis in View of Beurrier Renders Obvious Claim 15	1
		5.	Ground 5: Andersson in View of Taylor in View of Beurrier	.1
		5.	Renders Obvious Claims 40 and 51	1
		6.	Ground 6: Under an Alternative Construction of the Term	•
			"positioned within the protective housing," Andersson in View of	2
			Taylor in View of Beurrier Renders Obvious Claims 40 and 515	

	7.	Ground 7: Under an Alternative Construction of the Terr	
		"deactivating the actuator to cause," Meade Anticipa	
	8.	40, 46-52, and 59-62 Ground 8: Under Alternative Constructions of the Terms	
	0.	"positioned within the protective housing" and "deactiva	ting the
		actuator to cause," Meade in View of Beurrier Render Obvious Claims 40, 46-52, and 59-62	
VII.	SECONE	DARY CONSIDERATIONS	56
VIII.	MANDA	TORY NOTICES UNDER 37 C.F.R § 42.8(a)(1)	57
	A. Real	Party-In-Interest Under 37 C.F.R. § 42.8(b)(1)	57
	B. Relat	ted Matters Under 37 C.F.R. § 42.8(b)(2)	57
	C. Lead	and Back-Up Counsel and Service Information	57
IX.	GROUN	DS FOR STANDING UNDER § 42.104(a)	58
X.	PAYME	NT OF FEES – 37 C.F.R. § 42.103	58
XI.	CONCLU	JSION	58

# LIST OF EXHIBITS

Exhibit No.	Description
Ex. 1001	U.S. Patent Number 6,168,819 (the "'819 Patent")
Ex. 1002	Excerpts from the Prosecution History of Application No. 10/127,254 (the "254 Application") that led to the '819 Patent
Ex. 1003	Declaration of Kevin L. Houser, M.S.
Ex. 1004	U.S. Patent Number 5,437,681 ("Meade")
Ex. 1005	U.S. Patent Number 5,306,281 ("Beurrier")
Ex. 1006	U.S. Patent Number 4,557,265 ("Andersson")
Ex. 1007	U.S. Patent Number 6,053,908 ("Crainich")
Ex. 1008	U.S. Patent Number 5,911,727 ("Taylor")
Ex. 1009	Mechanisms, Linkages, and Mechanical Control, Nicholas P. Chironis, Ed. (1965) ("Chironis")
Ex. 1010	Webster's New Universal Unabridged Dictionary, 1972

Petitioner Ethicon, Inc. respectfully requests *inter partes* review ("IPR") of claims 1, 2, 6-10, 15-17, 25-31, 40, 46-52, and 59-62 (the "Challenged Claims") of U.S. Patent No. 6,923,819 (the "819 Patent") (**Ex. 1001**), pursuant to 35 U.S.C. §§ 311-319 and 37 C.F.R. § 42.100 *et seq*.

#### I. INTRODUCTION

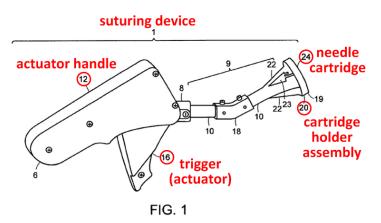
The Challenged Claims of the '819 Patent are directed to a suturing device that was disclosed more than two decades ago in U.S. Patent No. 5,437,681 (Ex. 1004, "Meade"). Although cited on the face of the '819 Patent—and in fact discussed in its background section—Meade was never substantively considered during prosecution of the '819 Patent. As explained in this petition, Meade anticipates the vast majority of the Challenged Claims and renders obvious the remaining ones. The fact that the Challenged Claims are identically disclosed in Meade is not surprising considering that both patents share common inventors and were both originally assigned to the same company (SuturTek Inc.). Undoubtedly, had Meade been considered during prosecution of the '819 Patent, the Challenged Claims would have never been issued. Their existence amounts to an impermissible extension of exclusionary rights that should have ended on January 13, 2014 the day that Meade expired. Petitioner submits that this petition establishes by a preponderance of the evidence that the Challenged Claims are unpatentable and respectfully requests a ruling from the Board to that effect.

#### II. SUMMARY OF THE '819 PATENT

#### A. The '819 Patent

The '819 Patent discloses "an apparatus and a method for surgical suturing." **Ex. 1001**, Abstract. As will be explained in detail below, the Challenged Claims generally recite a suturing device and a method of suturing tissue. The claimed *su*-

*turing device (1)* of the '819 Patent generally comprises an *actuator* (trigger, 16), a *cartridge holder assembly (20)* that holds a disposable *needle cartridge (24)*,



that hand to activate a triggering lever." *Id.*, 4:42-46. Furthermore, "[a] continued rotatory movement of the needle causes it to return it to its original 'home' position, and thereby causes the suturing thread attached to the needle to be pulled into and through the tissue . . . ." *Id.*, 4:24-29. According to the '819 Patent, "the suture follows the curved path of the needle to bind the tissues together with a stitch of thread across the incision in a manner identical to that of a surgeon suturing manually, wherein the needle is 'pushed' from the tail and then 'pulled' from the point by the drive mechanism." *Id.*, 4:30-34.

As explained in detail below, none of these components (or their combination) were new at the time of the alleged invention. Nearly six years before the earliest priority date of the '819 Patent, the same lead inventor and assignee of the '819 Patent had obtained U.S. Patent No. 5,437,681 (**Ex. 1004**, "Meade"). Meade, which was not substantively considered during prosecution, disclosed these elements in the same combination.

#### **B.** Prosecution History of the '819 Patent

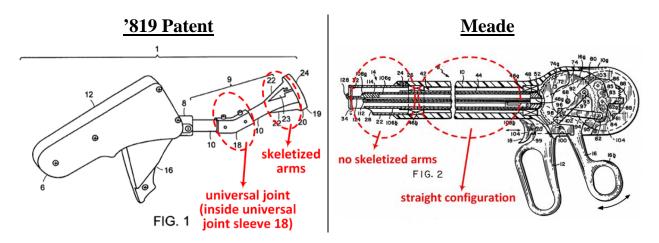
The '819 Patent issued from Application No. 10/127,254 (the "'254 Application"), which was filed on April 22, 2002 and claims priority to a provisional application (No. 60/298,281) filed on June 14, 2001. **Ex. 1001**, Cover Sheet. Of the prior art references presented in this petition, only Beurrier (**Ex. 1005**) was addressed during prosecution, but neither its disclosure nor the reasons why it was

introduced by the examiner were described in the prosecution history. **Ex. 1002**, 210, 244-246, 276-278. Importantly, however, Beurrier was never considered during prosecution in the obviousness combinations presented in this petition. *Id*.

# C. The Purported Improvements of the '819 Patent Over the Prior Art

According to the '819 patent, the suturing devices of the prior art, including Meade, suffered from three shortcomings: 1) "difficult[y] to manipulate and control" the device because "the rotational direction of the needle and the drive shaft is in a direction that is perpendicular to the device actuating handles[;]" 2) difficulty in "view[ing] the needle and its progress through the tissue during the suturing operation" because "the barrel containing the drive shaft leading to the needle cartridge does not have an open construction[;]" and 3) difficulty in obtaining "uniform needle rotation, tissue penetration and suture advancement ...." Ex. 1001, 2:20-39. To address these purported shortcomings of Meade and other prior art, the '819 Patent discloses a surgical device configured with universal joints and a plurality of support arms. See e.g., id., 7:41-52. According to the '819 Patent, the "minimalized structural design of the support arms enables the user to have a clear, unobstructed view of the suturing needle as it advances through the tissue segments during the course of a suturing operation, thereby enabling precise placement of the suturing device to provide uniform sutures ....." Id., 4:63-5:1. According to the '819 Patent, some of the shortcomings of Meade are attributable to

what the '819 Patent describes as a design having "a linear drive shaft encased in a barrel," and that is what the '819 patent purportedly improves upon through the use of universal joints and "skeletalized" support arms. *Id.*, 2:16-17, 4:61-5:3, 7:41-52, 8:62-9:6; *see also* Ex. 1003, ¶¶ 69-72.





Although the universal joints and support arms of the '819 Patent are elements of some of the '819 Patent claims, this petition is *not* directed to those claims. Nor is this petition focused on whether the incorporation of universal joints and/or support arms is a novel or nonobvious improvement over Meade. Instead, this petition challenges the patentability of the '819 Patent claims that do not recite universal joints or support arms—that is, claims that essentially attempt to claim embodiments that were either explicitly disclosed in Meade or are obvious variations of the embodiments disclosed in Meade. The claim charts and explanations in Section VI.B. describe in detail how numerous elements of the Challenged Claims are disclosed by Meade and how the Challenged Claims are anticipated by, and/or rendered obvious in view of, the prior art.

#### **III. CLAIM CONSTRUCTION**

#### A. Level of Ordinary Skill in the Art

Claim terms are given their ordinary and customary meaning as understood by one of ordinary skill in the art. *Phillips v. AWH Corp.*, 415 F.3d 1303, 1312-13 (Fed. Cir. 2005) (en banc). With regards to the '819 Patent, a person of ordinary skill in the art at the time of the alleged invention would have had a bachelor's degree in mechanical engineering or a related engineering discipline and at least four years of industry experience in the design of surgical devices, or equivalent experience, education, or both. *See* **Ex. 1003**, ¶¶ 43-46.

#### B. Terms to be Construed Under 37 C.F.R. § 42.100(b)

Each term of a claim of an unexpired patent subject to IPR is given its "broadest reasonable construction in light of the specification of the patent in which it appears." 37 C.F.R. § 42.100(b). Accordingly, for purposes of this proceeding only, Petitioner submits constructions under the broadest reasonable interpretation ("BRI") for the following terms, and submits that all remaining terms should be given their ordinary and customary meaning.<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> These claim constructions are not, and should not be viewed as, a concession by Petitioner as to the proper scope of any claim term in any litigation. For example, these proposed constructions are not a waiver of any argument in any litigation that

## 1. "positioned within the protective housing" (all Challenged Claims)

Independent claims 1, 40, and 51 of the '819 Patent recite "a cartridge" having "a protective housing and a suturing needle. . . , wherein" the "pointed end of the suturing needle is *positioned within the protective housing* after a complete rotation of the suturing needle . . . . <sup>n2</sup> The applicant added this claim limitation (among others) during the prosecution of the '254 Application in an attempt to overcome obviousness rejections in light of the prior art. **Ex. 1002**, 200-08, 223-45, 255-77; <sup>3</sup> *see also id.*, 210 (summarizing amendments discussed between applicant and examiner after an in-person interview during prosecution).

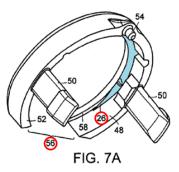
According to the '819 Patent "when the needle 26 is in the 'home' position

(as shown in FIG. 7A) it *does not project materially* 

into the aperture 56." Ex. 1001, 10:48-50. The

'819 Patent further explains that this is desirable be-

cause "[s]uch an alignment causes the needle to re-



*side <u>entirely</u> within the cartridge holder 20*, thereby preventing inadvertent contact of the sharp pointed end 60 with the user's fingers during handling . . . ." *Id.*, 10:50-

claim terms in the '819 Patent fail to meet the requirements of 35 U.S.C. § 112.

<sup>2</sup> All emphases in this petition are added unless otherwise noted.

<sup>3</sup> Claims 1, 40, and 51 correspond to original claims 1, 37, and 45, respectively. *See* **Ex. 1002**, 321.

53. During prosecution of the '254 Application, the applicant pointed to precisely this language in the specification of the '819 Patent in support of the claim amendment reciting that the pointed end of the suturing needle is "positioned within the protective housing after a complete rotation." Ex. 1002, 244-45, 276-77. For additional support, the applicant pointed to the portion of the specification that explains that "[s]uch protection of the needle 26 in the suturing device . . . prevents accidental 'needle-pricks' from occurring, thereby substantially reducing the risk of infection caused by pathogenic bacteria or viruses that may contaminate the needle during or after its use prior to its disposal." Id. (citing to current Ex. 1001, 10:56-61). The applicant further supported its amendment by pointing to a portion of the specification that describes another embodiment of the claimed suturing device. See Ex. 1002, 244-45, 276-77 (citing to current Ex. 1001, 15:63-67). In this regard, the '819 Patent explains that, after a complete rotation, "[t]he needle 102 comes to rest at its original 'home' position . . . " and that "[t]he needle 102 including the sharp, pointed end 102 [sic, 108] remains entirely contained within the cartridge 84."). Id.

The intrinsic evidence discussed above makes clear that after a complete rotation, the needle is at its "home" position. Furthermore, according to the '819 Patent, when the needle is in its "home" position, "it *does not project materially* into the [cartridge housing] aperture 56" and "[s]uch an alignment causes the needle to

reside *entirely* within the needle cartridge." **Ex. 1001**, 10:48-53. Thus, under the BRI, the term "*positioned within the protective housing*" means that the pointed end of the suturing needle "*does not project materially outside of the protective housing*" after a complete rotation. **Ex. 1003**, ¶¶ 48-53. This construction comports with the broadest reasonable breath of the term as described in the specification.

In the event that the Board does not accept Petitioner's construction of "*po-sitioned within the protective housing*," but concludes instead that this term means "*does not project <u>at all</u> outside of the protective housing*," then Petitioner also presents grounds of unpatentability addressing this alternative construction. In Petitioner's view, however, this alternative construction is unduly narrow and contradicts the intrinsic evidence. **Ex. 1003**, ¶ 54.

# 2. "deactivating the actuator . . . to cause" (claims 40 and 51)

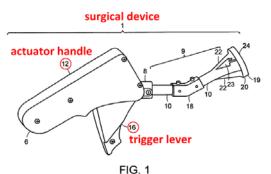
Claims 40 and 51 of the '819 Patent recite "*deactivating the actuator* . . . *to cause*." A comparison of the recited language in these claims is shown in the table below, with the differences in claim language underlined.

Claim 40 language	Claim 51 language
<i>"deactivating the actuator</i> to stop an	"deactivating the actuator at the com-
advancing movement of the suturing	pletion of advancing movement of the

Claim 40 language	Claim 51 language
needle to cause a suturing material at-	suturing needle to cause a suturing ma-
tached to the suturing needle to be	terial attached to the suturing needle to
pulled through the <u>plurality of separated</u>	be pulled through the tissue of the
tissue segments forming a stitch."	wound or incision site."

The '819 Patent nowhere describes "*deactivating*" the device's actuator "*to cause*" any type of resulting action, as recited in claims 40 and 51. The '819 Patent only describes the manner in which to *activate* an actuator (e.g., a triggering lever) to cause the rotary movement of the needle. *See id.*, 4:42-46 (explaining that "the rotatory movement of the needle within the needle cartridge is accomplished

by a needle driver that may be operated by the user by holding the suturing device with one hand in a pistol-like grip around the handle, and using at least one finger of that hand to



*activate* a triggering lever."). To a person of ordinary skill in the art, the word "deactivating" a device's actuator means the opposite of "activating" it—that is, "returning" or "resetting" the device's actuator. *See* **Ex. 1003**, ¶¶ 55-58. The word "deactivating" in the above claim term, therefore, should be construed as simply "returning" or "resetting" the actuator. *Id*. The plain reading of the claim requires that the term "to cause" qualify the action "deactivating the actuator." The '819 Patent uses the phrase "*to cause*" twice in the specification. *See*, **Ex. 1001**, 3:11-15 (stating that "[t]he present invention . . . provides an actuating means and a shaft and drive assembly that provides a torquing force to the suturing needle *to cause* the needle to advance through tissue during a suturing process . . . ."); *id.*, 4:47-52 (referring to "a finger operated trigger lever . . . , which when actuated, operates a driveshaft . . . through a drive mechanism so as *to cause* the drive shaft to undergo a rotatory motion . . . ."). In each instance, the '819 Patent uses the phrase "to cause" to describe a first action, which may be carried out by the user, that results in a direct second action by the suturing device—without any further intermediate action by the user.

Although the phrase "*deactivating the actuator* . . . *to cause*" is not explicitly used in the specification, it should be interpreted in a manner that is consistent with the teachings of the '819 Patent which describe the term "*to cause*" as linking a user action with a direct action by the device without any further intermediate steps by the user. This understanding of the term "*to cause*" also comports with the extrinsic evidence. In this regard, Webster's New Unabridged Dictionary defines "cause" to mean to "bring about," "make happen," "effect," "induce," or "produce." **Ex. 1010**, p. 288. Thus, under the BRI, "*deactivating the actuator* . . .

*to cause*" means "*returning or resetting the actuator* . . . *to produce*." Ex. 1003, ¶¶ 55-60.

Petitioner acknowledges that the '819 Patent nowhere describes "returning or resetting the actuator . . . to produce" suturing material attached to the suturing needle to be pulled through: "the plurality of separated tissue segments forming a stitch" (*see* claim 40), or "the tissue of the wound or incision site" (*see* claim 51). Thus, adoption of this construction raises issues under 35 U.S.C. § 112 for claims 40 and 51, which are outside the statutory scope of this proceeding. But the plain reading of the claim language requires the term "to cause" to qualify the step of "deactivating," which mandates the proposed claim construction.

In the event that the Board does not accept Petitioner's proposed construction of "*deactivating the actuator* . . . *to cause*," but concludes instead that this term means "*returning or resetting the actuator* . . . *to permit, allow, or enable*" then Petitioner also present alternative grounds of unpatentability. In Petitioner's view, however, this alternative construction is unreasonably broad and not supported by the intrinsic or extrinsic evidence.<sup>4</sup> **Ex. 1003**, ¶ 61.

<sup>&</sup>lt;sup>4</sup> Under either construction, the term "*deactivating the actuator* . . . *to cause*" fails to comply with one or more of the requirements of 35 U.S.C. § 112. Petitioner's proposed construction in this proceeding is not an admission to the contrary.

#### 3. "cartridge holder assembly" (all Challenged Claims)

To the extent that this term needs construction, it should be construed to mean "an assembly for releasably attaching the cartridge." See Ex. 1001, 3:52-56 ("A *cartridge holder* is ... attached to the distal end of the barrel assembly ... to which is *releasably* mounted a disposable cartridge that is capable of accommodating a suturing needle and a suturing thread material."); id., 7:65-66 (describing "a cartridge holder assembly 20 with an *attached* disposable needle cartridge 24... ."); *id.*, 12:12-13 (describing a "cartridge holder assembly 82 with the *attached* disposable needle cartridge 84."); id., 10:53-54 (describing "the disposable needle cartridge 24 for its *placement on* the cartridge holder 20"); *id.*, 8:1-6 ("FIGS. 3A and 3B provide detailed segmental views of the suturing device working-end 19 showing the disposable needle cartridge 24 in a disengaged mode and a curved suturing needle 26 separated from the needle cartridge 24 to illustrate the relative configuration of these segments with respect to the cartridge holder assembly 20... ."). See also, Ex. 1003, ¶¶ 62-64.

#### IV. CHALLENGE UNDER § 42.104(b) AND RELIEF REQUESTED

Petitioner requests IPR of the Challenged Claims on the grounds set forth in the table below, and requests that each of the Challenged Claims be found unpatentable. An explanation of unpatentability under the identified grounds is provided in the form of the detailed description that follows, indicating where each el-

ement can be found in the cited prior art, and the relevance of each prior art reference. Additional explanation and support for each ground of unpatentability is set forth in the Expert Declaration of Kevin L. Houser, M.S. (**Ex. 1003**).

Ground	'819 Patent Claims	Basis of Unpatentability
1	Claims 1, 2, 6-10, 16,	Anticipated under 35 U.S.C. § 102 by
	17, 25-31	Meade
2	Claims 1, 2, 6-10, 16,	<b>Obvious</b> under 35 U.S.C. § 103 over <b>Meade</b>
	17, 25-31	in view of <b>Beurrier</b>
3	Claim 15	<b>Obvious</b> under 35 U.S.C. § 103 over <b>Meade</b>
		in view of <b>Chironis</b>
4	Claim 15	<b>Obvious</b> under 35 U.S.C. § 103 over <b>Meade</b>
		in view of <b>Chironis</b> in view of <b>Beurrier</b>
5	Claims 40 and 51	Obvious under 35 U.S.C. § 103 over Ander-
		sson in view of <b>Taylor</b> in view of <b>Beurrier</b>
6	Claims 40 and 51	Obvious under 35 U.S.C. § 103 over Ander-
		<b>sson</b> in view of <b>Taylor</b> in view of <b>Beurrier</b> <sup>5</sup>

<sup>&</sup>lt;sup>5</sup> Grounds 5 and 6 address the same claims with the same prior art references under two different constructions for the term "positioned within the protective housing."

Ground	'819 Patent Claims	Basis of Unpatentability
7	Claims 40, 46-52,	Anticipated under 35 U.S.C. § 102 by
	and 59-62	Meade
8	Claims 40, 46-52,	<b>Obvious</b> under 35 U.S.C. § 103 over <b>Meade</b>
	and 59-62	in view of <b>Beurrier</b>

The earliest date to which the '819 Patent claims priority is June 14, 2001 (the "Priority Date"). **Ex. 1001**, Cover Sheet. Thus, U.S. Patent Nos. 5,437,681 ("**Meade**"), 5,306,281 ("**Beurrier**"), 4,557,265 ("**Andersson**"), and 5,911,727 ("**Taylor**") are prior art at least under 35 U.S.C. § 102(b) because they are U.S. patents that issued more than one year before the Priority Date. Meade issued on Aug. 1, 1995. *See* **Ex. 1004**, Cover Sheet. Beurrier issued on Apr. 26, 1994. *See* **Ex. 1005**, Cover Sheet. Andersson issued on Dec. 10, 1985. *See* **Ex. 1006**, Cover Sheet. Taylor issued on Jun. 15, 1999. *See* **Ex. 1008**, Cover Sheet. Furthermore, Chironis is also prior art under 35 U.S.C. § 102(b) because it is a printed publication that was published in 1965. **Ex. 1009** (*see* third excerpted page).

#### V. NO REDUNDANCY

None of the grounds of unpatentability presented in Section VI.B. are redundant. As shown in the table below, grounds directed to the same claims are only

See Section VI.B.5. and VI.B.6.

presented contingent upon whether a "**proposed**" or an "**alternative**" claim construction for a given claim term is adopted by the Board.

"positioned within the	"deactivating the actuator	Ground
protective housing"	to cause"	
proposed	not applicable	Grounds 1, 3, and 5
alternative	proposed	Grounds 2, 4, and 6
proposed	alternative	Ground 7
alternative	alternative	Ground 8

## VI. EACH CHALLENGED CLAIM OF THE '819 PATENT IS UN-PATENTABLE

### A. The Prior Art References

This petition shows how Meade anticipates certain claims of the '819 Patent and how all the Challenged Claims are obvious in view of Beurrier, Andersson, Taylor, and Chironis. Although Meade, Andersson, as well as a patent related to Taylor (with similar disclosure) were cited during the prosecution of the '819 Patent, they were not substantively addressed by either the applicant or the examiner. Chironis was never considered by the Patent Office. Beurrier was made of record during prosecution, but it was never considered in combination with Meade, Andersson, or Taylor, as presented in this petition.

#### **B.** Detailed Explanation of Grounds of Unpatentability

# 1. Ground 1: Meade Anticipates Claims 1, 2, 6-10, 16, 17, and 25-31

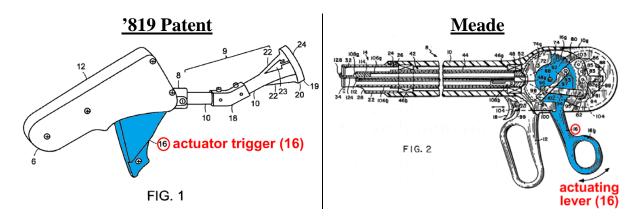
<u>Meade</u>: Meade is explicitly addressed in the "Background of the Invention" section of the '819 Patent. The '819 Patent states that Meade discloses "[a] suturing device that maintains a positive control over the suturing needle and is capable of providing uniform stitches." **Ex. 1001**, 2:11-13. The '819 Patent also explains that Meade discloses a device that is operated via a "drive mechanism that causes rotation of a linear drive shaft encased in a barrel, which in turn causes a *suturing needle* encased in a *disposable cartridge* mounted at the distal end of the barrel to rotate in an advancing motion through the tissue." *Id.* at 2:16-20. *See also* **Ex. 1003**, ¶ 66-82.

<u>Meade Anticipates Claims 1, 2, 6-10, 16, 17, and 25-31</u>: For purposes of Ground 1, Petitioner has applied the proposed claim constructions set forth in Section III.B. where the term "*positioned within the protective housing*" (*see* claim 1[a] in the chart below) means "*does not project materially outside of the protective housing*," and the term "cartridge holder assembly means "*an assembly for releasably attaching the cartridge*" (*see* claim 1[b] in the chart below).

Independent claim 1 of the '819 Patent recites four main components, namely, an *actuator*, a *cartridge*, a *suturing needle*, and a *pusher assembly*. **Ex. 1003**, ¶ 18. Claim 1 further recites that the cartridge has a *protective housing*, and that

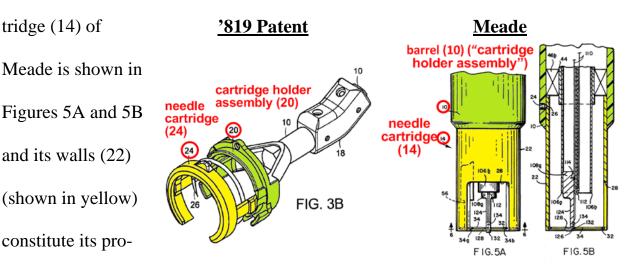
the pusher assembly comprises two subcomponents, namely, a *cartridge holder assembly* having a *needle rotation drive*. **Ex. 1003**, ¶ 18, 20-35. As explained below, Meade discloses all of these components and subcomponents.

Actuator: An example of an *actuator* according to the '819 Patent is shown as *actuator trigger (16)* in Figure 1. **Ex. 1001**, 15:36-41; **Ex. 1003**, ¶ 21. The '819 Patent explains that an actuator may take the form of "a trigger, a push button, *a lever*, [or] a pedal[,]" among others. **Ex. 1001**, 19:40-42, 20:44-46, 22:21-23; **Ex. 1003**, ¶ 91. Meade discloses an *actuator* in the form of "lever (16)." **Ex. 1004**, 6:27-29; **Ex. 1003**, ¶ 74.



Cartridge and Cartridge Holder Assembly: The '819 Patent discloses in Figure 3B an embodiment of a *cartridge having a protective housing (24)* and a corresponding *cartridge holder assembly (20)* to which the cartridge attaches. **Ex. 1001**, 3:43-48, 7:52-55, 9:21-27, 9:66-67, Figs. 3B and 7A; **Ex. 1003**, ¶¶ 75-76. The walls of the needle cartridge (24) (shown in yellow) constitute its protective

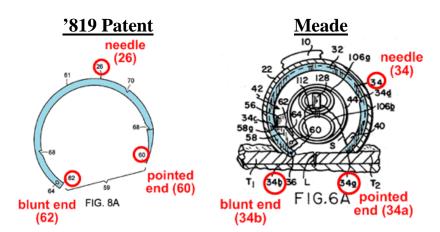
housing. Ex. 1003, ¶ 75. Meade also discloses a *cartridge having a protective housing* and a *cartridge holder assembly*. Ex. 1003, ¶¶ 75-76. The needle car-



tective housing. Ex. 1004, 5:1-5; Ex. 1003, ¶ 75. Like in the '819 Patent, the needle cartridge (14) may be attached to a corresponding structure (barrel 10). Ex. 1004, 4:46-48, 12:22-27; Ex. 1003, Appendix B (pp. 69-70). Under the BRI of the term "cartridge holder assembly" (i.e., "*an assembly for releasably attaching the cartridge*") (*see* Section III.B.3.), barrel 10 and spring button 24 comprise such an assembly. Ex. 1003, ¶ 76; *see also* Ex. 1004, 5:10-12. Barrel 10 is designed to releseably engage the needle cartridge (14) and "spring button 24" is designed to "fix[] both the longitudinal and rotational positions of the cartridge 14 in the barrel 10." Ex. 1004, 5:6-12.

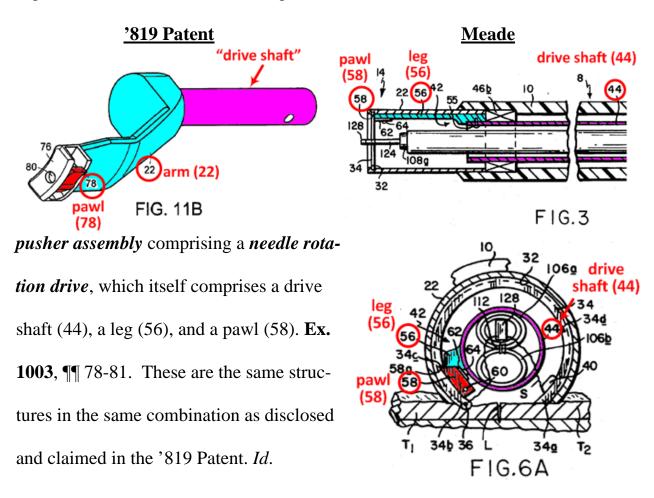
<u>Needle</u>: An embodiment of the arcuate *suturing needle* of the '819 Patent is shown in Figure 8A. Like the '819 Patent, Meade discloses a *suturing needle* having a *pointed end* and a *blunt end*, as shown in Figure 6A. **Ex. 1003**, ¶¶ 77-78.

Like the claimed suturing needle of the '819 Patent, the suturing needle of Meade "*does not project materially outside of the* 



*protective housing*" after a complete rotation, as required under the BRI of the term "positioned within the protective housing." *See* Section III.B.1., above; **Ex. 1003**, ¶¶ 48-53. In this regard, Meade states that "when the needle is positioned at [its] home position . . . , *it does not project materially into the aperture* . . . ." **Ex. 1004**, 5:30-34. The suturing needle of Meade is at its "home" position after a complete rotation. *Id.*, 6:13-26.

Pusher Assembly and Needle Rotation Drive: The '819 Patent states that "FIG. 11B shows an expanded view of a *pusher assembly*." **Ex. 1001**, 11:30; **Ex. 1003**, ¶¶ 24-29. Although the '819 Patent does not define the term "pusher assembly," claim 1 of the '819 Patent is instructive in this regard. Claim 1 recites a pusher assembly comprising "a cartridge holder assembly" and "a needle rotation drive." Figure 11B shows a pusher assembly comprising a *needle rotation drive* without a cartridge holder assembly. **Ex. 1003**, ¶ 24-29. Although the term "needle rotation drive" is not defined in the '819 Patent, claim 16 is instructive in that it recites a needle rotation drive comprising a "rotatable needle driver" and a "drive shaft." **Ex. 1003**, ¶¶ 33-35. Claim 16 further states that "rotation of the rotatable needle driver causes rotation of the suturing needle." **Ex. 1001**, 17:61-62. In light of these disclosures in the '819 Patent, a person of ordinary skill in the art would understand that the needle rotation drive may include the components shown in annotated Figure 11B below—namely, a drive shaft, arm (22), and a rotatable needle driver such as pawl (78). **Ex. 1003**, ¶¶ 33-35. This understanding is in accord with the interpretation of the term "pusher assembly," which, as described above, may comprise the components of a needle rotation drive as shown in the annotated Figure 11B below. As shown in Figures 3 and 6A of Meade, Meade discloses a



In addition to disclosing all of the components and subcomponents discussed above, Meade discloses each additional element of claims 1, 2, 6-10, 16, 17, and 25-31 as described in detail in the claim chart that follows and in **Ex. 1003**, Appendix B (Ground 1, pp. 63-88). With respect to claims 25 and 26, the following additional explanations are provided.

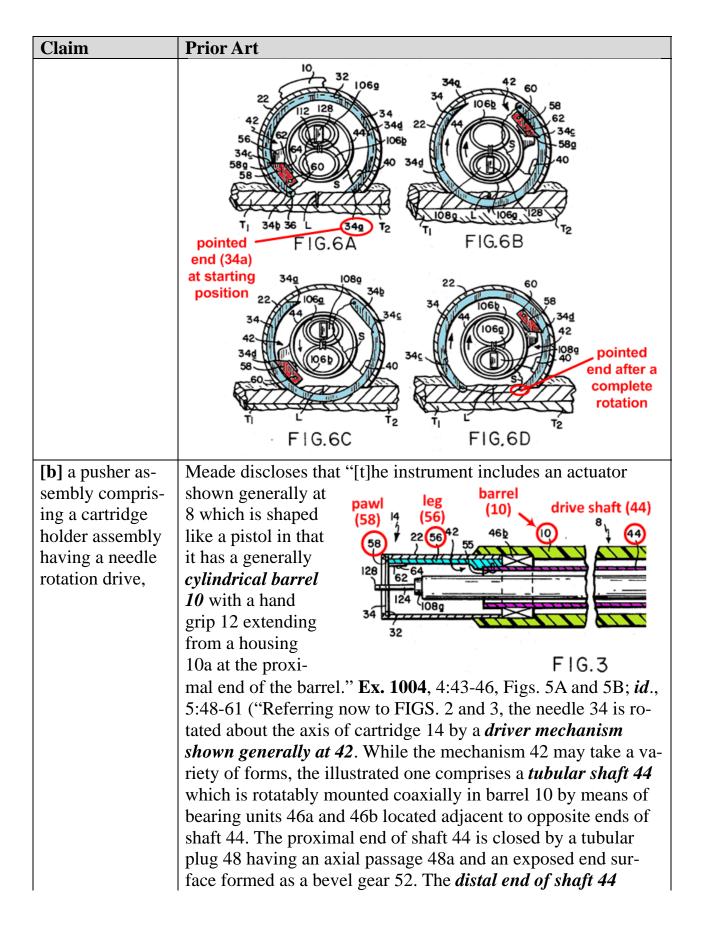
Claim 25 recites "providing a pushing force and a pulling force" to the needle. In this regard, Meade discloses a suturing device wherein the needle rotation drive causes rotation of the suturing needle by providing a "*pushing force*" adjacent to the blunt end of the suturing needle and a "*pulling force*" adjacent to the sharp pointed end of the suturing needle. See Ex. 1003, Appendix B (pp. 84-85). Specifically, Meade explains that "[t]o enable the needle to penetrate tissue to the required depth, the needle should have an arcuate extent between  $180^{\circ}$  and  $330^{\circ}$ . ." Ex. 1004, 5:27-29. According to Meade, the notches on the suturing needle on which the pulling and pushing forces are applied "are spaced about 180° apart around the needle." Id., 6:1-2. Thus, at the lower arcuate extent (i.e., 180°) of the suturing needle, the notches disclosed in Meade are "adjacent" to the blunt end and the sharp pointed end of the suturing needle. See Ex. 1003, Appendix B (pp. 84-85).

Claim 26 recites "an actuator that is a manually operable trigger, button or switch . . . ." The term trigger and lever are used interchangeably in the '819 Pa-

tent. *See* Ex. 1001, 7:32-35 ("The suturing device 1 includes an actuator handle 12 comprising . . . a *trigger lever 16*."). Meade discloses an actuator in the form of "lever 16" or a "*trigger*" or "*switch*." Ex. 1003, ¶ 74, Ex. 1004, 6:27-29, 12:49-53.

Claim	Prior Art
<b>1.</b> A suturing device comprising:	<b>Ex. 1004</b> , Abstract.
[ <b>a</b> ] a cartridge	Meade discloses that "[t]he instrument also includes a dis- posable suturing needle-and- thread <i>cartridge 14</i> releasably plugged into the distal end of barrel 10." <b>Ex. 1004</b> , 4:46-48; <i>see also id</i> . Fig. 1.
having a protec- tive housing	Meade discloses that "[t]he cartridge comprises a general- ly cylindrical tubular <i>housing</i> 22 which may be formed of a suitable rigid, medical grade, sterilizable metal or plastic material." <b>Ex. 1004</b> , 5:2-5; <i>see</i> <i>also id.</i> , Figs. 5A, 5B.
and a suturing needle having a pointed end and a blunt end,	Meade discloses that "[t]he disposable cartridge has a generally cylindrical housing with an aperture in the sidewall of the housing at the distal or working end thereof. Slidably mounted in a circular track at the distal end of the housing opposite the aperture is an <i>arcuate suturing needle having a pointed tip at one end</i> of the needle, <i>the opposite or blunt end</i> of the needle, <i>the opposite or blunt end</i> of the needle being connected to one end of a suturing thread contained in the cartridge."); <b>Ex. 1004</b> , 2:54-61; <i>see also id.</i> , Fig. 6F.

Claim	Prior Art
the suturing nee-	Meade discloses that "[t]he <i>needle 34 may be rotated</i> in its
dle capable of	curved track 32 needle
rotating about an	about the instru-
axis,	<i>ment's longitudinal</i> <i>axis</i> to advance the pointed needle tip 34a so that the nee- dle first spans the aperture 28 as shown, for example, in FIG. 6B and then returns to its original or home position il- lustrated in FIGS. 5A and 6A." <b>Ex. 1004</b> , 5:34-40; <i>id.</i> , 10:18 ("needle 34 will rotate"); <i>see also</i> <b>Ex. 1004</b> , Figs. 6A, 6B.
wherein the pointed end of the suturing nee- dle is positioned within the pro- tective housing after a complete rotation of the suturing needle about the axis;	Meade discloses that "[t]he width of the aperture 28 in the car- tridge housing 22 is comprable [sic] to the width of the gap in needle 34 so that when the needle is positioned at a home posi- tion as shown in those figures, <i>it does not project materially</i> <i>into the aperture 28</i> , i.e., it may project slightly."). <b>Ex. 1004</b> , 5:30-34; <i>see also Id.</i> , Figs. 6A through 6D, 6:13-26 ("[W]ith the pawl 58 engaged in the needle notch 34c, when the drive shaft 44 is at a home position shown in FIG. 6A, <i>shaft 44 may be ro- tated from that position approximately 180° so as to rotate</i> <i>needle 34 from its home position</i> by the same amount; see FIG. 6B. This places the needle notch 34d at the location formerly occupied by notch 34c. Shaft 44 may then be turned in the op- posite direction to its original position whereupon pawl 58 will engage in the needle notch 34d; see FIG. 6C. <i>Shaft 44 may</i> <i>then be rotated in the original direction again through 180°</i> <i>carrying needle 34 along with it so that the needle is returned</i> <i>to its original or home position</i> ; see FIG. 6D.")



Claim F	Prior Art
the cartridge M holder assembly capable of re- leasably engag- ing the cartridge C find find find find find find find find	which projects beyond the bearing unit 46b <i>is connected at one</i> side by pins 55 <i>to a straight leg 56</i> which extends from the end of barrel 10 along the cartridge housing 22 to the needle track or groove 32 therein."); <i>id.</i> , 5:62-64 ("As best seen in FIGS. 2 and 6A, a <i>pawl 58 is connected</i> at one end by a pivot pin 60 <i>to</i> <i>the free end of leg 56</i> radially inboard needle 34."); <i>id.</i> , Figs. 2, 3, 5A, 5B, 6A, and 6B.

Claim	Prior Art		
to bring the nee-	Meade discloses that "[a]s best seen in FIGS. 2 and 6A, a pawl		
dle rotation drive	58 is connected at one end by a pivot pin 60 to the free end of		
into operational	leg 56 radially inboard needle 34. The opposite or free end of		
contact with the	pawl 58 is cut to form a sharp outside edge 58a. That <i>pawl edge</i>		
suturing needle	58a is arranged to engage in a pair of notches 34c and 34d in		
	the radially inner edge of needle 34 and located adjacent to the		
	opposite ends of the needle. Preferably, the notches are spaced		
	about 180° apart around the needle." <b>Ex. 1004</b> , 5:62-6:2.		
	$\begin{array}{c} 10 \\ 2 \\ 42 \\ 56 \\ 589 \\ (58) \\ T_{1} \\ 34b \\ 56 \\ T_{1} \\ 34b \\ 56 \\ T_{1} \\ 580 \\ T_{1} \\ 54b \\ 56 \\ T_{2} \\ FlG.6A \\ FlG.6B \\ \end{array}$		
wherein the nee-	Meade discloses that "[t]o commence suturing, the surgeon,		
dle rotation drive	holding the instrument by		
releasably en-	means of the grip 12,		
gages the sutur-	moves lever 16 rearwardly		
ing needle to ro-	with the thumb without notch		
tate the suturing	squeezing trigger 18.Under (34c)		
needle; and	these conditions, the bevel		
	gear segment /4 engaged (58)		
	to bevel gear 52 will <i>rotate</i>		
	the drive shaft 44 and foot $T_1 34b 36 L 34g T_2$		
	56 clockwise as viewed in FIG.6A		
	FIG. 6A so that needle 34, which is engaged by the pawl 58,		
	will rotate with the shaft needle 349 42 60 (58)		
	approximately 180° to the (34) 39 1066 (58)		
	position shown in FIG. 22 44 62 needle		
	<b>ob</b> . This motion of the (34c)		
	needle causes the needle tip 344 10 10 10 10 10 10 10 10 10 10 10 10 10		
	34a to penetrate down		
	through the tissue T2 and		
	tap through the tissue T1 $T_1$		
	following a curved path as FIG.6B		

Claim	Prior Art			
	shown in FIG. 6B. At this point, the surgeon moves the lever			
	16 forwardly so as to rotate the drive shaft 44 and the at-			
	tached foot 56 in the opposite or counter clockwise direction			
	to the position shown in 34g 108g			
	FIG. 6C until the pawl 58 needle 22 44			
	snaps into the needle notch 34			
	notch 34d which is now (34d) 42			
	situated at substantially the			
	same location formally oc-			
	cupied by notch 34c. Next,			
	the surgeon moves lever $T_1$ $L$ $T_2$			
	16 rearwardly again so as FIG.6C			
	to rotate the drive shaft 44			
	and foot 56 clockwise through 180° to the position shown in			
	FIG. 6D. This motion of the drive shaft moves the needle			
	through an additional $180^{\circ}$ 22 60 (58)			
	so that it advances com-			
	pletely through the tissues			
	11 and 12 and returns to its (34d)			
	original or nome position. 34c			
	In doing this, the needle			
	pulls the leading end of the			
	suture S along the same $T_1$ $T_2$			
	path through the tissues as FIG.6D			
	shown in FIG. 6D, the su-			
	ture being paid out from pouch 40. Thus, the needle 34 behaves			
	in the same way as a conventional suturing needle wielded by a			
[o] on actuator	surgeon." See Ex. 1004, 10:12-41, Figs. 6A through 6D.			
[c] an actuator	Meade discloses that "[t]o commence suturing, the surgeon, holding the instrument by means of the grip 12, moves <i>lever 16</i>			
	rearwardly with the thumb."). <b>Ex. 1004</b> , 10:12-14, Fig. 2 (lever			
	16).			
	10).			

Claim	Prior Art
	FIG. 2
capable of en- gaging the nee- dle rotation drive	Meade discloses that "[r]eferring again to FIGS. 1 and 2, the drive shaft 44 is rotated by moving the lever 16 which extends down from the actuator housing 10a." Ex. 1004, 6:27-29; see also id., 6:29-40; id., 6:40-47("Thus, when the lever 16 is moved rearwardly away from grip 12, the drive shaft 44 and the leg 56 attached to that shaft will be moved about the in- strument's axis in the clockwise direction as viewed in FIG. 6A. On the other hand, when the lever 16 is moved in the op- posite direction toward the finger grip 12, shaft 44 and leg 56 will be rotated in the counter clockwise direction about that axis."); see also id., 6:47-52; Figs. 6A-6F.
to rotate the nee- dle rotation drive and the suturing needle.	Meade discloses that "[t]o commence suturing, <i>the surgeon</i> , holding the instrument by means of the grip 12, <i>moves lever 16</i> <i>rearwardly with the thumb</i> without squeezing trigger 18. Un- der these conditions, the bevel gear segment 74 engaged to bevel gear 52 will <i>rotate the drive shaft 44 and foot 56 clock-</i> <i>wise as viewed in FIG. 6A so that needle 34, which is engaged</i> <i>by the pawl 58, will rotate with the shaft approximately 180</i> • <i>to the position shown in FIG. 6B.</i> "); Ex. 1004, 10:12-20; <i>see</i> <i>also id.</i> , 10:20-58; Figs. 6A-6G.
2. The suturing device of claim 1 wherein the car- tridge further comprises:	Ex. 1004, 4:46-48; <i>see also id</i> ., Fig. 1.

Claim	Prior Art
Claim [a] a curved lip covering an arc greater than about 180° and less than about 330°;	Meade discloses that "Fig[.] 6A [is a] sectional view[] taken along line 6-6 of FIG. 5A, illustrating the operation of the in- strument". <b>Ex. 1004</b> , 4:33-35. "To enable the needle to penetrate tissue to the required depth, the <i>needle should have an arcuate extent between 180° and</i> <i>330°</i> , 330° being preferred." <i>Id.</i> , 5:27-29. "The disposable <i>cartridge has</i> a generally cylindrical housing with <i>an aperture in the sidewall of the housing at the distal or</i> <i>working end</i> thereof. Slidably mounted in a circular track at the distal end of the housing opposite the aperture is an arcuate su- turing needle having a pointed tip at one end of the needle, the opposite or blunt end of the needle being connected to one end of a suturing thread contained in the cartridge. <i>The circumfer-</i> <i>ence of the suturing needle is more or less equal to the cir-</i> <i>cumference to the cartridge housing at the aperture therein</i> and the needle normally reposes in a home position in its track such that the gap in the arcuate suturing needle is in register with the aperture in the cartridge housing." <i>Id.</i> , 2:54-67.
	$T_1$ 34b 36 L 34g $T_2$ FIG.6A

Claim	Prior Art
[ <b>b</b> ] a track in a wall whereby the suturing needle follows a curved	Meade discloses that "[r]eferring to FIGS. 2, 5A and 5B, an aperture 28 is formed in the underside of the cartridge housing 22 at the distal end of the cartridge. Also, a circular groove or <i>track 32</i> is inscribed in the inside surface of housing 22 at the
path along the track during nee- dle rotation; and	distal end thereof, which <i>track</i> lies in a plane that is perpendicular to the longitudinal axis of the housing 22 and of the instrument as a whole. Thus, as best seen in FIG. 5A, the <i>track 32</i> is interrupted by the aperture 28. Slidably positioned in the groove or <i>track 32</i> is a curved medical grade stainless steel suturing needle 34." <b>Ex. 1004</b> , 5:13-23, Figs. 5A, 5B, and 2.
	$\begin{array}{c} 56 \\ \hline 1080 \\ \hline 112 \\ \hline 1080 \\ \hline 112 \\ \hline 124 \\ \hline 34 \\ \hline 34 \\ \hline 34 \\ \hline 128 \\ \hline 124 \\ \hline 124 \\ \hline 128 \\ \hline 124 \\ \hline 128 \\ \hline 124 \\ \hline 128 \\ \hline 134 \\ \hline 128 \\ \hline 132 \\ \hline 1$
[c] an aperture in the curved lip which intercepts the track	Meade discloses that "[r]eferring to FIGS. 2, 5A and 5B, an <i>aperture 28</i> is formed in the underside of the cartridge housing 22 at the distal end of the cartridge. Also, a <i>circular groove or track 32</i> is inscribed in the inside surface of housing 22 at the distal end thereof, which track lies in a plane that is perpendicular to the longitudinal axis of the housing 22 and of the instrument as a whole. Thus, <i>as best seen in FIG. 5A, the track 32 is inter-</i>
	rupted by the aperture 28." Ex. 1004, 5:13- 21 Figs. 5A, 5B, Fig. 2. $56 + \frac{106b}{28} + \frac{28}{1000} + \frac{1000}{112} + \frac{1000}{1000} + \frac{1000}{112} + \frac{1000}{1000} + \frac{1000}{$

Claim	Prior Art			
whereby the	Meade discloses "[a su-			
pointed end of	turing instrument			
the suturing nee-	comprising a curved			
dle traverses the	suturing needle 42			
aperture during	[s]aid needle has circu- 56			
each revolution	lar curvature, and said <b>34</b>			
of the suturing	support means include a			
needle along the	cylindrical wall, means			
path.	defining <i>a circular</i>			
	track in the cylindrical $T_1$ 342 36 L 349 $T_2$			
	wall that constrains the FIG.6A			
	needle to follow a cir-			
	<i>cular path</i> about said aperture (28)			
	axis, and an aperture in said wall which intercepts said track			
	whereby the pointed end of the needle traverses the aperture			
	<i>during each revolution</i> of the needle so that when the housing			
	one end is placed against tissue so that the aperture faces the			
		needle can follow a curved path through the tissue."		
		:42-68; <i>id</i> ., Fig. 6A.		
6. The suturing de		Meade discloses that "[t]o enable the needle to		
claim 1 wherein the suturing		penetrate tissue to the required depth, <i>the needle</i>		
needle covers an a	0	should have an arcuate extent between 180° and		
than about 180° and less than about 330°		<i>330</i> •, 330° being preferred." <b>Ex. 1004</b> , 5:26-29,		
	Manda disal	Figs. 6A-6I.		
having an aper- ture located ad-	Meade discloses that "[t]he illustrated needle is formed as a			
jacent to the	circular split ring, one end 34a of which is pointed and the opposite end 34b of which contains an <i>opening or eye 36 by</i>			
blunt end for en-	which the leading end of suture S may be attached to the			
gaging a suturing	8 5 5			
material.	neeme. 12A. 1007, 5.25-27 see uiso m., 11g. 0A.			

Claim	Pr	ior Art				
<b>7.</b> The suturing	Me	ade disclo	oses that	10,		
device of claim 1	"[r	]eferring t	o FIGS.	2, 5A 32 1069 needle		
wherein the su-		and 5B, an aperture 2				
turing needle	for	ormed in the unders		ide of 42		
comprises an ap-	the	e cartridge housing		22 at 56 66 106b		
erture between	the	distal end	1 of the	car- 589 10 60 40		
the pointed end	tric	lge <i>T</i>	he width	n of 58 S		
and blunt end.	the	aperture	28 in th			
	car	tridge ho	using 22	2 is T1 340 36 L 549 T2		
	COT	nprable [s	sic] to th	FIG.6 pointed end		
	wic	th of the	gap in n	needle (34b) (34a)		
	34	so that wl	nen the r	needle		
	is p	ositioned	at a hor	me aperture		
				those figures, it does not project materially		
	inte	o the aper	ture 28.'	' <b>Ex. 1004</b> , 5:13-34, Fig. 6A.		
8. The suturing de				discloses that "[i]n accordance with the		
claim 1 further con	-	•		on, the needle may be releasably engaged		
interlocking mech		-	-	iver rotatably mounted in the barrel of the		
ble of releasably lo		-		nent so that the needle can be rotated from		
suturing needle wi	th th	ne nee-		ne position 360° about the cartridge axis."		
dle rotation drive				<b>04</b> , 3:3-7; 5:65-6:4.		
whereby rotational	1			that "[a]s best seen in FIGS. 2 and 6A, a		
movement of the		-		ected at one end by a pivot pin 60 to the		
needle rotation dri			-	6 radially inboard needle 34." <b>Ex. 1004</b> ,		
causes the suturing	5			<i>d</i> ., 5:65-6:4; 6:4-8 ("Thus, <i>when drive</i>		
needle to rotate.				ed about its longitudinal axis in the		
				ion as viewed in FIG. 6A, pawl 58 will en-		
		0 0		Ac or 34d so as to advance needle 34 in the		
	•			?); <i>id.</i> , 6:8-12.		
<b>9.</b> The suturing de				See claim 8. Meade discloses "[t]hat		
wherein the interlo		-		pawl edge 58a is arranged to engage in a		
further comprises a plurality of en-				pair of notches 34c and 34d in the radi-		
gagement notches that interfit with the				ally inner edge of needle 34 and located		
needle rotation drive whereby the su- turing peedle is rotated by said peedle				adjacent to the opposite ends of the nee-		
turing needle is rotated by said needle				dle. Preferably, the notches are spaced		
rotation drive in a direction which ad-				about $180^\circ$ apart around the needle." <b>Ex.</b>		
vances the pointed end of the suturing needle.			uning	<b>1004</b> , 5:65-6:8; <i>see also id</i> . 5:62-65.		

Claim Pr	rior Art					
<b>10.</b> The suturing devi	device of claim 9 wherein the inter-				aim 9 ( <b>Ex. 1004</b> ,	
locking mechanism engages at least one notch on a 5:62					:2).	
surface of the suturing	surface of the suturing needle.					
16. The suturing devi	ce of clain	n 1 wherei	n the needle r	otation	See claim 1[b].	
drive comprises:						
[a] a rotatable needle					See claim 1[b]	
housing capable of co	1 0	•	-		(Ex. 1004, 4:43-	
bly engaging a suturing	0			•	46, 5:48-64).	
tation of the rotatable	needle dri	ver causes	s rotation of th	ne su-		
turing needle; and						
[ <b>b</b> ] a drive shaft attac	-		e housing capa		See claim 1[b] (Ex.	
of rotating the rotatab					<b>1004</b> , 5:48-61)	
<b>17.</b> The suturing devi			-		<b>1004,</b> 5:48-64);	
wherein the drive sha	-				<b>1004</b> , Fig. 2 (lever	
dle driver to an actuat		e the			40-47, 10:20-58,	
needle driver about an			Figs. 6A-6G			
<b>25.</b> The suturing de-					-68 ("As best seen	
vice of claim 1			-		ed at one end by a	
wherein the needle					ially inboard needle	
rotation drive causes			-		is cut to form a	
rotation of the sutur-	-	-	-	-	58a is arranged to	
ing needle compris-		-			in the radially inner	
ing a blunt end and	-			-	to the opposite ends	
a sharp pointed end				-	the notches are	
by providing a	-		-		lle."); <i>id</i> ., 9:59-67;	
pushing force adja-					to its forward posi-	
cent to said blunt					cluding foot 56, is	
end and a pulling	located at the home position as shown in FIG. 6A such that					
force adjacent to	-	-	-		ch 34c <i>adjacent</i> to	
said sharp pointed	the rear e	nd 34b of	the needle 34	.^).		
end.						
<b>26.</b> The suturing devi					urgeon, using only	
claim 1 wherein the a			• •		e instrument while	
a manually operable trigger, actuating a lever 16." <b>Ex. 1004</b> , 4:52-53; <i>see also</i>						
button or switch. <b>Ex. 1004</b> , 12:49-53 ("trigger or switch").27. The suturing device ofMeade discloses that "in some applications it may be						
<b>27.</b> The suturing deviation of the set of t					•	
claim 1 wherein the a				•	by means of a con-	
tor is mechanically opera- ventional pneumatic hydraulic or <i>electric</i> reversible						

Claim Pr	Prior Art						
ble by an electrical device rotary <i>actua</i>			<i>or motor</i> (not shown) controlled by a				
or a fuel driven device	e.	trigger activated v	alve	or switch." Ex.	<b>1004</b> , 12:49-		
	53.						
<b>28.</b> The suturing devi	ce of c	laim 1 wherein the	actua	ator is activat-	See claim 27		
ed by automation usir	ng a po	wer source selecte	d froi	n the group	(Ex. 1004,		
consisting of <i>electrica</i>	<i>il</i> , elec	tromagnetic, comp	resse	d air, com-	12:49-53).		
pressed gas, hydraulic	c, vacu	um and hydrocarb	on fue	els.			
<b>29.</b> The suturing devi	ce of c	laim 1 further com	pris-	See claim 2[b	<i>See</i> claim 2[b] ( <b>Ex. 1004</b> ,		
ing a curved track on	an inn	er surface of the ca	r-	5:13-23, Figs. 5A, 5B, and			
tridge wherein the sut	uring i	needle moves in the	e	2).			
curved track during ro	otation	of the suturing nee	edle.				
<b>30.</b> The suturing devi	ce of c	laim 1 further com	-	See claim 1[a]	(Ex. 1004,		
prising a curved track	on an	inner surface of th	e	5:30-34; <i>see also id</i> ., Figs. 6A			
cartridge that contains	s the p	ointed end of the su	1-	through 6D, 6:13-26;			
turing needle prior to	and af	ter rotation of the s	su-	<i>See</i> claim 29 ( <b>Ex. 1004</b> , 5:13-			
turing needle.				23, Figs. 5A, 5B, and 2).			
<b>31.</b> The suturing device of claim 1 wherein See a				claim 1[a] ( <b>Ex. 1004</b> , 2:54-61;			
the suturing needle is	slidab	also id., Fig. 6F);					
curved track of the cartridge.			<i>See</i> claim 29 ( <b>Ex. 1004</b> , 5:13-23,				
			Figs. 5A, 5B, and 2).				

# Ground 2: Under an Alternative Construction of the Term "positioned within the protective housing," Meade in View of Beurrier Renders Obvious Claims 1, 2, 6-10, 16, 17, and 25-31

**Beurrier**: Beurrier discloses a suturing device with an arcuate needle

mounted within a cassette for 360° rotation. Ex. 1005, Abstract; Fig. 1. Among

other things, Beurrier discloses that "the point of needle 102 is ... safely protected

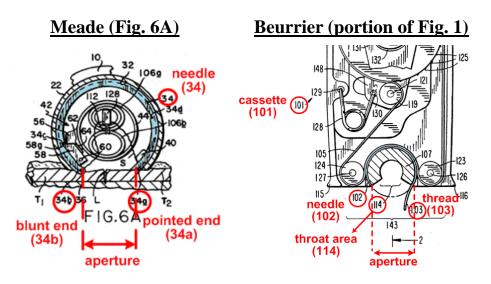
in cassette 101 avoiding injury or the spread of infection to a user of the device."

*Id.* at 5:66-68. *See also* Ex. 1003, ¶¶ 83-87.

# Motivation to Combine Meade and Beurrier: Meade and Beurrier dis-

close suturing devices with many features in common. For example, both Meade

and Beurrier teach suturing devices with arcuate suturing needles that rotate about an axis; **Ex. 1003**, ¶ 107; **Ex. 1004**, Abstract; **Ex. 1005**, Abstract. The suturing needles in both devices are within housings with apertures that coincide with the aperture in the suturing needles. **Ex. 1003**, ¶ 108; **Ex. 1004**, 2:63-67; **Ex. 1005**, 3:66-4:2. In addition, both Meade and Beurrier recognize "needle slippage" as a



problem in the prior art and both references address the problem by the use of "positive needle control," through drive mechanisms that minimize the potential for slippage. **Ex. 1003**, ¶ 109; **Ex. 1004**, 1:57-63, 2:10-13; **Ex. 1005**, 1:61-64, 2:5-12. Both Meade and Beurrier also recognize that accidental needle pricks increase the risk of infection during surgery, and both references disclose designs that minimize this risk. **Ex. 1003**, ¶ 110; **Ex. 1004**, 1:39-44; **Ex. 1005**, 2:30-34, 5:66-68. Because both Meade and Beurrier discloses suturing devices with several features in common, and they both address the same problems encountered in the prior art, a person of ordinary skill in the art would have been motivated to combine the

teachings of Meade and Beurrier. Ex. 1003, ¶ 111.

Claims 1, 2, 6-10, 16, 17, 25-31 are Obvious: For purposes of Ground 2, Petitioner has applied an alternative claim construction to the term "positioned within the protective housing." Under this alternative construction, this term means "does not project at all outside of the protective housing." As explained in Ground 1, Meade discloses all the limitations recited in claims 1, 2, 6-10, 16, 17, 25-31 of the '819 Patent, except that Meade does not explicitly describe a suturing device "wherein the pointed end of the suturing needle [does not project at all out*side of the protective housing*] after a complete rotation of the suturing needle about the axis." However, Beurrier teaches that the pointed end of its arcuate needle is "safely protected" in its housing to "avoid[] injury or the spread of infection to a user of the device" (Ex. 1005, 5:66-68). A person of ordinary skill in the art would have been motivated to modify the "home position" of Meade so that the pointed end of the needle did not protrude at all outside the cartridge after a complete rotation. Ex. 1003, ¶ 111. Such modifications would have included, for example, shortening the arcuate extent of the needle, or extending the arcuate extent of the needle cartridge so as to ensure that the pointed end of the suturing needle does not project at all outside of the protective housing after a complete rotation. Id.

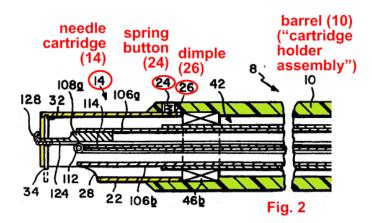
Thus, as explained above in the Meade claim chart of Ground 1, which is incorporated in Ground 2 in its entirety—except as to the limitation "positioned within the protective housing"—the combination of Meade and Bearrier renders obvious claims 1, 2, 6-10, 16, 17, 25-31. *See also* **Ex. 1003**, Appendix B (Ground 2, pp. 63-88).

## 3. Ground 3: Meade in View of Chironis Renders Obvious Claim 15

<u>Chironis</u>: Chironis is an engineering handbook that discloses a compilation of mechanisms and devices for a wide variety of functions, including the fixing or locking of components relative to each other. **Ex. 1009**, 150-152 (disclosing "devices for . . . holding mechanical movements"). The mechanisms disclosed in Chironis were well known to persons of ordinary skill in the art at the time of the invention of the '819 Patent. **Ex. 1003**, ¶ 102-103.

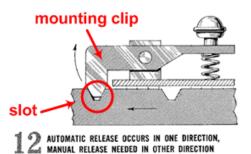
### **Motivation to Combine Meade**

and Chironis: The suturing device disclosed in Meade, which was described in Ground 1 (*see* Section V.B.1), discloses a car-



tridge holder assembly that comprises barrel 10 and spring button 24. **Ex. 1003**, ¶ 76; **Ex. 1004**, 5:6-12. Spring button 24 is part of a mechanism for fixing the longitudinal and rotational positions of barrel 10 relative to cartridge 14. **Ex. 1003**, ¶ 119; Ex. 1004, 5:6-12. Specifically, spring button 24 mounted in barrel 10 is
adapted to engage in a dimple formed in the housing 22 of cartridge 14. Ex. 1004,
5:6-12. A person of ordinary skill in the art would have found it obvious to replace

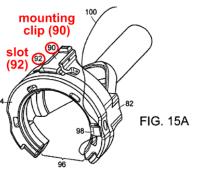
the fixing mechanism of Meade with any other suitable mechanism disclosed in Chironis, including, for example, a mounting-clip-slot mechanism such as the one disclosed in Figure 12 of Chironis.



Such replacement would have been a simple substitution of known elements to obtain the predictable result of securing two components relative to each other. **Ex. 1003**, ¶¶ 120-121.

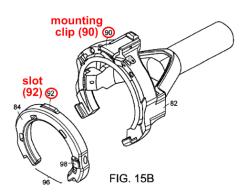
Claim 15 is Obvious: Claim 15 of the '819 Patent recites the suturing de-

vice of claim 1 "wherein the cartridge holder assembly further comprises *at least one mounting clip* whereby the cartridge holder assembly *releasably engages the cartridge having the suturing needle*." An



embodiment of this mechanism (comprising a mounting clip 90 and a slot 92) is shown, for example, in Figs. 15A and 15B of the '819 Patent. *See* **Ex. 1003**, Appendix B (pp. 81-83); **Ex. 1001**, 12:21-35. Likewise, Meade teaches that the car-

tridge (comprising housing 22) may be releasably retained in the distal end of barrel 10 by any "*known means*," such as, for example, the spring-button-dimple mechanism described in Meade. *See* Meade 5:1-12 ("The disposable



cartridge 14...comprises a generally cylindrical tubular housing 22.... *Housing* 22 may be releasably retained in the distal end of barrel 10 by known means such as a spring button 24 mounted in barrel 10 and adapted to engage in a dimple 26 formed in the side wall of cartridge housing 22, as best seen in FIGS. 2 and 5B. The spring button 24 fixes both the longitudinal and rotational positions of the cartridge 14 in the barrel 10."). As explained above, numerous means for releasably engaging two components, such as the cartridge holder assembly (comprising barrel 10) and the cartridge 14 of Meade, were well known in the art. Chironis discloses many of them. Ex. 1003, ¶¶ 102-103, 118-121; Ex. 1009, 150-151. One such mechanism disclosed in Chironis is the mounting-clip-stop mechanism (shown in Figure 12). Thus, under Petitioner's proposed constructions, it would have been obvious to a person of ordinary skill in the art to use the retaining means disclosed in Chironis and apply them to the suturing device of Meade to releasably engage the cartridge to the cartridge holder assembly, which comprises barrel 10. **Ex. 1003**, ¶ 121.

## 4. Ground 4: Under an Alternative Construction of the Term "*positioned within the protective housing*," Meade in View of Chironis in View of Beurrier Renders Obvious Claim 15

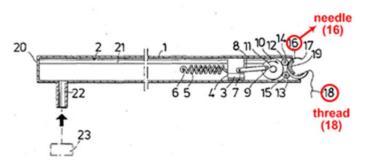
To the extent that it is determined that the term "positioned within the protective housing" means that the pointed end of the needle "does not project at all outside of the protective housing," Meade and Chironis in view of Beurrier renders of obvious claim 15 for the same reasons described under Ground 3, which is incorporated herein, except for this claim term. As explained in Section VI.B.2. (which is also incorporated herein), Beurrier's teaching that the pointed end of its arcuate needle be "safely protected" in its housing to "avoid[] injury or the spread of infection to a user of the device" (Ex. 1005, 5:66-68) would motivate a person of ordinary skill in the art to modify a suturing device according to the teachings of Meade and Beurrier so that the pointed end of the needle did not protrude *at all* outside of the protective housing after a complete rotation. **Ex. 1003**, ¶¶ 107-111. Thus, Meade and Chironis in view of Beurrier render obvious claim 15. See also **Ex. 1003**, Appendix B (Ground 4, p. 83).

## 5. Ground 5: Andersson in View of Taylor in View of Beurrier Renders Obvious Claims 40 and 51

Andersson: Andersson discloses a suturing device with an arcuate needle capable of rotating about an axis. **Ex. 1006**, Abstract; **Ex. 1003**, ¶¶ 88-94. The "circular-arcuate suture needle 16" of Andersson has "a sharp point 17 on one end .

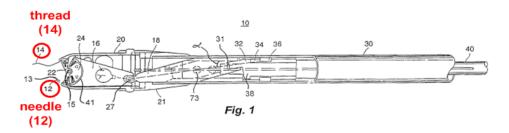
ed to the other end . . . [that] can be driven around a circular part [sic, path] with the pointed end 17

. . and a suture thread 18 connect-



of the needle in the driving direction." Ex. 1006, 1:63-2:1. Ex. 1003, ¶ 90.

**Taylor**: Taylor discloses a suturing device having an arcuate suturing needle that rotates about an axis. **Ex. 1008**, Abstract; **Ex. 1003**, ¶¶ 95-101. The suturing device of Taylor "may comprise a *removable cartridge* containing at least needle 12 and attached thread 14 . . . which may be disposable." **Ex. 1008**, 7:37-40.



**Beurrier**: Beurrier was described in Ground 2 (see Section VI.B.2).

#### Motivation to Combine Andersson, Taylor, and Beurrier: Andersson,

Taylor, and Beurrier disclose suturing devices with many features in common. **Ex. 1003**, ¶¶ 112-117. All three references disclose suturing devices with arcuate needles that rotate about an axis. **Ex. 1003**, ¶ 112. Both Taylor and Beurrier explicitly discuss Andersson. **Ex. 1003**, ¶ 113; **Ex. 1005**, 1:18-21; **Ex. 1008**, 1:25-28, 1:41-50. Specifically, Taylor and Beurrier recognize the potential for needle

slippage in Andersson's design, and each proposes solutions to overcome this potential shortcoming in Andersson's design. Ex. 1003, ¶ 113. Additionally, both Taylor and Beurrier disclose suturing needles contained in disposable housings. Ex. 1003, ¶ 115; Ex. 1005, 5:31-34; Ex. 1008, 7:36-40. Furthermore, Andersson and Taylor disclose drive mechanisms that may generate continuous needle rotation of the suturing needle. Ex. 1003, ¶ 114; Ex. 1006, 2:24-32; Ex. 1008, 7:41-45. Both Andersson and Taylor also disclose actuators that may be in the form of foot pedals to trigger the rotation of the suturing needle. Ex. 1003, ¶ 91, 99, 116; Ex. 1006, 2:9-12; Ex. 1008, 6:39-42. In light of these teachings, a person of ordinary skill in the art would have been motivated to combine features disclosed in each of Andersson, Taylor, and Beurrier to improve upon known problems or shortcomings in the art. Ex. 1003, ¶ 117. Specifically, a person of ordinary skill in the art would have been motivated to incorporate a needle cartridge such as the one disclosed in Taylor into the suturing device of Andersson in order to prevent the known problem of accidental needle pricks described in Beurrier and Taylor by ensuring that the needle does not project materially outside of the protective housing of the cartridge after a complete rotation. Id. This can be accomplished by shortening the arcuate extent of the needle or extending the arcuate extent of the cartridge's protective housing. Id.; see also id., ¶ 86-87, 117.

Claims 40 and 51 are Obvious: Method claims 40 and 51 of the '819 Patent recite the same components (a cartridge having a protective housing, a suturing needle, and an actuator) recited in claim 1 (see Section VI.B.1) of the '819 Patent, except that claims 40 and 51 do not recite a pusher assembly. Ex. 1003, ¶¶ 19, 36-42. Nevertheless, claims 40 and 51 recite the two subcomponents (a car*tridge holder assembly* having a *needle rotation drive*) that claim 1 recites as being comprised in the pusher assembly (see Section VI.B.1). Ex. 1003, ¶ 19, 30-35. With respect to these components and subcomponents, Andersson discloses a suturing needle, an actuator, and a needle rotation drive. Ex. 1003, ¶ 88-93. Andersson also teaches the limitation "*deactivating the actuator* . . . *to cause*" under Petitioner's proposed construction. See Section III.B.2.; Ex. 1003, ¶ 94. Andersson does not explicitly disclose a cartridge or a cartridge holder assembly. Ex. 1003, ¶ 94. Taylor discloses all the physical components and subcomponents recited in claims 40 and 51, but it does not teach the limitation "deactivating the actuator . . . to cause" under Petitioner's proposed construction. Ex. 1003, ¶ 95-101. Andersson and Taylor in view of Beurrier teach the limitation "positioned within the protective housing" under Petitioner's proposed construction for this term. See Section III.B.1.

<u>Suturing Needle, Needle Rotation Drive, and Actuator</u>: Andersson discloses a "suturing needle 16," an actuator in the form of "a foot pedal" (*see* Section

VI.B.1.; Ex. 1003, ¶ 91; Ex. 1006, 2:9-12), and a needle rotation drive (i.e., a mechanism that drives the rotation of the suturing needle) comprising plunger 3, plunger rod 8, flywheel 10, and roller 12. Ex. 1003, ¶92-93; Ex. 1006, 2:9-16.

> roller (12)

> > needle

16)

hread

(18)

drive shaft

(40)

lywheel

1012

(8)

SAMARA

6(5

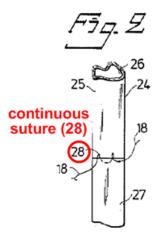
Taylor discloses needle 12, Andersson an actuator in the form of cylinder space (21) "a foot pedal," and a nee-20 dle rotation drive compris-22 sprina ing drive shaft 40, crank ce (23) shaft 38, and the combina-**Taylor** tion of toggle 18 drive thread and drive plate 10 18 (14) 16. Ex. 1003, ¶¶ ank shaft 95-100. Ex. needle Fig. 1 (12)1008, 3:66-4:24, 4:32-39, Figure 1.

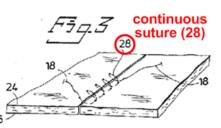
Needle Cartridge and Cartridge Holder Assembly: Taylor discloses a suturing device that "may comprise a removable cartridge containing at least needle 12. ... which may be disposable." **Ex. 1008**, 7:37-40; **Ex. 1003**, ¶ 101. Because Taylor teaches a "removable cartridge," it also necessarily discloses a corresponding structure to which it releaseably attaches (i.e., "an assembly for releasably attaching the cartridge," in accord with Petitioner's construction of "cartridge holder assembly."). **Ex. 1003**, Appendix B (pp. 90-91). **Ex. 1008**, 7:37-40. *See PAR Pharm., Inc. v. TWI Pharm., Inc.*, 773 F.3d 1186, 1194–96 (Fed. Cir. 2014) ("[I]nherency may supply a missing claim limitation in an obviousness analysis . . ." if "the limitation at issue necessarily must be present, or the natural result of the combination of elements explicitly disclosed by the prior art.") (citations omitted).

<u>The "deactivating the actuator . . . to cause" Limitation</u>: Andersson teaches that "deactivating the actuator" causes a corresponding action by the suturing device as required by Petitioner's proposed construction of this claim term. *See* Sec-

tion III.B.2. When the foot pedal of Andersson is deactivated (i.e., reset or returned), a "pressure surge is interrupted and the pressure in the cylinder space 21 will fall, *whereupon the return spring* 5... *will function to withdraw the plunger 10 back to its inner position.*" **Ex. 1006**, 2:19-24;

**Ex. 1003**, ¶ 94. This causes the "suture thread [to] form[] a loop through the two tissue parts and, as illustrated in FIGS. 2 and 3, forms a continuous suture 28 by repeating the aforedescribed working 26





cycle." Ex. 1006, 2:24-36. Ex. 1003, ¶ 94, Appendix B, Ground 5 (pp. 95-96).

In addition to the components, subcomponents, and the limitation "deactivating the actuator . . . to cause" described above, the claim chart that follows indicates where Andersson, Taylor, and Beurrier disclose each element of claims 40

and 51. See also Ex. 1003, ¶¶ 88-94, Appendix B (Ground 5, pp. 89-96, and 99-

101). For purposes of Ground 5, Petitioner has applied the proposed constructions

for the terms "positioned within the protective housing," "deactivating the actua-

tor to cause,"	' and " <i>cartridge</i>	holder assembly."	'See Section III.B.
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Claim	Prior Art				
40. [preamble]	See Ex. 1	See Ex. 1006, Abstract ("The invention relates to suturing in-			
A method for	strument for joining two edges of biological tissue together.				
suturing tissue	The instrument includes a housing (1) in which there is movably				
comprising the	U	an <i>arcuate suture needle</i> (16) having a point (17) ar-			
steps of:	U	penetrate the tissue, and the suture thread (18) con-			
		the suture needle. "); Ex. 1008, Abstract ("An auto-			
		tching device having a 'C'-shaped <i>arcuate needle</i>			
		incrementally advanced in a circular path."); Ex.			
		ostract ("A mechanical apparatus for suturing biological			
		cluding a cassette housing, a continuous loop belt			
		for circulation therein, an <i>arcuate needle mounted</i>			
<b>F</b> - <b>11</b> .1		<i>te cassette for 360° rotation").</i>			
[a] releasably	See Ex. 1006, Figure 1; Ex. 1008, Figure 1. See Ex. 1008, 7:37-				
engaging a car-		me or all of the distal portion of the stitcher 10 may			
tridge to a car-	-	e a removable cartridge containing at least needle 12			
tridge holder	and attac	hed thread 14 and <i>which may be disposable</i> .").			
assembly of a suturing device;					
<b>[b]</b> placing the su	Ituring	<i>See</i> <b>Ex. 1006</b> , Figures 1, 2, and 3. <i>Id</i> .			
device having the	•	1:35-39 ("FIG. 1 is a simplified view of a suturing in-			
tridge with a prot		strument according to the invention; FIG. 2 illustrates			
housing and a sut		the joining of a blood vessel; FIG. 3 illustrates the			
needle to cause an	-	mode of the instrument in sewing two edges of tissue			
ture in the cartridge to		together").			
span a plurality of sepa-					
rated tissue segments,					
wherein a pointed end of					
the suturing need					
sitioned within th	e protec-				

Claim	Prior Art				
tive housing after	a com-	roller			
plete rotation of the sutur-		(12) 1 2 21 1 8 11 10121 16 17 - aperture			
ing needle about a	20				
tional axis;					
,		1 + 22 <sup>1</sup> 6 5 4 3 7 9 15 13			
		Fīg. Z			
	1	tissue			
		(25) (25) (24			
	co.	thread continuous			
		ture (28) (18) $F\overline{2}_{7}\overline{3}_{7}\overline{3}_{7}$ continuous suture (28)			
		adjacent			
		part (27)			
		26			
<b>Ex. 1005</b> , 5:66-68 ("The point of needle 102 is als					
	saf	ely protected in cassette 101 avoiding injury or the			
	spr	ead of infection to a user of the device.").			
[c] activating an a		<i>See</i> <b>Ex. 1006</b> , 2:12-19 ("When the plunger moves			
coupled to a need		towards the needle 16, under the action of the in-			
drive that releasal		creased pressure in the cylinder space 21, <i>the fly-</i>			
the suturing need		wheel 10 will rotate and its rotary motion trans-			
rotational movem		mitted to the two friction rollers 12 and 13 abut-			
suturing needle ad	-	ting the suture needle 16. As a result hereof, the			
erture and advanc		circular-arcuate suture needle 16 is rotated about			
ing needle throug	-	its centre axis, with the point 17 of the needle in			
rality of separated	l tissue seg-	the rotating direction."); <i>id</i> ., Figs. 1, 2, and 3.			
ments; and	a <b>T</b> 1000				
[ <b>d</b> ] deactivating		5, 2:6-9 ("The drive source 23 is arranged to deliver			
the actuator to		rge to the cylinder space 21 at, for example, pre-			
stop an advanc-	determined or randomly selected time intervals, whereupon the				
ing movement	plunger 3 is urged forwards."). <i>Id.</i> , 2:12-19 ("When the plunger				
of the suturing	moves towards the needle 16, under the action of the increased				
needle to cause	pressure in the cylinder space 21, the flywheel 10 will rotate and				
a suturing mate-	its rotary motion transmitted to the two friction rollers 12 and 13 abutting the suture needle 16. As a result hereof, the circular				
rial attached to	al attached to   abutting the suture needle 16. As a result hereof, the circular-				

Claim	Prior Art				
the suturing	arcuate suture needle 16 is rotated about its centre axis, with the				
needle to be	point 17 of the needle in the rotating direction."). Id., 2:19-24				
pulled through	("As soon as the plunger rod 8 reaches its top-dead-centre posi-				
the plurality of	tion, the pressure surg	tion, the pressure surge is interrupted and the pressure in the cyl-			
separated tissue	inder space 21 will fal	ll, whereupon the return s	spring 5, which is		
segments form-	now tensioned, will fu	inction to withdraw the p	lunger 10 back to		
ing a <u>stitch</u> .	its inner position.").				
<b>51.</b> A method for	suturing tissue at a	See claim 40 [preamble	e] ( <b>Ex. 1006</b> , Ab-		
wound or incision	n site comprising the	stract; Ex. 1008, Abstra	act; <b>Ex. 1005</b> , Ab-		
steps of:		stract).			
	gaging a cartridge to a	<i>See</i> claim 40[a] ( <b>Ex. 1</b> 0	<b>)06</b> , Figure 1; <b>Ex.</b>		
cartridge holder a	ssembly of a suturing	<b>1008</b> , Figure 1; See Ex	<b>. 1008</b> , 7:37-40).		
device;					
[ <b>b</b> ] placing a sutu	ring device having a ca	artridge with a protec-	See claim 40[b]		
tive housing and	a suturing needle at the	wound site or incision	( <b>Ex. 1006</b> , Fig-		
-	perture in the cartridge	-	ures 1, 2, and 3.		
	n site, wherein a pointe	•	<i>Id.</i> 1:35-39; <i>Ex.</i>		
-	ed within the protective	-	<b>1005</b> , 5:66-68).		
	he suturing needle abou				
-	[c] activating an actuator coupled to a needle rotation drive that See claim 40[c]				
	es the suturing needle to		(Ex. 1006, 2:12-		
	suturing needle across	-	19; <i>id</i> ., Figs. 1,		
	needle through the tissu	e of the wound or inci-	2, and 3).		
sion site; and					
-	he actuator at the comp	-	See claim 40[d]		
	suturing needle to caus	-	( <b>Ex. 1006</b> , 2:6-9;		
	<b>U</b> 1	led through the tissue of	<i>Id</i> ., 2:12-24).		
the wound or incision site; and					
[e] repeating step					
through D to caus					
plurality of stitch	-	See claim 40[c] ( <b>Ex. 1006</b> , 2:12-19; <i>id</i> ., Figs. 1, 2, and			
be placed through		3.)			
tissue of the woun	,	<b>Ex. 1006</b> , 2:24-36 ("By suitable adjustment of the dura-			
incision site.	tion of the pressure surge the needle will carry out				
		one revolution for each pressure surge Thus, <i>the</i>			
	suture thread forms a continuous suture 28 by re-				
	peating the aforedescribed working cycle.").				

## 6. Ground 6: Under an Alternative Construction of the Term "*positioned within the protective housing*," Andersson in View of Taylor in View of Beurrier Renders Obvious Claims 40 and 51

To the extent that it is determined that the term "positioned within the protective housing" means that the pointed end of the needle "does not project at all outside of the protective housing," Andersson and Taylor in view of Beurrier render obvious claims 40 and 51 for the same reasons described under Ground 5, which is incorporated herein. As explained in Section VI.B.2., Beurrier's teaching that the pointed end of its arcuate needle be "safely protected" in its housing to "avoid[] injury or the spread of infection to a user of the device" (Ex. 1005, 5:66-68) would motivate a person of ordinary skill in the art to modify a suturing device according to the teachings of Andersson and Taylor so that the pointed end of the needle did not protrude *at all* outside the cartridge after a complete rotation. Ex. **1003**, ¶ 117. Thus, Andersson and Taylor in view of Beurrier render obvious claims 40 and 51. See also Ex. 1003, Appendix B (Ground 6, pp. 89-96, and 99-101).

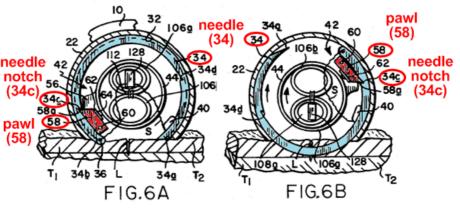
## 7. Ground 7: Under an Alternative Construction of the Term "deactivating the actuator . . . to cause," Meade Anticipates Claims 40, 46-52, and 59-62

<u>Meade</u>: Meade was described in Ground 1 (*see* Section VI.B.1.).
 <u>Claims 40, 46-52, 59-62 are Anticipated</u>: As explained in Section VI.B.1.
 (which is incorporated herein to the extent described below and in the claim chart

that follows) Meade discloses all the physical components recited in independent claims 40, and 51 (namely, a cartridge having a protective housing, a needle, a cartridge holder assembly, a needle rotation drive, and an actuator). *See* Section VI.B.1. **Ex. 1003**, ¶¶ 19-35.

The "deactivating the actuator . . . to cause" Limitation: Under an alternative construction of this term, i.e., "*returning or resetting the actuator* . . . *to permit, allow, or enable*," Meade discloses this limitation. In fact, as explained below and in the claim chart that follows, under this construction, Meade discloses the identical steps for operating an actuator to cause the rotation of a suturing needle as those claimed in the '819 Patent. In this regard, when the lever 16 moves away from grip 12, the drive mechanism engages the needle via the pawl 58 and moves it 180 degrees in the clockwise direction (the movement of the needle is shown by comparing Figs. 6A and 6B). **Ex. 1004**, 10:12-23. By "returning" or "resetting"

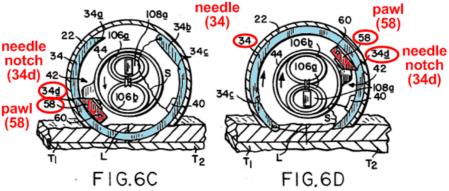
ing it back towards grip 12), the drive mechanism disengages the needle



and the pawl rotates in the counterclockwise direction for the pawl to engage the needle once again. *Id.*, 10:24-30. Under this construction, the step carried out in

moving the needle rotation drive from Fig. 6B to Fig. 6C is the "deactivating" step claimed in the '819 Patent. **Ex. 1003**, Appendix B (Ground 7, pp. 95-96). This step "stops an advancing movement" of the suturing needle (as recited in claim 40) and it occurs "at the completion of an advancing movement" of the suturing needle (as recited in claim 51). Once this step is completed, it "permits," "allows," or "enables" a user to move lever 16 away from grip 12 to cause (once again) the clockwise rotation of the drive mechanism with the engaged needle and in doing so it cause the suturing

material attached to the suturing needle to be passed through tissue. **Ex. 1004**,



10:31-45. This movement can be seen by comparing Figs. 6C and 6D. *See also* Ex. **1003**, Appendix B (Ground 7, pp. 95-96).

In addition to the components, subcomponents, and the steps of claim 40, including the limitation "deactivating the actuator . . . to cause" described above, the claim chart that follows indicates how Meade discloses each element of claims 40, 46-52, and 59-62. *See also* **Ex. 1003**, Appendix B (Ground 7, pp. 89-102). For purposes of Ground 7, Petitioner has applied the proposed constructions (*see* Sections III.B.1. and III.B.3.) of the terms "*positioned within the protective housing*" and "*cartridge holder assembly*," and the alternative construction (*see* Section

Claim	Prior Art				
40. [preamble]	Meade discloses that "[t]o commence suturing, the surgeon,				
A method for	holding the instrument by means of the grip 12, moves lever 16				
suturing tissue	rearwardly with t	he t	humb Ur	nder these conditions, the bev-	
comprising the	el gear[s] wil	l rot	tate the drive s	shaft 44 so that needle 34 .	
steps of:	will rotate	. Tl	his motion of	the needle causes the needle	
	tip 34a to penetrate down through the tissue T2 and tap through				
	the tissue T1 folle	owi	ng a curved pa	ath as shown in FIG. 6B."	
	Ex. 1004, 10:12-	23;	see also <b>id</b> ., 1	2:1-21.	
[a] releasably eng				aim 1[a] ( <b>Ex. 1004</b> , 4:46-48;	
tridge to a cartrid	-		, Fig. 1).		
sembly of a sutur	ing device;			aim 1[b] ( <b>Ex. 1004</b> , 12:22-27,	
		U	s. 5A and 5B		
	turing device havi	-		1 claim 1[a] ( <b>Ex. 1004</b> , 4:46-	
Ū	a protective hous			id. Fig. 1, 5:2-5, Figs. 5A, 5B;	
	g needle to cause a			30-34, Figs. 6A through 6D,	
-	rtridge to span a p	lu-	6:13-26).		
• •	d tissue segments,			oses that "when the instrument	
-	l end of the suturin	-	-	so that the tissues to be su-	
-	ed within the prot			ated at the housing aperture,	
-	a complete rotation	on		ill penetrate the tissues and	
-	edle about a rota-		-	sion between them." Ex.	
tional axis;	atuaton acumlad to		<b>1004</b> , 3:9-12		
	actuator coupled to easably engages th			<i>See</i> Ground 1 claim 1[c]. <b>Ex. 1004</b> , Fig. 2 (lever 16),	
	ional movement of		•	10:12-14, 6:27-47.	
	aperture and adva		•	<i>See</i> Ground 1 claim 1[b].	
	_		-	<b>Ex. 1004</b> , 10:12-23, Figs.	
needle through the plurality of separated tissue segments; and			6A-6B.		
[d] deactivating the actuator to stop an advancing			See Ground 1 claim 1[b]		
movement of the suturing needle to cause a sutur-			( <b>Ex. 1004</b> , 10:24-45, Figs.		
ing_material attached to the suturing needle to be			6B through 6D, 6:20-26).		
-	pulled through the plurality of separated tissue				
segments forming a stitch.					
<b>46.</b> The method of claim 40 wherein the needle rota- <i>See</i> Ground 1 claim 25					
	tion drive causes rotation of the suturing needle com- (Ex. 1004, 5:62-6:2, 9:59-				

III.B.2.) of the term "*deactivating the actuator* ... *to cause*."

Claim Pri	or Art			
prising a blunt end and a sharp pointed end by provid- 10:3).				
ing a pushing force adjacent to said blunt end and a				
pulling force adjacent to said sharp pointed end.				
47. The method of cla	aim Meade disclos	ses that "[a] fur	ther object of the invention	
40 wherein the tissue	is is to provide a	suturing instru	ument which incorporates a	
mammalian tissue.	unique thread	management system which controlledly		
	pulls the threa	d entirely through the <i>patient's tissues</i>		
	following each	n stitch." Ex. 1	004, 2:18-22.	
<b>48.</b> The method of cla		See Ground 1	claim 29 ( <b>Ex. 1004</b> , 5:13-	
comprising moving the	ne suturing needle	23, Figs. 5A,	5B, and 2).	
in a curved track on a	in inner surface of			
the cartridge during r	otation of the su-			
turing needle.				
<b>49.</b> The method of cla			claim 29 ( <b>Ex. 1004</b> , 5:13-	
comprising positionin	• •	23, 5:30-34, 1	Figs. 5A, 5B, and 2).	
of the suturing needle				
track of the cartridge	-			
rotation of the suturin				
<b>50</b> . The method of cla			(Ex. 1004, 5:13-23, 5:30-	
comprising slidably p	-	34, Figs. 5A,	5B, and 2).	
turing needle in a cur	ved track of the			
cartridge.	•••••	G 1. 1. 40	[	
<b>51.</b> A method for sutu	-		[preamble] ( <b>Ex. 1004</b> ,	
wound or incision site	e comprising the	10:12-23; see	e also <b>id</b> ., 12:1-21).	
steps of:	na a contridad to a	Sec claim 401	[a] ( <b>F.</b> , <b>100</b> / 4.46 49.37	
[a] releasably engagin		<i>See</i> claim 40[a] ( <b>Ex. 1004</b> , 4:46-48; <i>id</i> . Fig. 1, 12:22-27, Figs. 5A and 5B).		
cartridge holder asser	nory of a suturning	Fig. 1, 12.22-	-27, Figs. SA and SD).	
device; [ <b>b</b> ] placing a suturing	a device having a car	rtridge with a	<i>See</i> claim 40[b] ( <b>Ex.</b>	
protective housing an		-	<b>1004</b> , 4:46-48; <i>see also</i>	
site or incision site to	-		<i>id.</i> Fig. 1, 5:2-5, Figs. 5A,	
to span a tissue of the	_	-	5B; <b>Ex. 1004</b> , 5:30-34,	
a pointed end of the s			Figs. 6A through 6D,	
in the protective hous	• •		6:13-26; <b>Ex. 1004</b> , 3:9-	
the suturing needle at	• •		12).	
[c] activating an actua			<i>See</i> claim 40[c] ( <b>Ex.</b>	
drive that releasably e	-		<b>1004</b> , Fig. 2 (lever 16),	
cause rotational movement of the suturing needle across 10:12-14, 6:27-47.				

the aperture and advance the suture needle throug			<b>Ex. 1004</b> , 10:12-23,				
tissue of the wound or incision site; and			Figs. 6A-6B				
[ <b>d</b> ] deactivating the a	actuator at the completion of	ad-	<i>See</i> claim 40[d] ( <b>Ex.</b>				
vancing movement o	of the suturing needle to cause	e a su-	<b>1004</b> , 10:24-45, Figs.				
turing material attach	ned to the suturing needle to b	be	6B through 6D, 6:20-				
pulled through the tis	ssue of the wound or incision	site;	26).				
and							
[e] repeating steps	Meade discloses that "[t]he	surgeon	continues to manipulate				
B through D to	the instrument, alternately a	dvancin	g and rotating the needle				
cause a plurality of	about an axis that is general	ly parall	el to the direction of ad-				
stitches to be	vancement to create a conti	nuous s	uture which may extend				
placed through the	the entire length of the inci	sion." E	<b>Ex. 1004</b> , 3:30-34. See al-				
tissue of the wound	so. Ex. 1004, 4:6-10 ("[The	apparat	us and method disclosed				
or incision site.	in Meade] should find wide	applicat	tion wherever sutures				
	consisting of single stitches	or cont	inuous stitches").				
<b>52.</b> The method of cl	aim 51 for obtaining a se-	See cla	im 51[e] ( <b>Ex. 1004</b> ,				
ries of continuous su	tures to close the wound or	3:30-34	4, 4:6-10).				
incision site.							
<b>59.</b> The method of cl	aim 51 wherein the tissue is	See cla	im 47 ( <b>Ex. 1004</b> , 2:18-				
mammalian tissue.							
<b>60.</b> The method of claim 51 further comprising			im 48 ( <b>Ex. 1004</b> , 5:13-				
moving the suturing	needle in a curved track on	23, Fig	s. 5A, 5B, and 2).				
an inner surface of th	ne cartridge during rotation	_					
of the suturing needle	e.						
<b>61.</b> The method of claim 51 further comprising			im 49 ( <b>Ex. 1004</b> , 5:13-				
positioning the pointed end of the suturing nee-			0-34, Figs. 5A, 5B, and				
dle within a curved track of the cartridge prior to			_				
and after rotation of the suturing needle.							
<b>62.</b> The method of claim 51 further comprising			im 50 ( <b>Ex. 1004</b> , 5:13-				
slidably positioning the suturing needle in a			0-34, Figs. 5A, 5B, and				
curved track of the ca	artridge.	2).					
	0	. /					

# 8. Ground 8: Under Alternative Constructions of the Terms "positioned within the protective housing" and "deactivating the actuator . . . to cause," Meade in View of Beurrier Renders Obvious Claims 40, 46-52, and 59-62

To the extent that it is determined that the term "positioned within the pro-

tective housing" means that the pointed end of the needle "does not project at all outside of the protective housing," Meade in view of Beurrier renders of obvious claims 40, 46-52, 59-62 for the same reasons described under Ground 7, which is incorporated herein, except as to this claim term. As explained in Section VI.B.2., Beurrier's teaching that the pointed end of its arcuate needle be "safely protected" in its housing to "avoid[] injury or the spread of infection to a user of the device" (**Ex. 1005**, 5:66-68) would have motivated a person of ordinary skill in the art to modify the "home position" of Meade so that the pointed end of the needle did not protrude <u>at all</u> outside the cartridge after a complete rotation. **Ex. 1003**, ¶ 111. Thus, Meade in view of Beurrier renders obvious claims 40, 46-52, and 59-62. *See also* **Ex. 1003**, Appendix B, Ground 8 (pp. 89-102).

#### VII. SECONDARY CONSIDERATIONS

For evidence of commercial success to be pertinent to an evaluation of obviousness, there must be a nexus between the commercial success and the merits of the claimed invention. That is, the commercial success must be shown to be due to the nature of the invention as claimed, as opposed to other factors, such as economic and commercial factors that are unrelated to the technical quality of the patented invention. There is no evidence that any product on the market has received any industry recognition attributable to the features claimed in the Challenged Claims of the '819 Patent. *See* **Ex. 1003**, ¶ 133-134. There is no evidence that any

product on the market has achieved any unexpected results or recognition attributable to the features claimed in the Challenged Claims of the '819 Patent. *Id.*, ¶ 137-138. Moreover, the features claimed in the Challenged Claims were well known in the art and are not attributable to any alleged unexpected, or superior properties. *Id.* Finally, there is no evidence that prior to the purported invention of the '819 Patent, there existed a long-felt need for the invention claimed in the Challenged Claims. *Id.*, ¶ 136.

## VIII. MANDATORY NOTICES UNDER 37 C.F.R § 42.8(a)(1)

### A. Real Party-In-Interest Under 37 C.F.R. § 42.8(b)(1)

Petitioner Ethicon, Inc. is a real party-in-interest. Ethicon Endo-Surgery, Inc. is also a real party-in-interest. Ethicon, Inc. and Ethicon Endo-Surgery, Inc. are wholly-owned subsidiaries of Johnson & Johnson, which is also a real party-ininterest.

### B. Related Matters Under 37 C.F.R. § 42.8(b)(2)

Petitioner is not aware of any disclaimers, reexamination certificates or petitions for IPR for the '819 Patent. Patent Application No. 13/197,870, which is a child of the '254 Application may be affected by this proceeding.

### C. Lead and Back-Up Counsel and Service Information

The signature block of this petition designates lead counsel, backup counsel, and service information for Petitioner.

### IX. GROUNDS FOR STANDING UNDER § 42.104(a)

Petitioner certifies that the '819 Patent is available for IPR and that Petitioner is not barred or estopped from requesting IPR challenging the claims of the '819 Patent on the grounds identified in this petition. Specifically, Petitioner states that: (1) Petitioner is not the owner of the '819 Patent; (2) Petitioner has not filed a civil action challenging the validity of any claim of the '819 Patent; and (3) Petitioner has not been served with a complaint alleging infringement of the '819 Patent.

### X. PAYMENT OF FEES – 37 C.F.R. § 42.103

Petitioner authorizes the Patent and Trademark Office to charge Deposit Account No. 50-2310 for the fee set in 37 C.F.R. § 42.15(a) for this petition and further authorizes for any additional fees to be charged to this account.

### XI. CONCLUSION

The prior art identified in this petition provides new, non-cumulative teachings which show a reasonable likelihood of success as to Petitioner's assertion that the Challenged Claims of the '819 Patent are unpatentable pursuant to the grounds presented. Petitioner respectfully requests institution of IPR for the Challenged Claims of the '819 Patent.

Dated: October 22, 2015

Respectfully submitted,

By: <u>/Dianne B. Elderkin</u> / Dianne B. Elderkin (Lead Counsel) Reg. No. 28,598 Ruben H. Munoz (Backup Counsel) Reg. No. 66,998

# Akin Gump Strauss Hauer & Feld LLP

Two Commerce Square 2001 Market Street Suite 4100 Philadelphia, PA 19103-7013 Tel: 215-965-1200 Fax: 215-965-1210 Email: ETHI-ENDO@akingump.com

Attorneys for Petitioner Ethicon, Inc.

# **CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.6(e) and 42.105(a), the undersigned certifies that

it caused to be served a true and correct copy of the foregoing **PETITION FOR** 

# INTER PARTES REVIEW OF U.S. PATENT NO. 6,923,819 (including ac-

companying Exhibits 1001-1010) by Priority Mail Express<sup>®</sup>, on October 22, 2015,

on the Patent Owner at the correspondence address of record for the subject patent:

Edwards Angell Palmer & Dodge LLP P.O. Box 55874 Boston MA 02205

The undersigned further certifies that it caused to be served a true and cor-

rect copy of the foregoing PETITION FOR INTER PARTES REVIEW OF U.S.

PATENT NO. 6,923,819 (including accompanying Exhibits 1001-1010) by Fed-

eral Express, on October 22, 2015, on the following address, which is likely to ef-

fect service on the Patent Owner:

Ron Rudowsky, President/CEO EndoEvolution, LLC 10 Commerce Way, Suite 5 Raynham, MA 02767 USA

Date: October 22, 2015

/Dianne B. Elderkin /

Dianne B. Elderkin Reg. No. 28,598