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(12) **United States Patent**  
**Coppens et al.**

(10) **Patent No.:** **US 11,364,088 B2**  
(45) **Date of Patent:** **Jun. 21, 2022**

- (54) **ADJUSTABLE IMMOBILIZER APPARATUS AND METHOD FOR IMMOBILIZING A PATIENT**
- (71) Applicant: **Qfix Systems, LLC**, Avondale, PA (US)
- (72) Inventors: **Daniel D. Coppens**, Avondale, PA (US); **David M. Rabeno**, Avondale, PA (US); **Stephen J. Reiser**, Glen Mills, PA (US)
- (73) Assignee: **QFIX Systems, LLC**, Avondale, PA (US)

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(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **17/518,420**

(Continued)

(22) Filed: **Nov. 3, 2021**

*Primary Examiner* — Keri J Nelson

(65) **Prior Publication Data**

(74) *Attorney, Agent, or Firm* — RatnerPrestia

US 2022/0054221 A1 Feb. 24, 2022

**Related U.S. Application Data**

(57) **ABSTRACT**

(63) Continuation of application No. 16/917,232, filed on Jun. 30, 2020, which is a continuation of application (Continued)

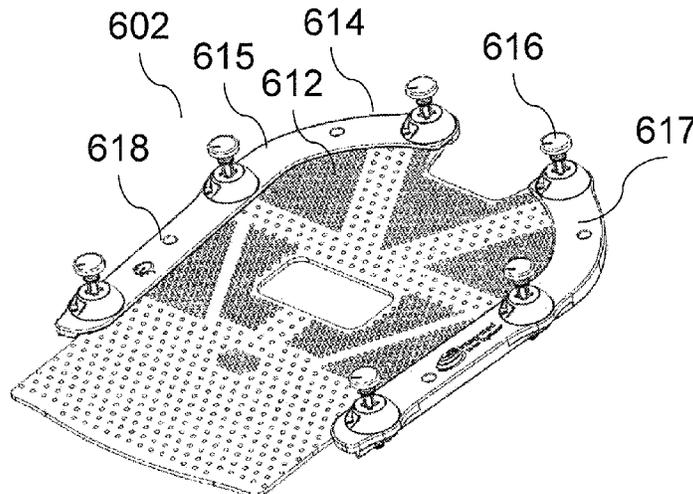
Systems for immobilizing a patient are disclosed. The system includes at least one preform formed from a low melting temperature thermoplastic, the preform being configured to be formed to the anatomy of the patient, at least one frame coupled to the at least one preform, and at least one support configured to support the anatomy of the patient. The system also includes at least one lock mechanism coupled to at least one of the frame and the support and configured to couple the at least one frame to the at least one support, and at least one adjuster mechanism coupled to at least one of the at least one frame and the at least one support and configured to selectively adjust a distance between the at least one frame and the at least one support while the at least one frame is coupled to the at least one support.

(51) **Int. Cl.**  
**A61G 15/00** (2006.01)  
**A61B 90/18** (2016.01)  
(Continued)

(52) **U.S. Cl.**  
CPC ..... **A61B 90/18** (2016.02); **A61B 90/14** (2016.02); **A61F 5/05841** (2013.01);  
(Continued)

(58) **Field of Classification Search**  
CPC ..... A61B 90/18; A61B 90/14; A61B 6/0421; A61B 90/17; A61B 90/10; A61B 6/0428;  
(Continued)

**16 Claims, 26 Drawing Sheets**



**Related U.S. Application Data**

No. 16/223,848, filed on Dec. 18, 2018, now Pat. No. 11,071,606, which is a continuation of application No. 14/913,760, filed as application No. PCT/US2015/014090 on Feb. 2, 2015.

(60) Provisional application No. 62/102,358, filed on Jan. 12, 2015, provisional application No. 61/941,542, filed on Feb. 19, 2014.

(51) **Int. Cl.**

*A61F 5/37* (2006.01)  
*A61F 5/058* (2006.01)  
*A61B 90/14* (2016.01)  
*A61B 6/04* (2006.01)  
*A61N 5/10* (2006.01)

(52) **U.S. Cl.**

CPC ..... *A61F 5/05858* (2013.01); *A61F 5/3707* (2013.01); *A61B 6/0421* (2013.01); *A61N 2005/1096* (2013.01); *A61N 2005/1097* (2013.01)

(58) **Field of Classification Search**

CPC ... A61B 8/40; A61B 2018/00321; A61B 6/04; A61B 2090/101; A61B 90/00; A61F 5/05883; A61F 5/05; A61F 5/3707; A61F 5/05891; A61F 5/3769; A61F 5/05841; A61F 5/05858; A61F 5/0585; A61F 5/37; A61F 5/0104; A61F 5/058; A61F 2009/0035; A61N 2005/1096; A61N 2005/1097; A61N 5/10; F16B 19/1081; F16B 21/06; F16B 5/0072; F16B 5/02; F16B 5/0233; F16B 5/0283; F16B 5/0216; F16B 5/0628; F16B 5/0084; F16B 5/0088; F16B 5/00; F16B 5/0056; F16B 5/065

USPC ..... 128/845

See application file for complete search history.

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Entire patent prosecution history of U.S. Appl. No. 16/223,848, filed Dec. 18, 2018, entitled, "Adjustable Immobilizer Apparatus and Method for Immobilizing a Patient."

Entire patent prosecution history of U.S. Appl. No. 16/917,232, filed Jun. 30, 2020, entitled, "Adjustable Immobilizer Apparatus and Method for Immobilizing a Patient."

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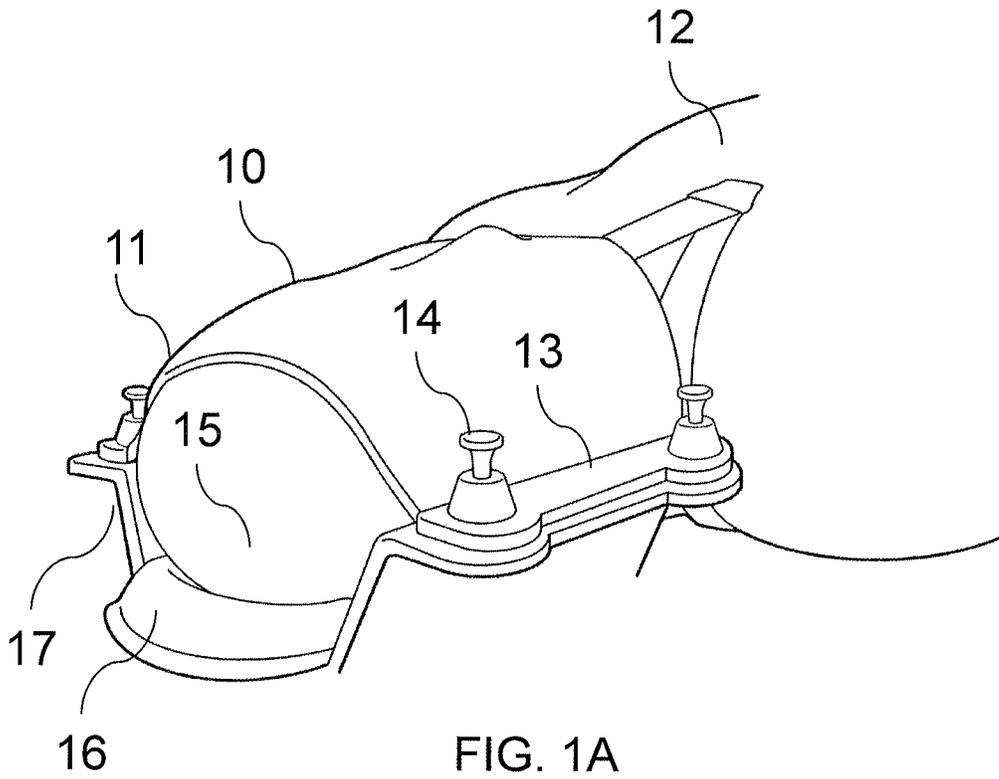


FIG. 1A

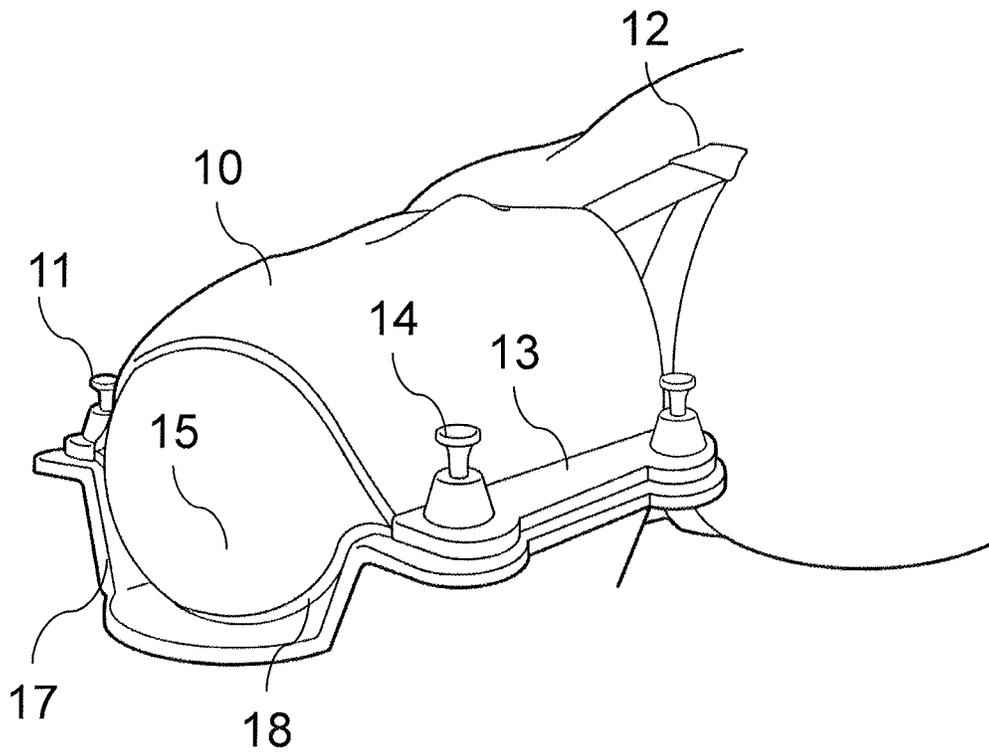


FIG. 1B

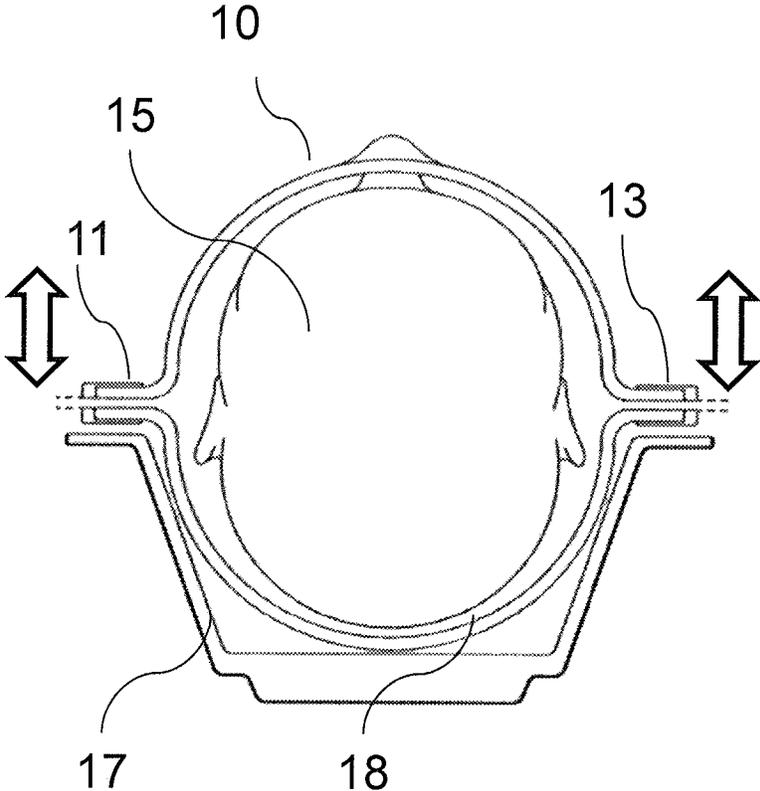
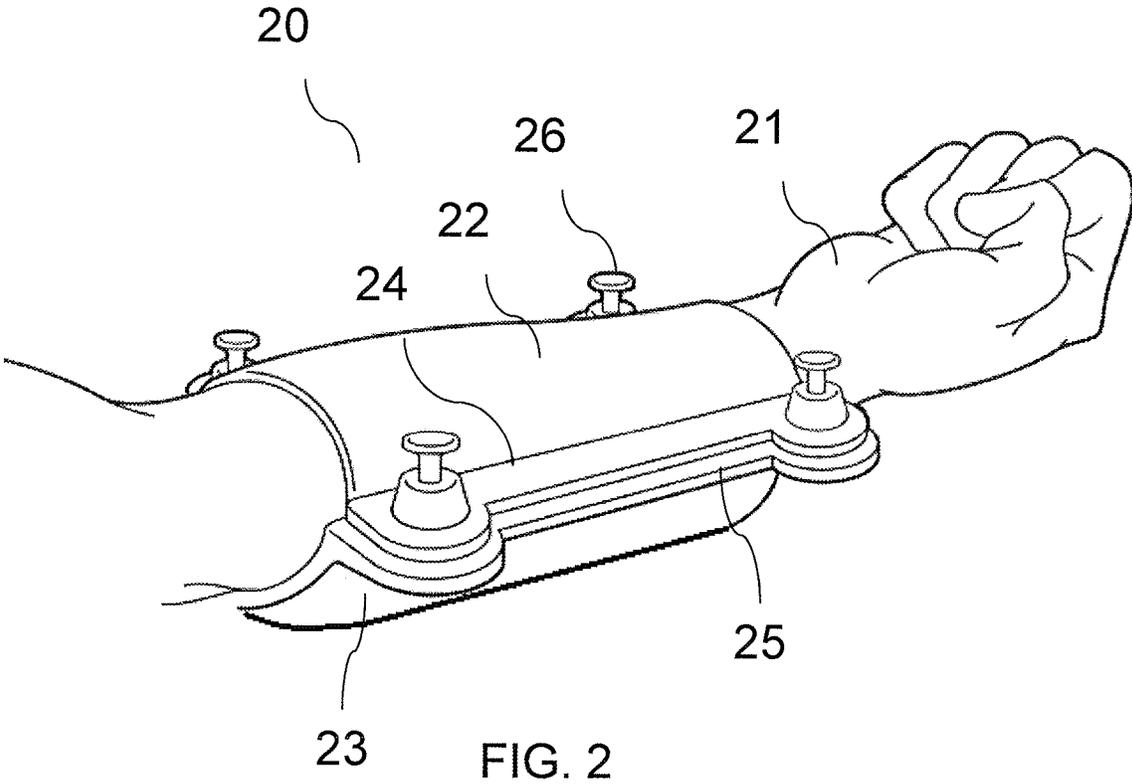


FIG. 1C



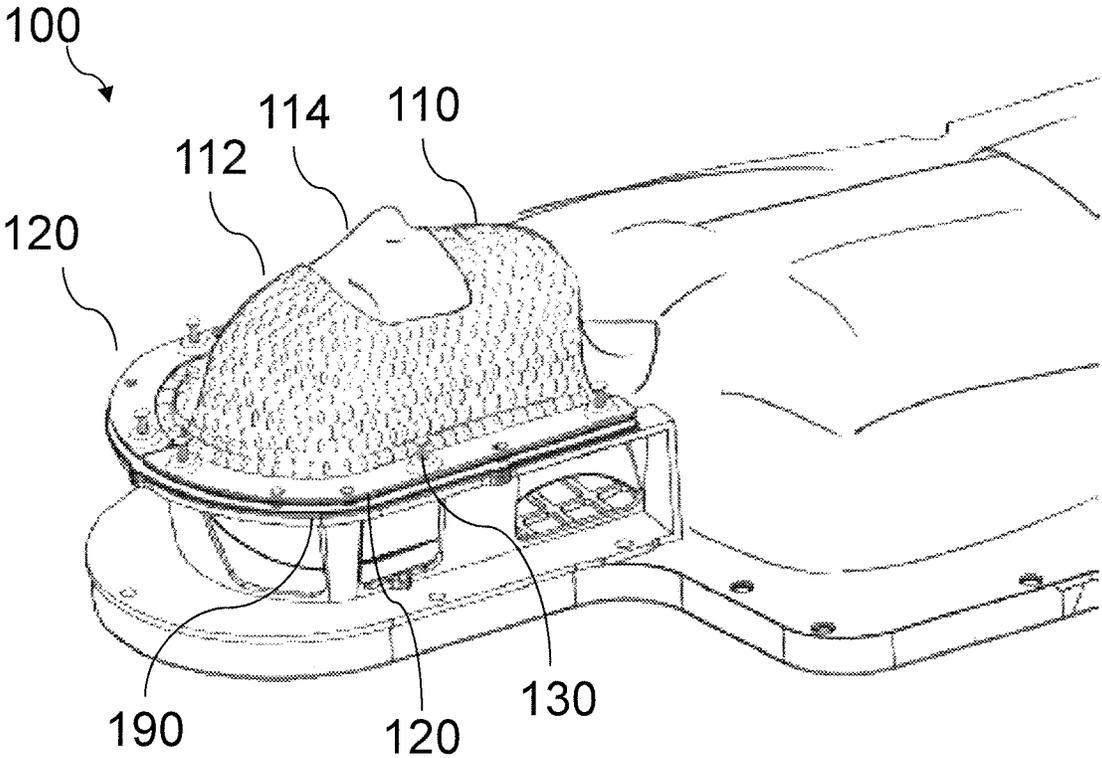


FIG. 3A

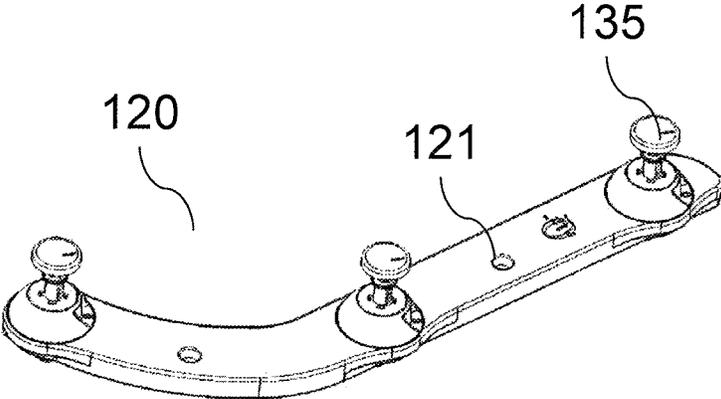


FIG. 3B

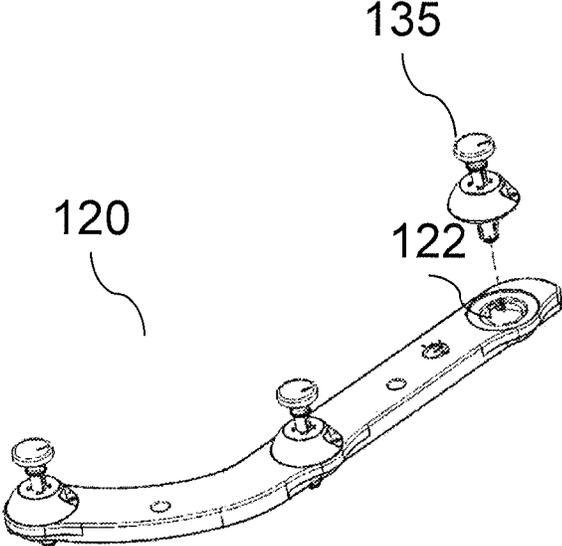


FIG. 3C

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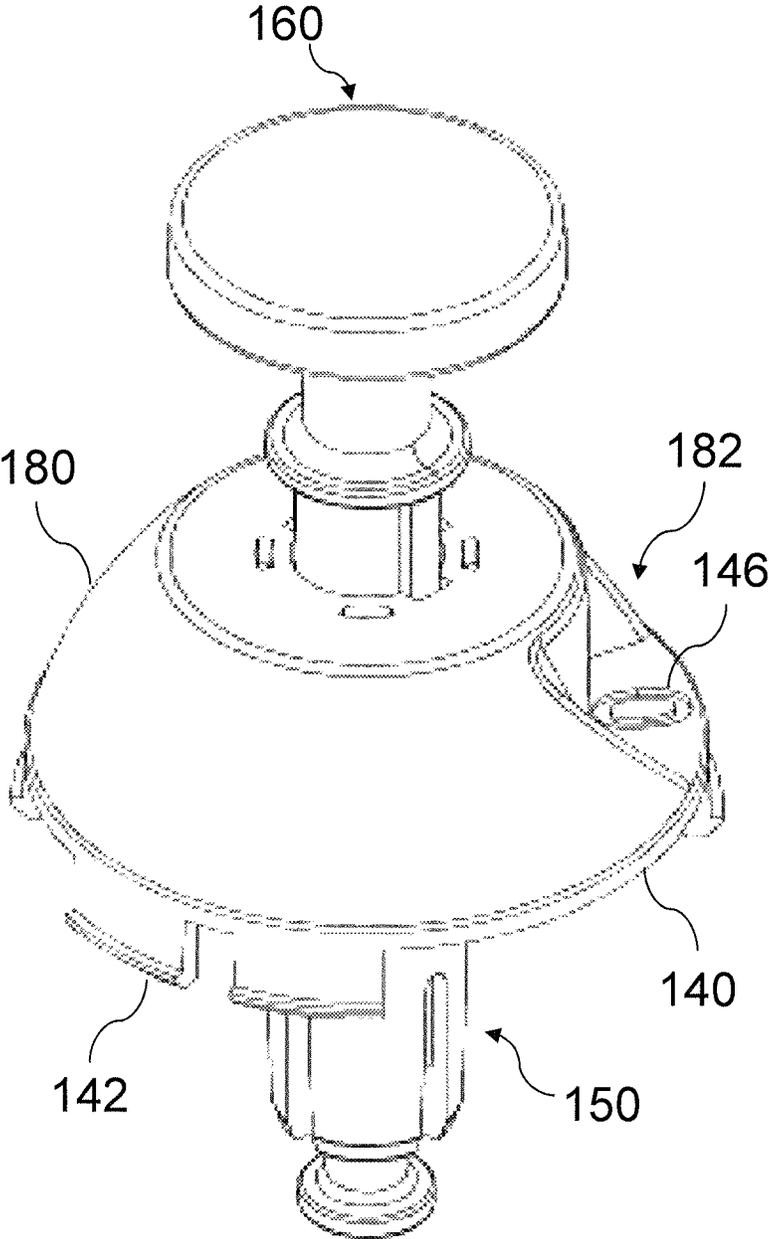


FIG. 4A

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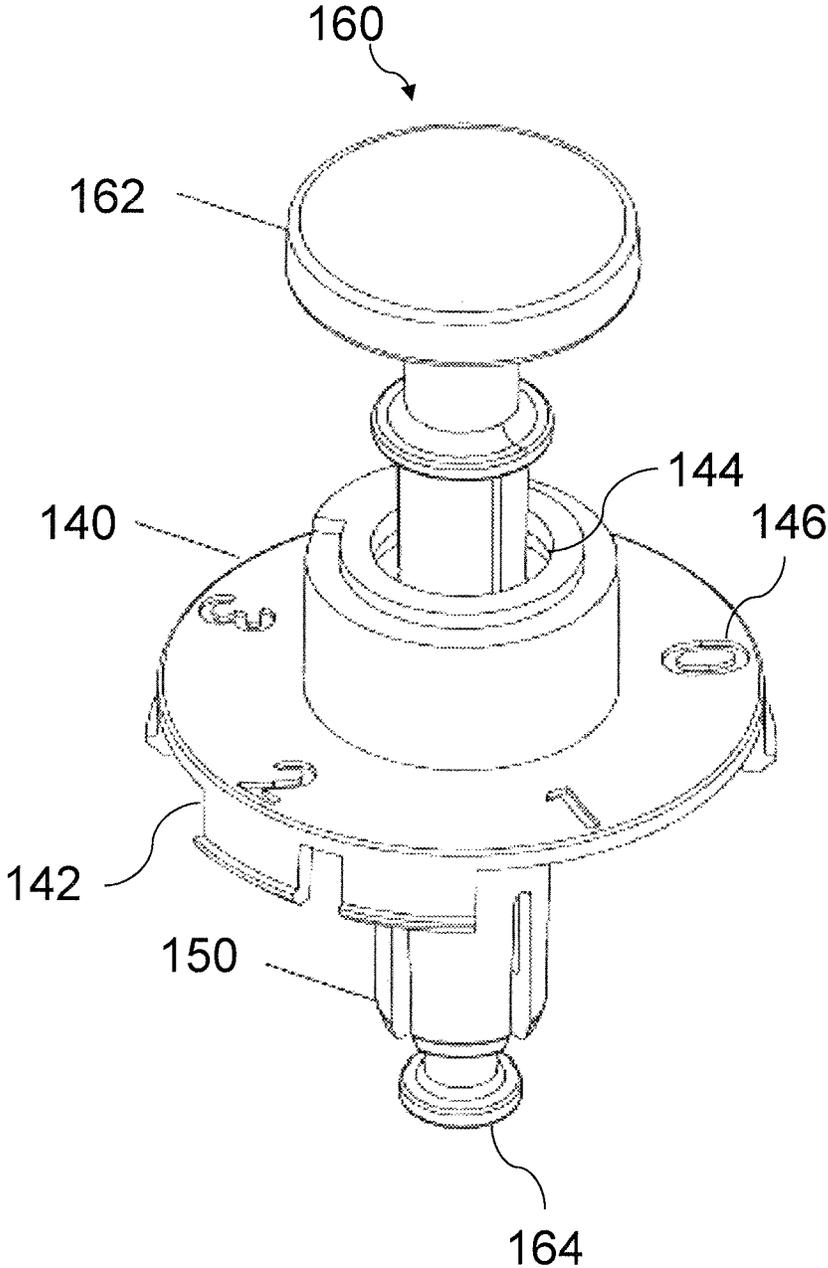


FIG. 4B

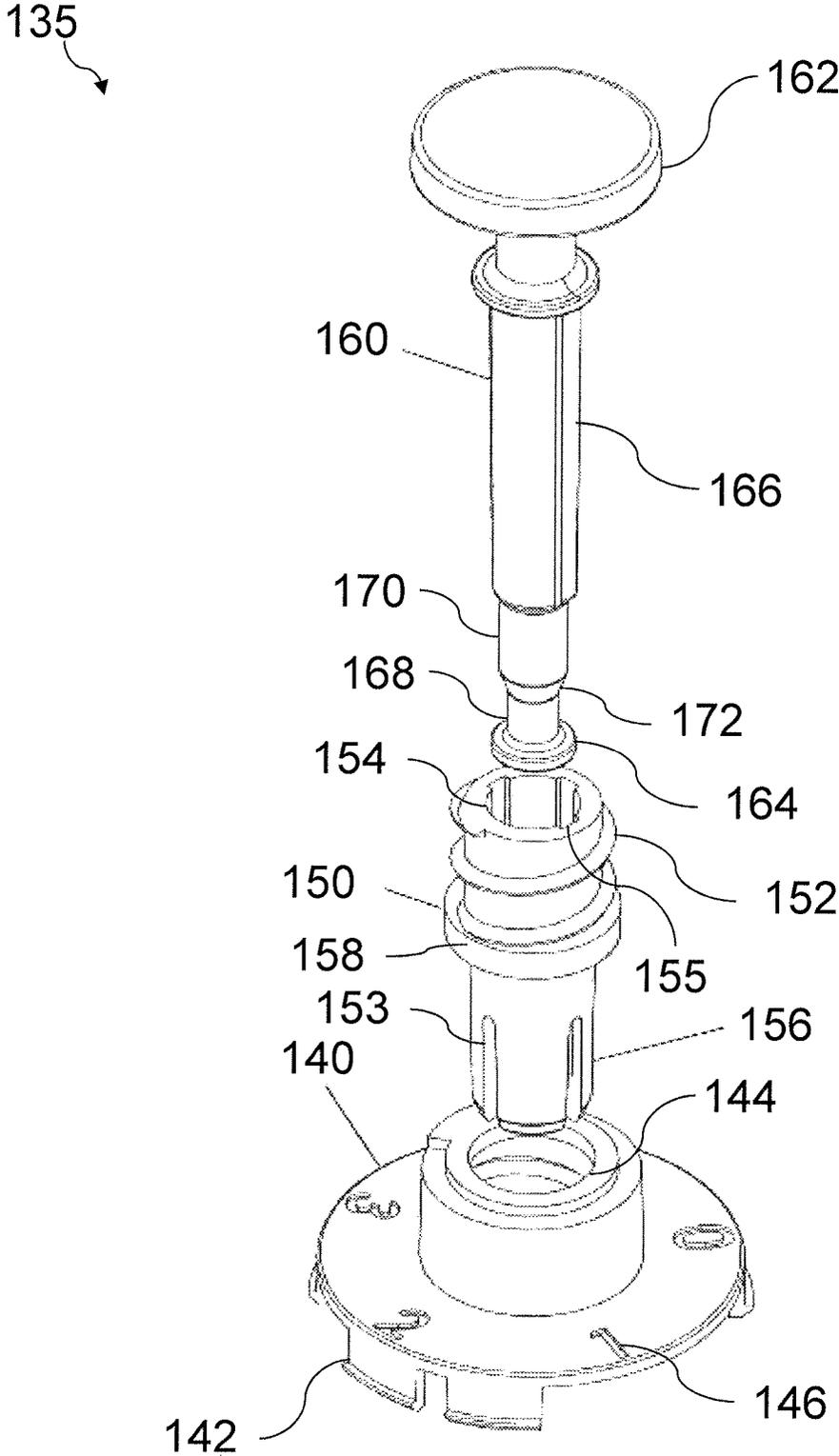
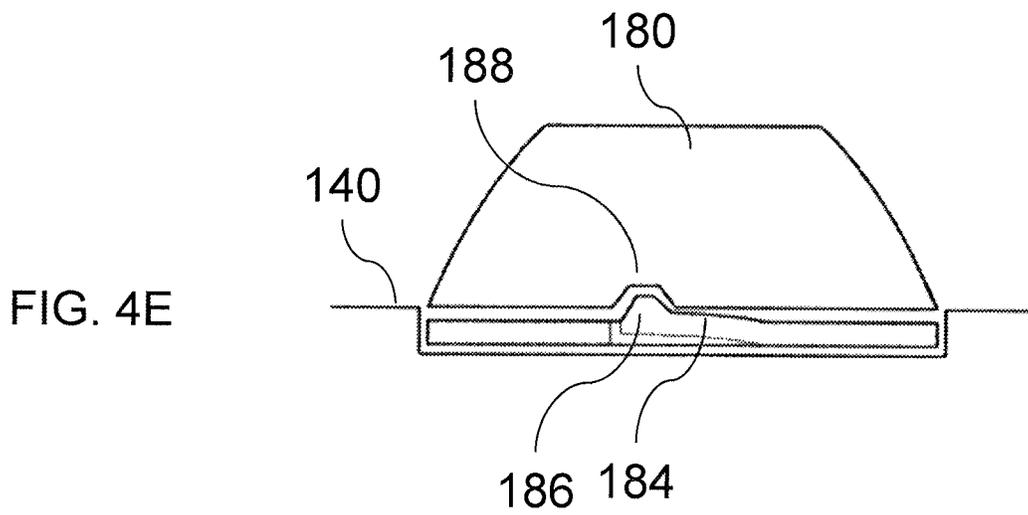
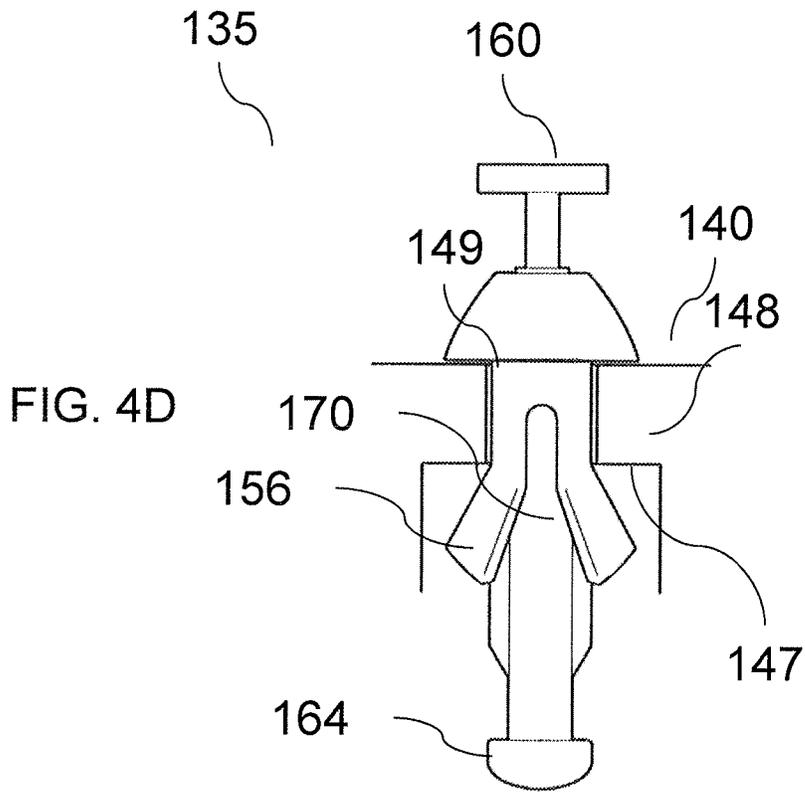


FIG. 4C



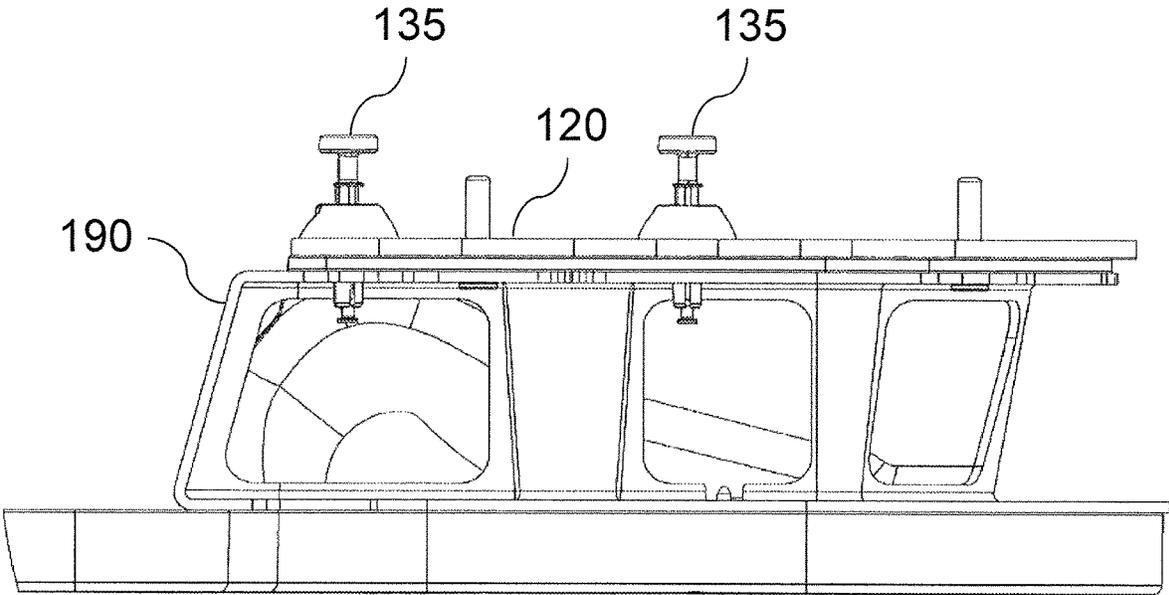


FIG. 5A

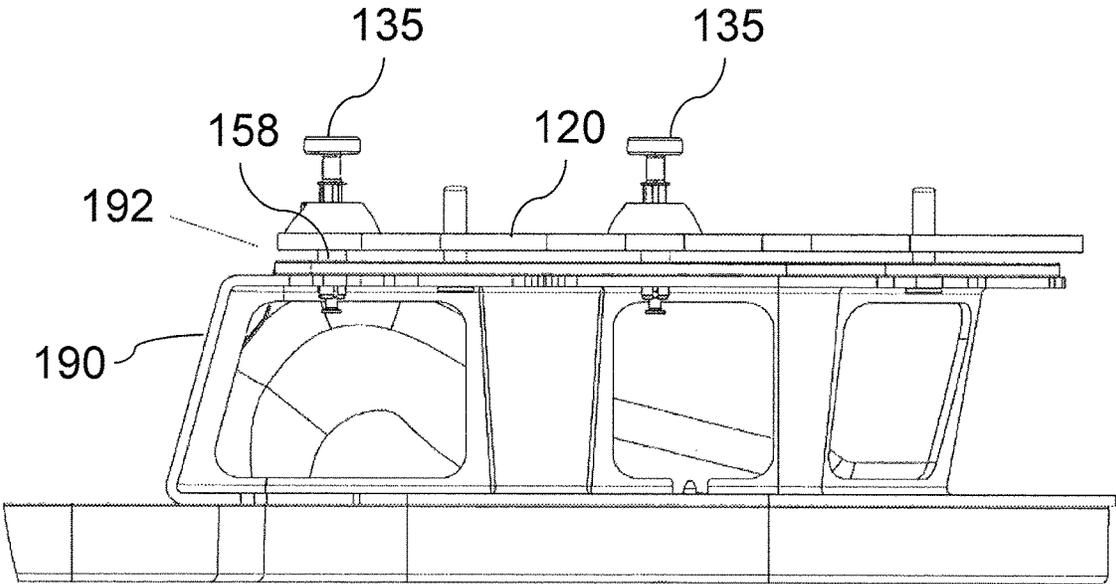


FIG. 5B

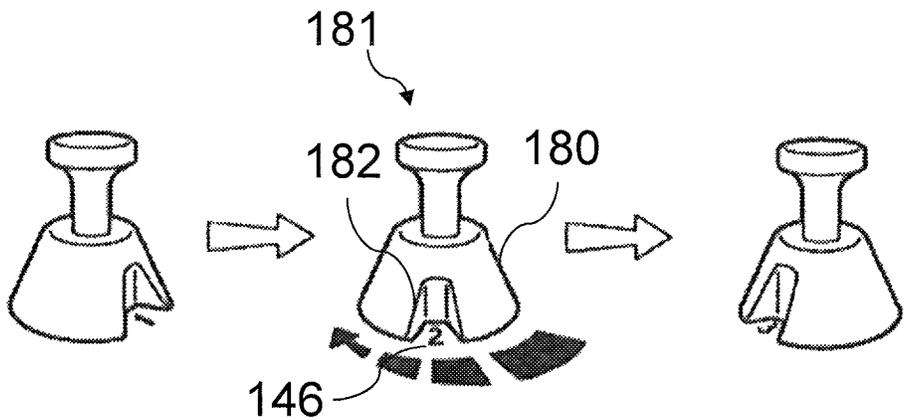


FIG. 6

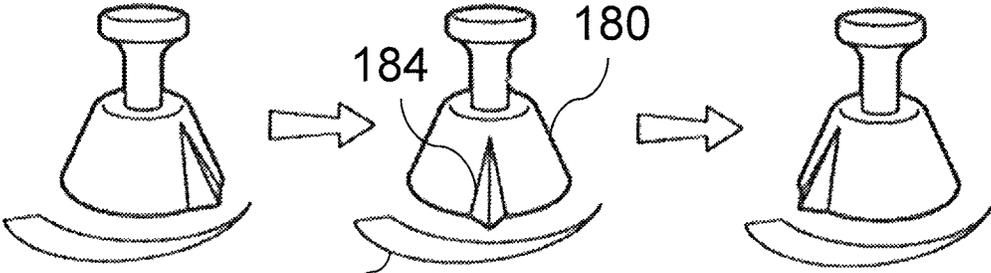
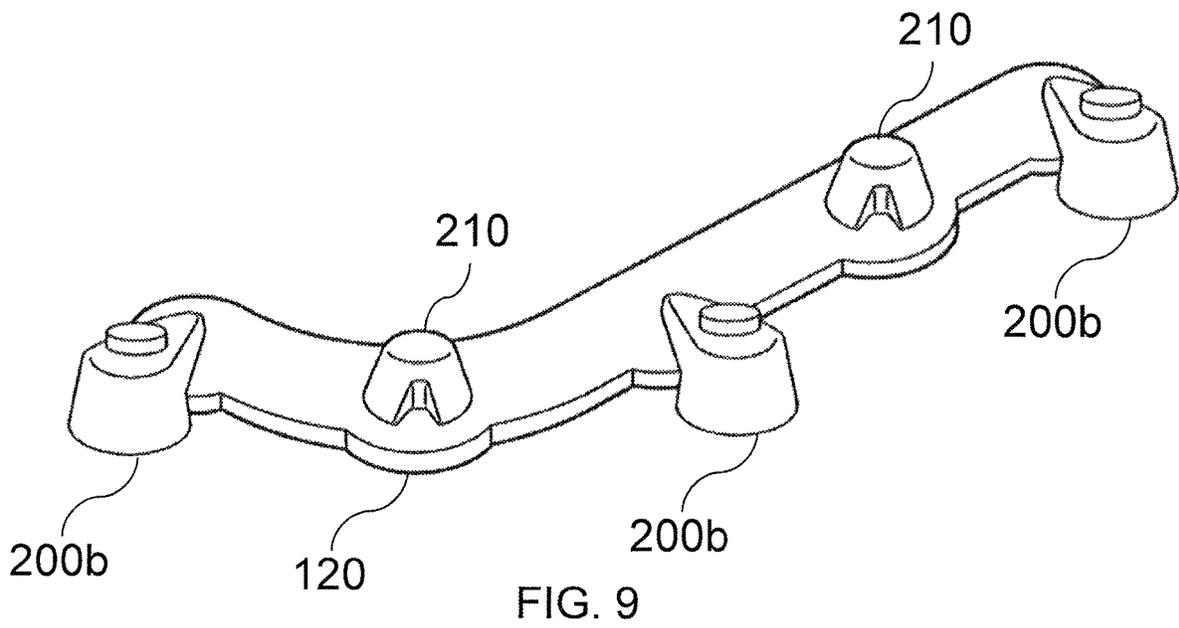
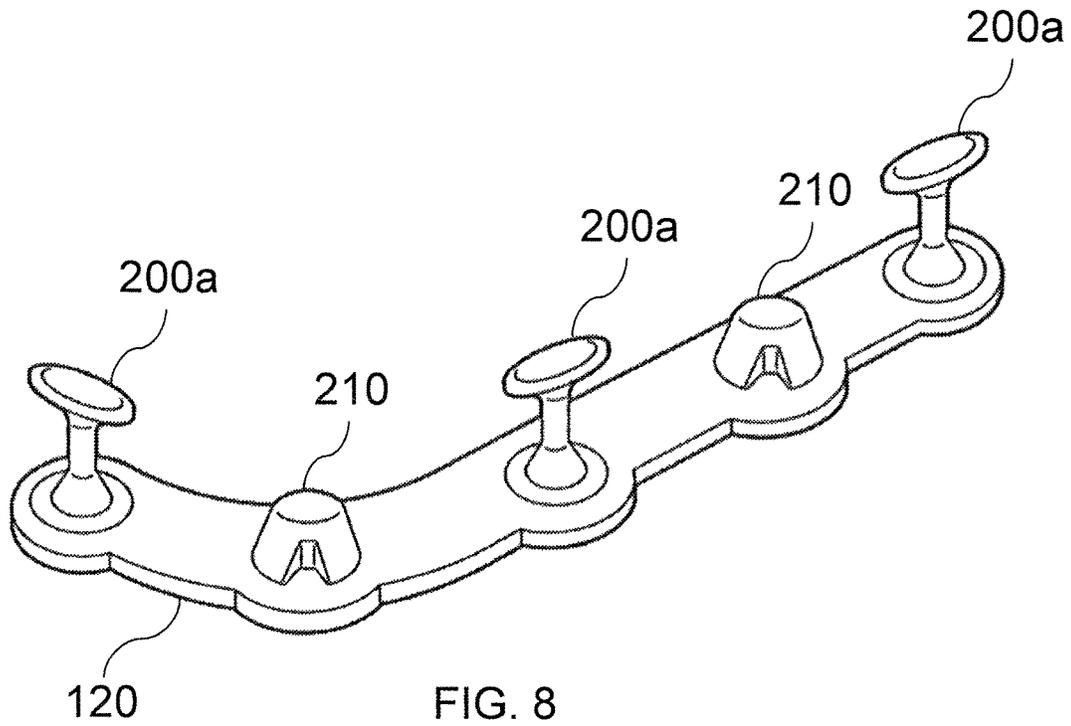


FIG. 7



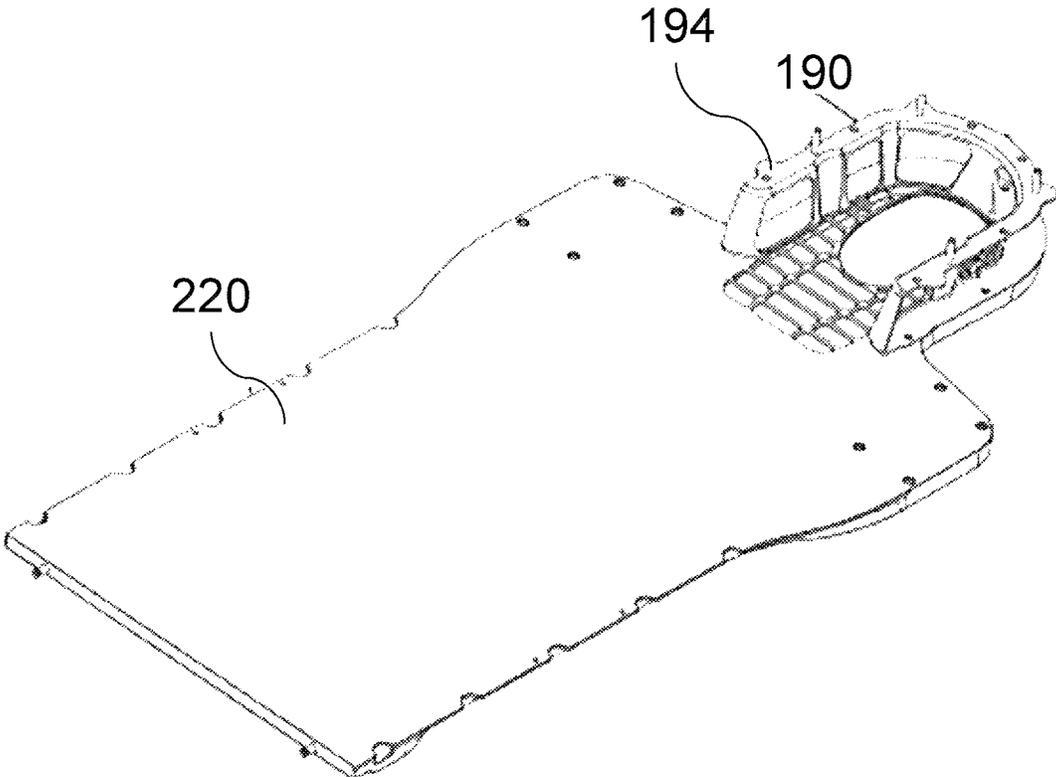


FIG. 10

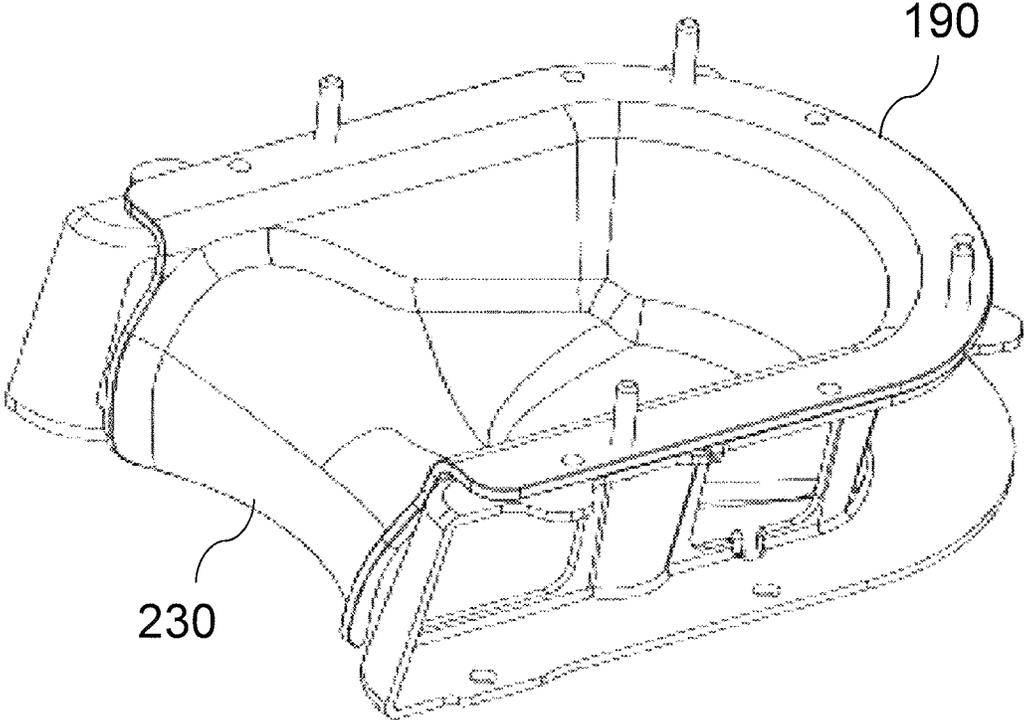


FIG. 11A

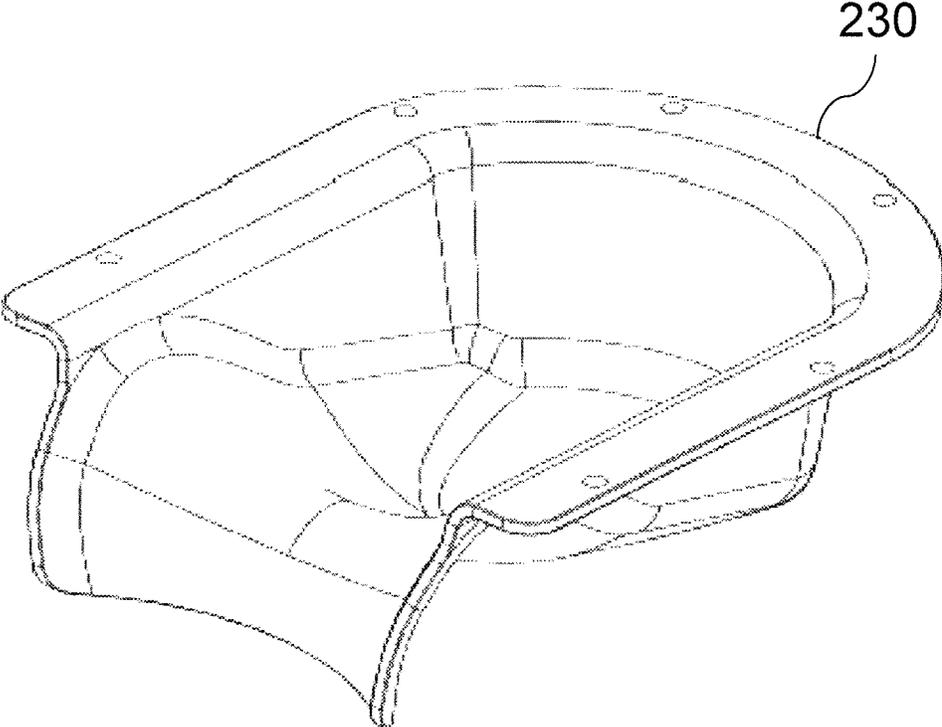


FIG. 11B

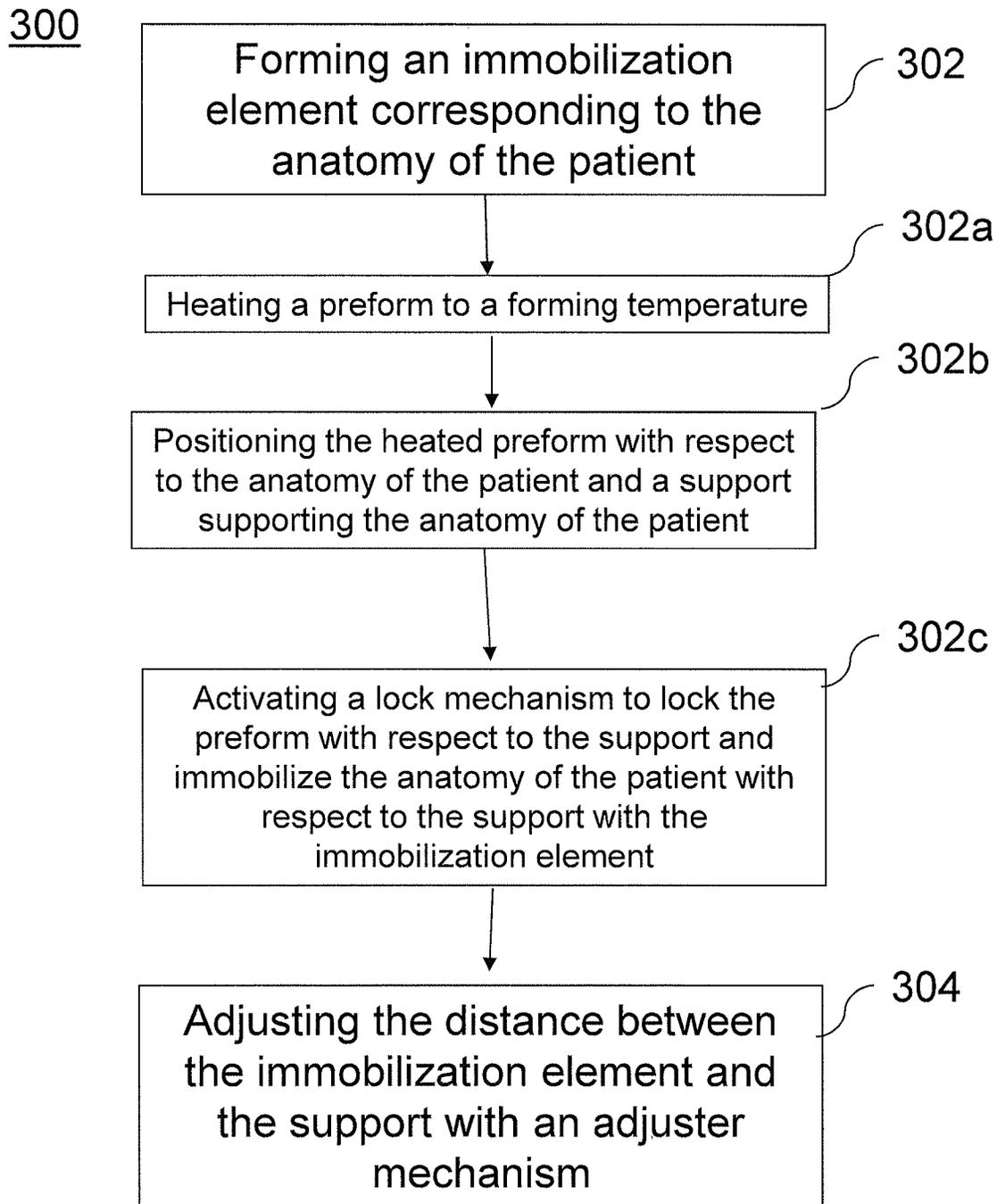


FIG. 12

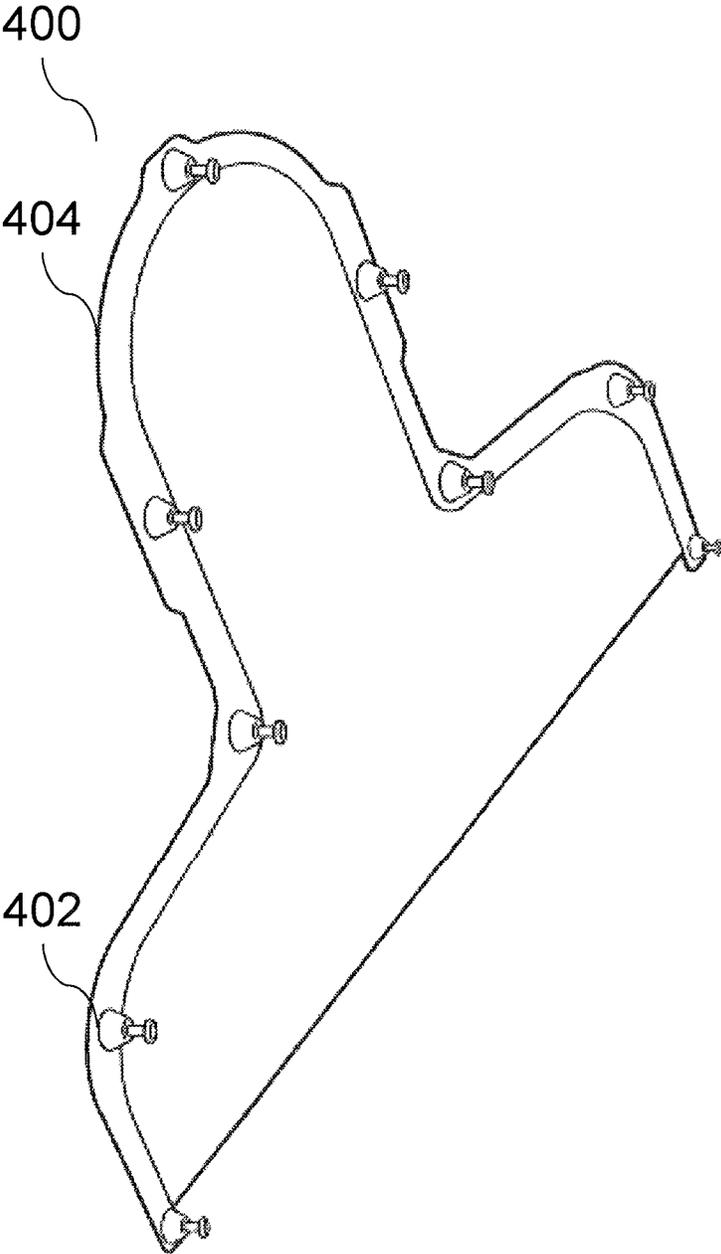


FIG. 13

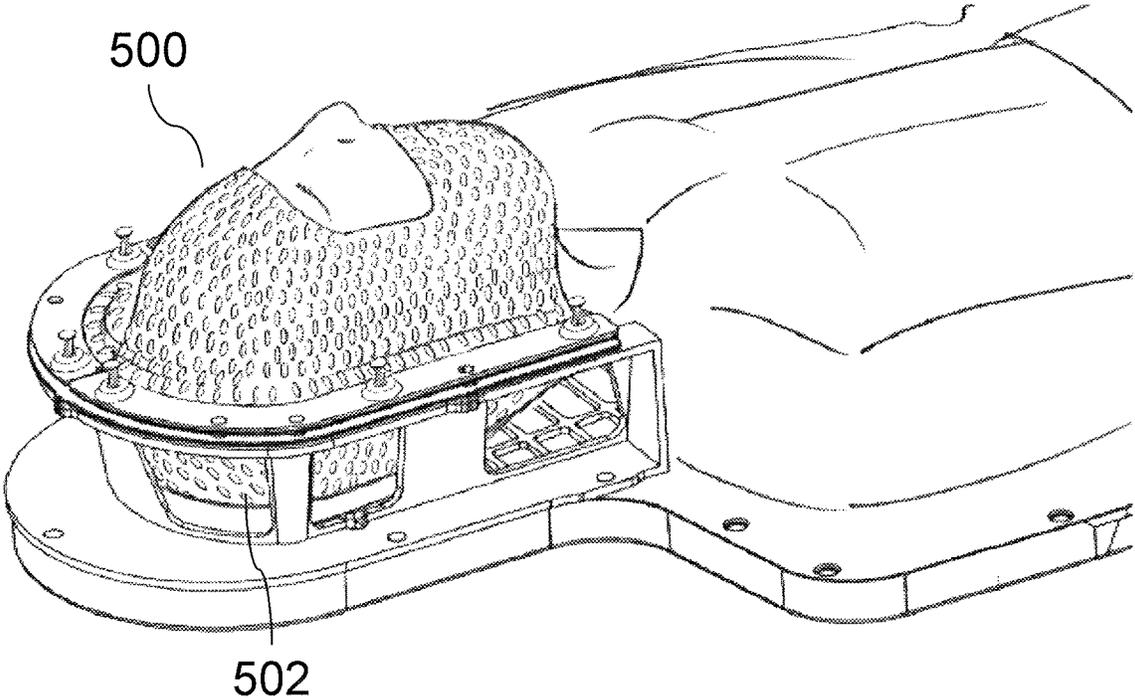


FIG. 14

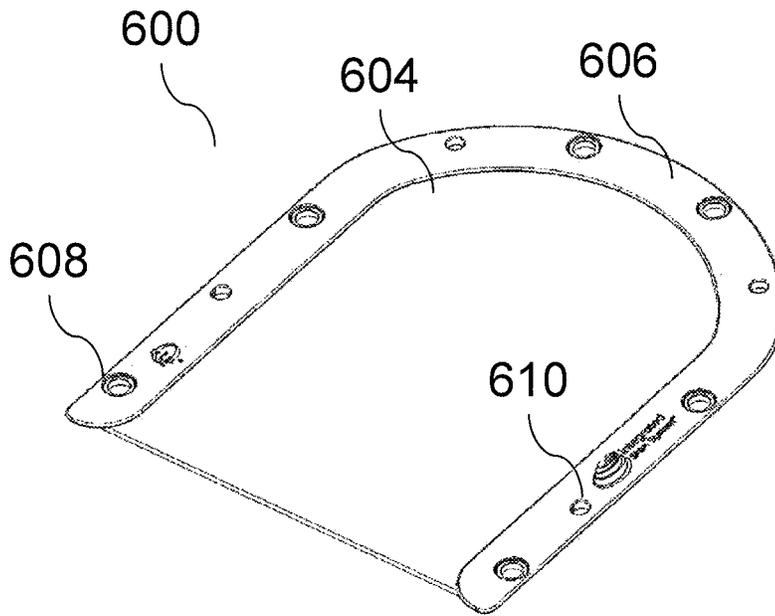


FIG. 15

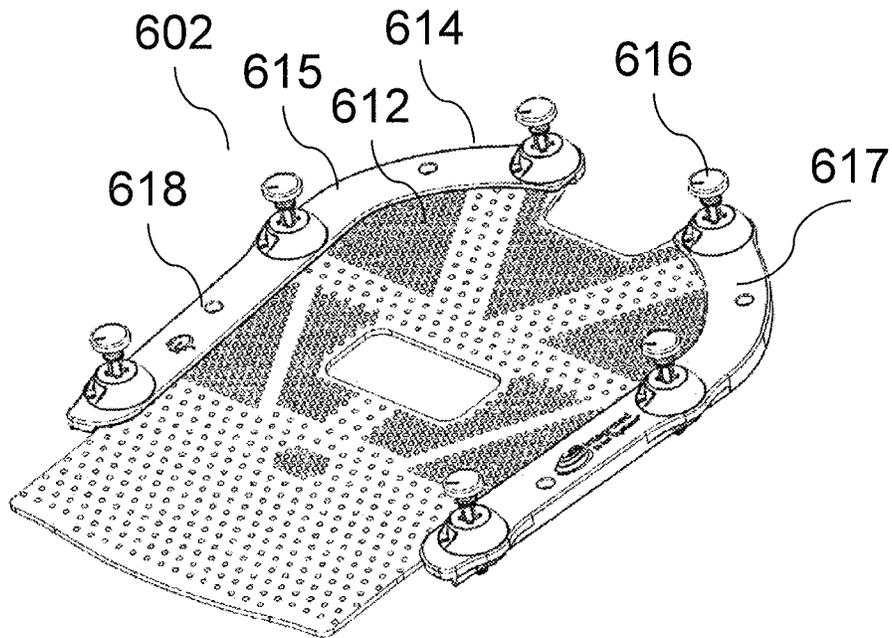


FIG. 16

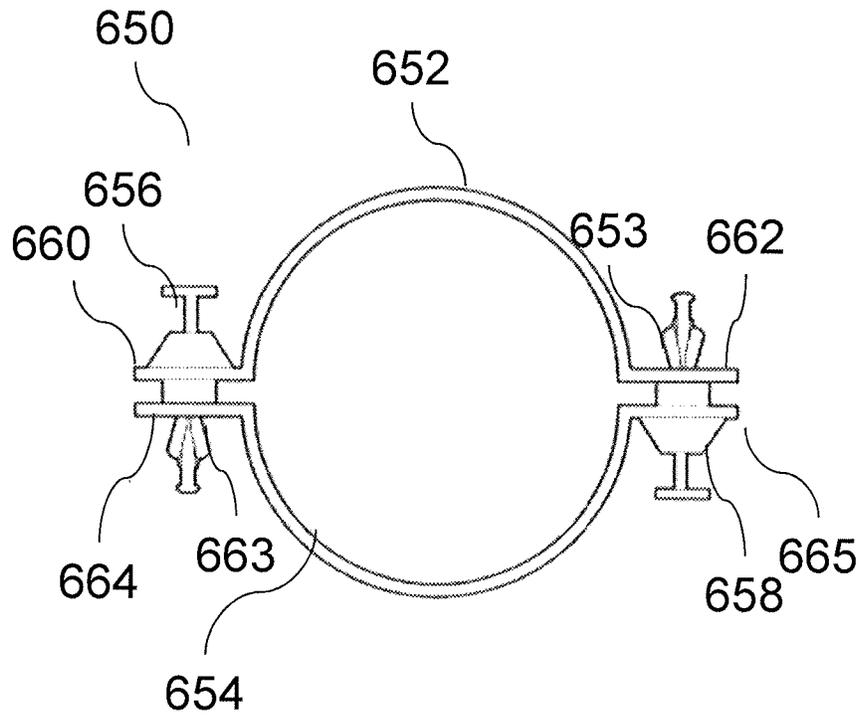


FIG. 17

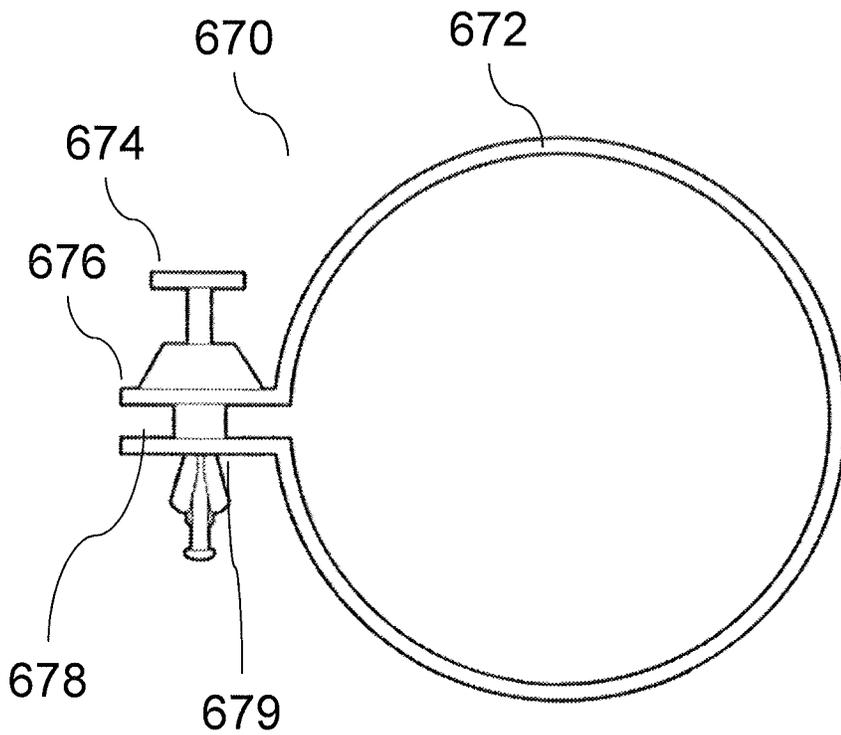


FIG. 18

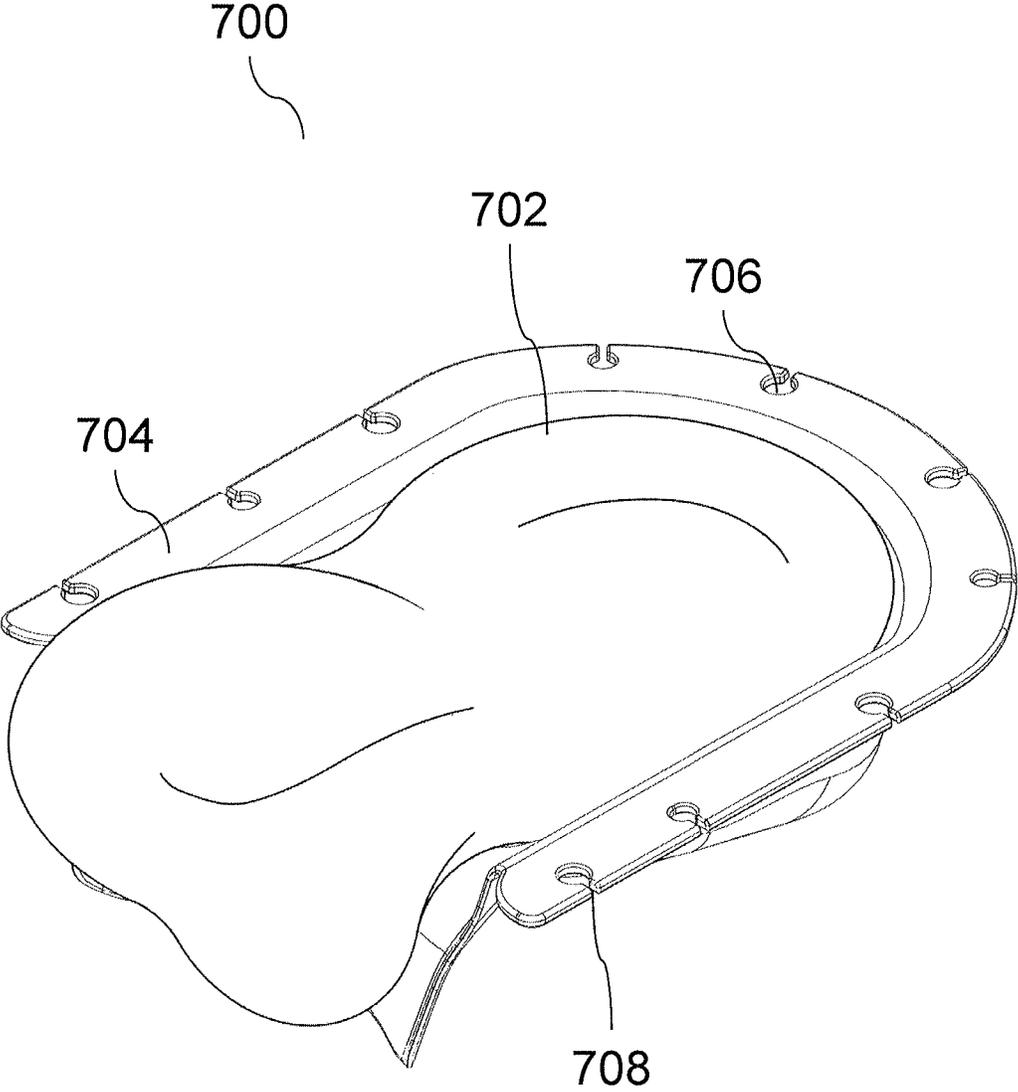


FIG. 19

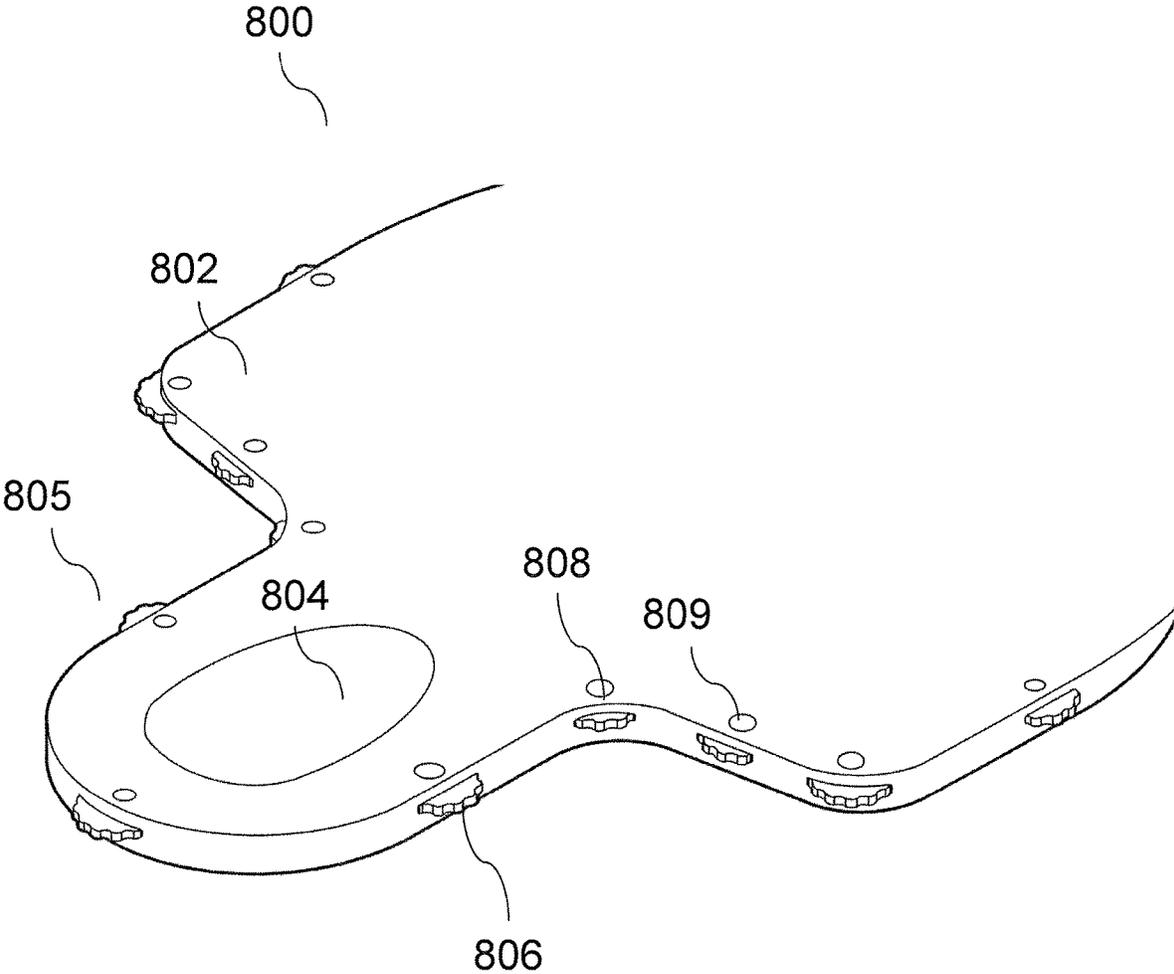


FIG. 20

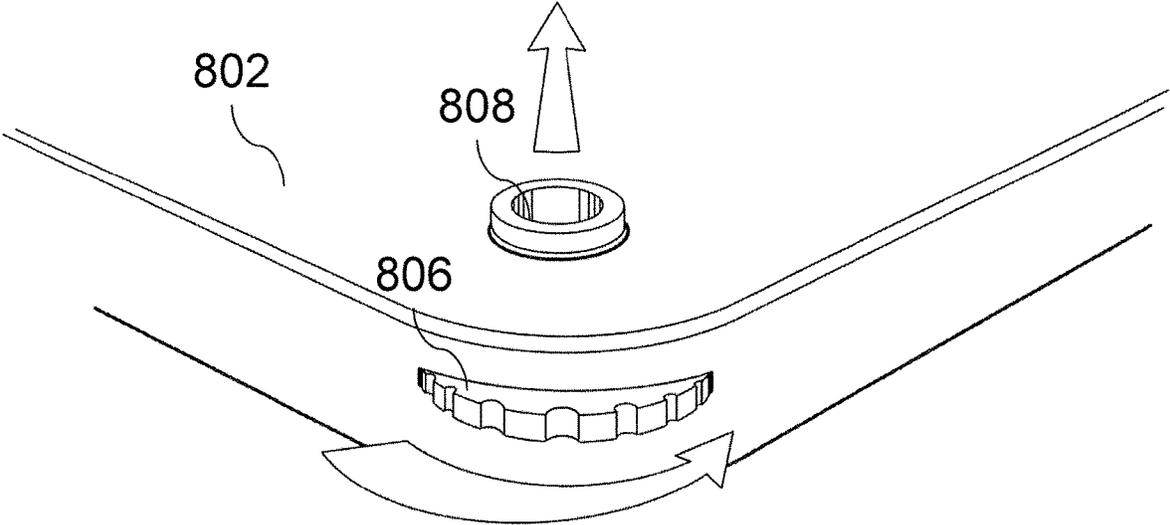


FIG. 21

FIG. 22A

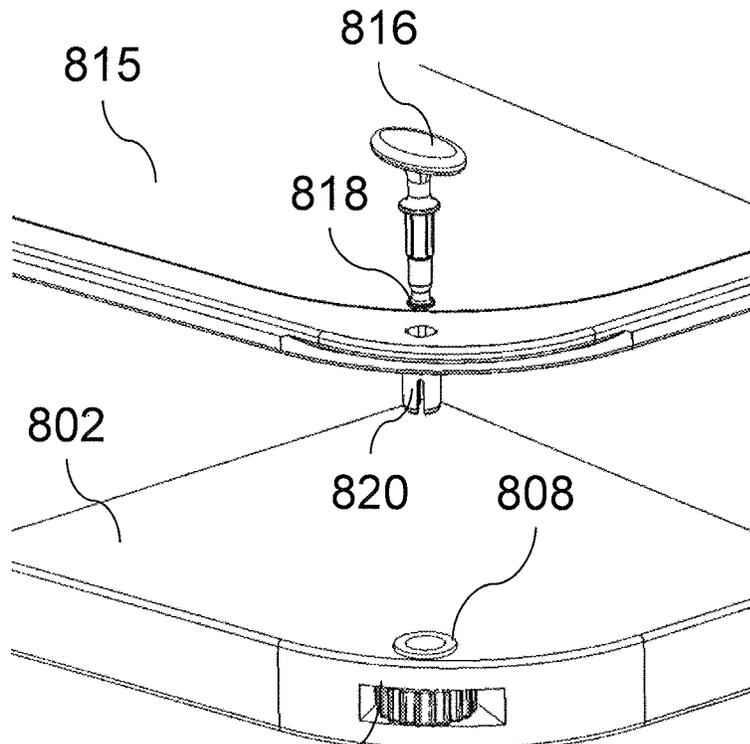


FIG. 22B

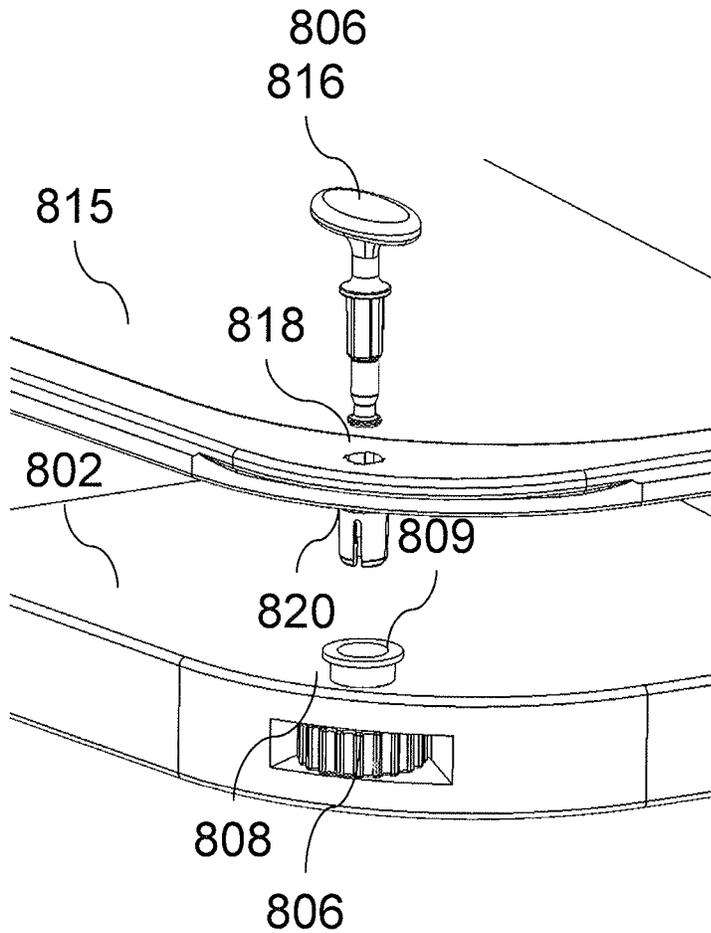


FIG. 22C

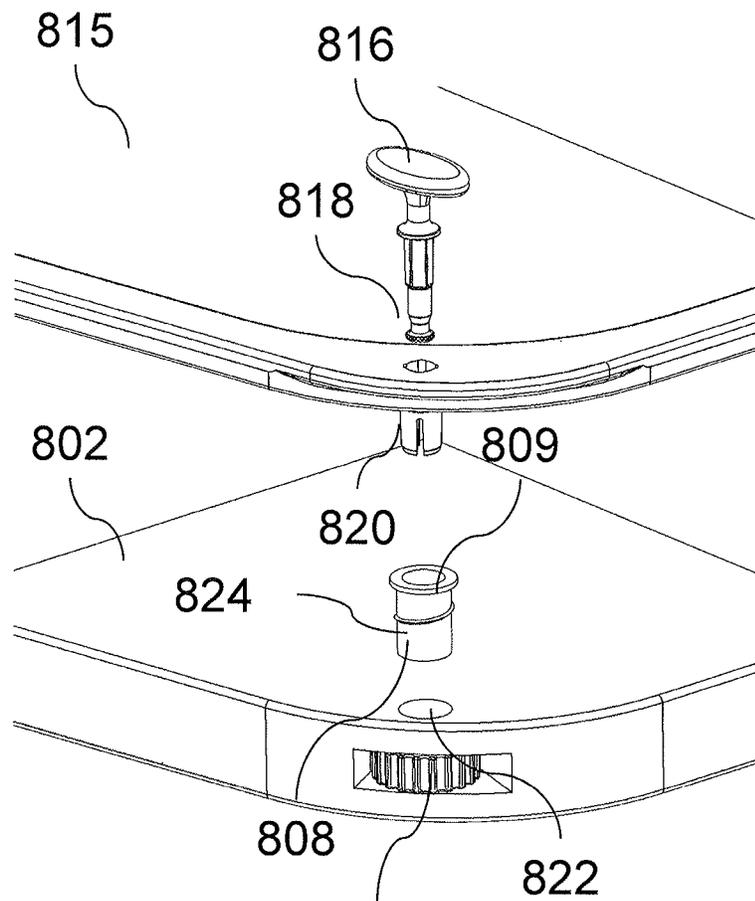
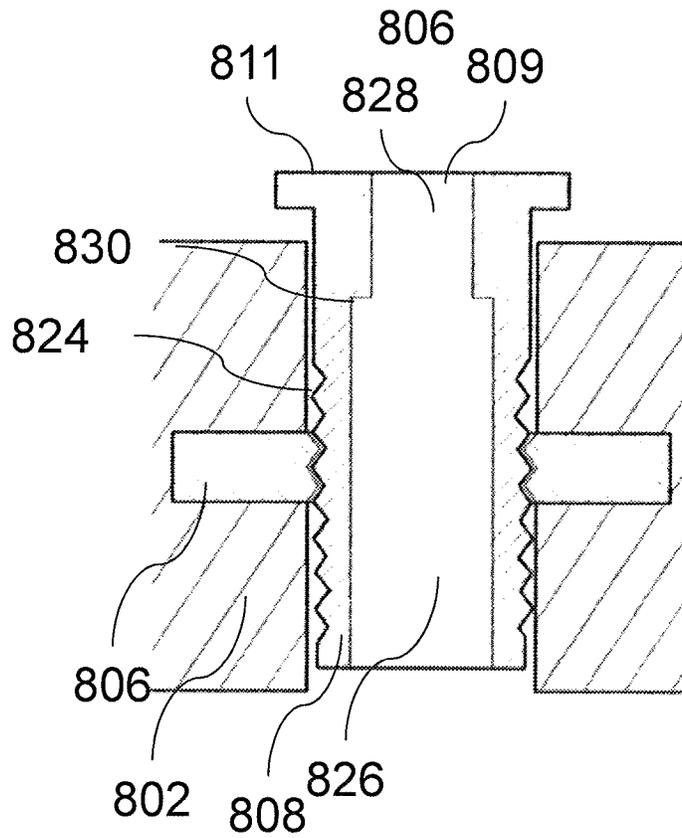
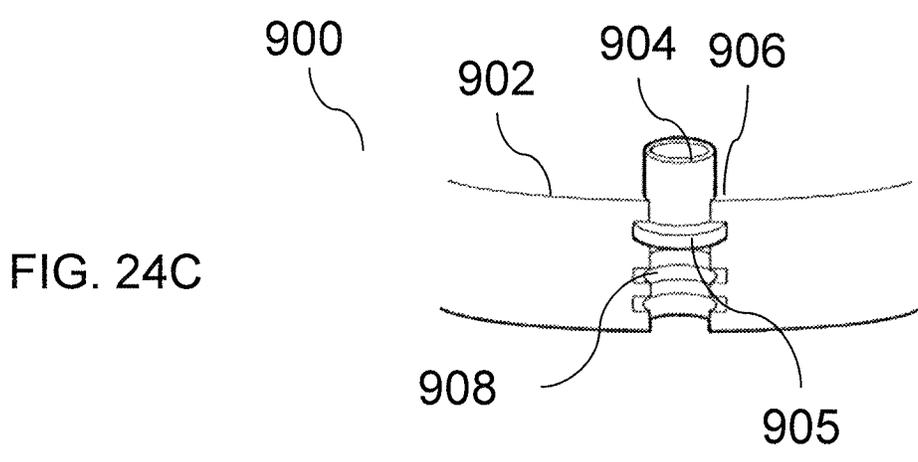
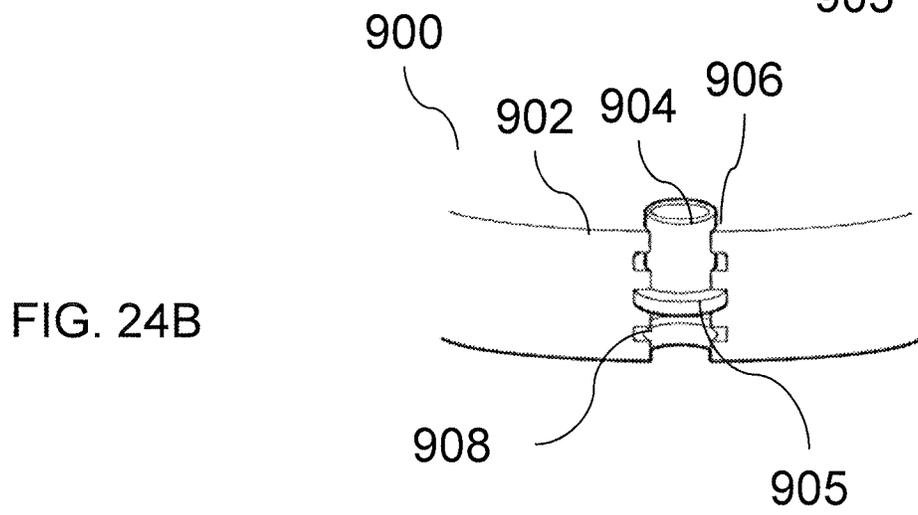
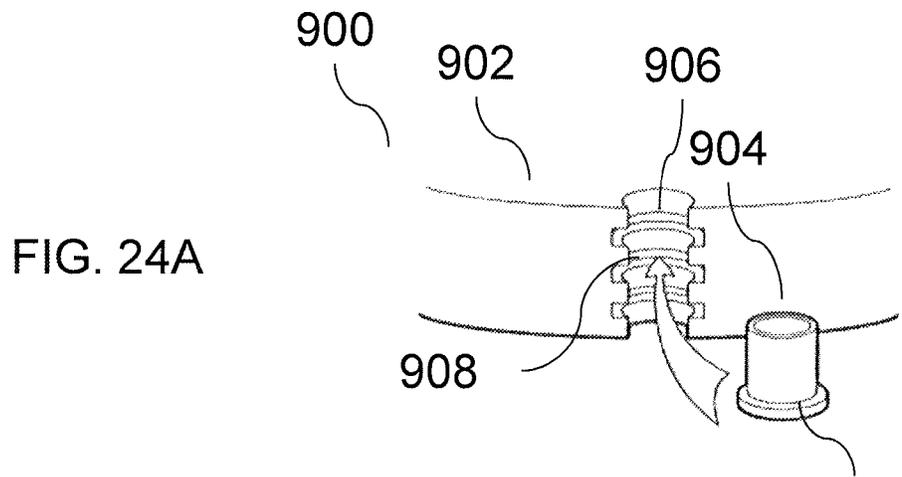


FIG. 23





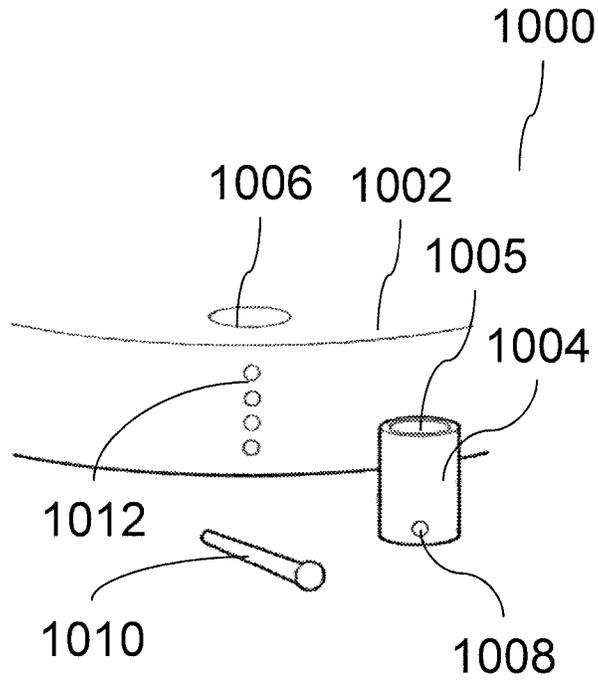


FIG. 25A

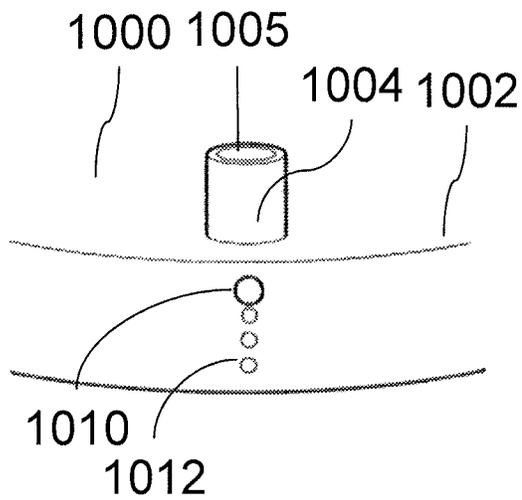


FIG. 25B

## ADJUSTABLE IMMOBILIZER APPARATUS AND METHOD FOR IMMOBILIZING A PATIENT

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation application of, and claims the benefit of, pending U.S. patent application Ser. No. 16/917,232 titled, "ADJUSTABLE IMMOBILIZER DEVICE AND METHOD FOR IMMOBILIZING A PATIENT," filed on Jun. 30, 2020, which is a continuation application of, and claims the benefit of U.S. patent application Ser. No. 16/223,848 titled, "ADJUSTABLE IMMOBILIZER APPARATUS AND METHOD FOR IMMOBILIZING A PATIENT," filed on Dec. 18, 2018, now U.S. Pat. No. 11,071,606, which is a continuation of pending U.S. patent application Ser. No. 14/913,760, titled "ADJUSTABLE IMMOBILIZER APPARATUS AND METHOD FOR IMMOBILIZING A PATIENT," filed Feb. 23, 2016, which is the national stage application of, and claims the benefit of, PCT/US2015/014090, filed on Feb. 2, 2015, which claims the benefit of U.S. Provisional Application No. 62/102,358, titled "ADJUSTABLE IMMOBILIZER DEVICE AND METHOD FOR IMMOBILIZING A PATIENT," filed on Jan. 12, 2015, and U.S. Provisional Application No. 61/941,542, titled "ADJUSTABLE IMMOBILIZER DEVICE AND METHOD FOR IMMOBILIZING A PATIENT," filed on Feb. 19, 2014, the contents of each of these applications being incorporated by reference in their entirety herein for all purposes. This application is also a continuation application of, and claims the benefit of, pending U.S. patent application Ser. No. 14/913,760, titled "ADJUSTABLE IMMOBILIZER APPARATUS AND METHOD FOR IMMOBILIZING A PATIENT," filed Feb. 23, 2016.

### BACKGROUND OF THE INVENTION

There is a growing need for improved devices that can immobilize patients or patient anatomies. For example, thermoplastic sheets are known and used as patient immobilizers in radiation therapy to reduce or prevent movement of patient anatomies during therapy. Such sheets are heated to become formable, formed over a part of a patient's anatomy, and then cooled to harden, thereby immobilizing the patient or patient's anatomy for the treatment therapy.

Patient immobilization is particularly important when performing stereotactic radiosurgery. In this procedure a high dose of radiation is delivered to the patient over one or a small number of treatments. A high degree of patient immobilization is required.

Despite developments that have been made in connection with such devices, there remains a need for improved patient immobilizers as well as improved methods and processes for immobilizing patients in terms of at least one of cost control, enhanced performance, and ease of use.

### SUMMARY OF THE INVENTION

Aspects of the present invention are directed to apparatus, systems, and methods for adjustably immobilizing a patient.

In accordance with one aspect of the present invention, a system for immobilizing an anatomy of a patient is disclosed. The system includes at least one preform formed from a low melting temperature thermoplastic, the preform being configured to be formed to the anatomy of the patient,

at least one frame coupled to the at least one preform, and at least one support configured to support the anatomy of the patient. The system also includes at least one lock mechanism coupled to at least one of the at least one frame and the at least one support and configured to couple the at least one frame to the at least one support, and at least one adjuster mechanism coupled to at least one of the at least one frame and the at least one support and configured to selectively adjust a distance between the at least one frame and the at least one support while the at least one frame is coupled to the at least one support.

Further aspects of the invention include a system for immobilizing an anatomy of a patient. The system includes an immobilization element contoured to receive the anatomy of the patient, and a support configured to support the anatomy of the patient. The system also includes a lock mechanism coupled to at least one of the support and the immobilization element and configured to affix the support with respect to the immobilization element, and an adjuster mechanism coupled to at least one of the support and the immobilization element, the adjuster mechanism being configured to selectively adjust a distance between the support and the immobilization element while the immobilization element is coupled to the support.

Additional aspects of the invention are directed to an apparatus for immobilizing an anatomy of a patient. The apparatus includes a preform formed from a low melting temperature thermoplastic, the preform being configured to be formed to the anatomy of the patient, and a frame coupled to the preform and adapted to be coupled to a support configured to support the anatomy of the patient. The apparatus also includes a lock mechanism coupled to the frame and configured to couple the frame to the support, and an adjuster mechanism coupled to the frame and configured to selectively adjust a distance between the frame and the support while the frame is coupled to the support.

Other aspects of the invention include a method of immobilizing an anatomy of a patient for treatment. The method includes forming an immobilization element corresponding to the anatomy of the patient by heating a preform to a forming temperature, positioning the heated preform with respect to the anatomy of the patient and a support supporting the anatomy of the patient, and activating a lock mechanism to lock the preform with respect to the support and immobilize the anatomy of the patient with respect to the support with the immobilization element. The method also includes adjusting, while the anatomy of the patient is in place in the immobilization element, a distance between the immobilization element and the support by selectively adjusting at least one adjuster mechanism coupled to at least one of the preform, the support, and the immobilization element.

Even further aspects of the invention include a system for immobilizing an anatomy of a patient with respect to a patient anatomy support. The system includes an immobilization device, means for locking the immobilization device with respect to the patient anatomy support, and means for adjusting a distance between the immobilization device and the patient anatomy support while the immobilization device is coupled to the patient anatomy support.

### BRIEF DESCRIPTION OF THE DRAWINGS

The invention is best understood from the following detailed description when read in connection with the accompanying drawings, with like elements having the same reference numerals. When a plurality of similar elements is

present, a single reference numeral may be assigned to the plurality of similar elements with a small letter designation referring to specific elements. Included in the drawings are the following figures:

FIGS. 1A and 1B are diagrams illustrating an exemplary layout for an immobilization device for use during radiotherapy, neurosurgery or for general cranial immobilization in accordance with aspects of the present invention. These diagrams demonstrate an embodiment that is particularly well suited to neurosurgery as the apex of the head remains uncovered and accessible;

FIG. 1C is a diagram illustrating the adjustment between an anterior frame and a posterior support. A posterior element is also interposed between the frame and support in accordance with aspects of the invention.

FIG. 2 is a diagram illustrating an exemplary layout for an immobilization device for use in immobilizing a patient's anatomy in accordance with aspects of the present invention;

FIGS. 3A, 3B and 3C are diagrams illustrating an exemplary apparatus configured for immobilizing a patient in accordance with aspects of the present invention;

FIGS. 4A, 4B, 4C, 4D, and 4E are diagrams illustrating an exemplary lock and adjuster mechanism in accordance with aspects of the invention;

FIGS. 5A and 5B are diagrams illustrating an exemplary operation for adjusting a distance of the frame relative to a support for the system in accordance with aspects of the invention;

FIGS. 6 and 7 are diagrams showing exemplary discrete and continuous adjustments, respectively, according to aspects of the invention;

FIGS. 8 and 9 are diagrams showing alternative locking and adjustment mechanisms in accordance with aspects of the present invention;

FIG. 10 is a diagram illustrating an exemplary support in accordance with aspects of the invention;

FIGS. 11A and 11B are diagrams illustrating an exemplary cushion retaining device;

FIG. 12 is a flowchart illustrating the steps of an exemplary method for immobilizing a patient in accordance with aspects of the invention;

FIG. 13 is a diagram of an immobilization device in accordance with aspects of the invention;

FIG. 14 is a diagram of a support and immobilization device according to aspects of the invention;

FIG. 15 is a diagram of a posterior mask according to aspects of the invention;

FIG. 16 is a diagram of an anterior mask in accordance with aspects of the invention;

FIG. 17 is a diagram of an immobilization element incorporating both the frame and support in a symmetric fashion such that two similar or identical elements may be interlocked and adjusted with respect to each other according to aspects of the invention;

FIG. 18 is a diagram of a support or immobilization element in accordance with aspects of the invention. A frame and support are coupled to a low melting temperature thermoplastic such that the preform can be molded, interlocked to itself, and adjusted;

FIG. 19 is a diagram showing a cushion placed in a cushion holder according to aspects of the invention;

FIG. 20 depicts a patient immobilization device with adjustment mechanisms integrated into a support in accordance with aspects of the invention;

FIG. 21 depicts an adjuster mechanism for adjusting a distance between a support and an immobilization element in accordance with aspects of the invention;

FIGS. 22A, 22B, and 22C depict adjustment of an adjustment mechanism according to aspects of the invention;

FIG. 23 is a cross-sectional view of an adjustment mechanism in accordance with aspects of the invention;

FIGS. 24A, 24B, and 24C are diagrams of an adjustment mechanism according to aspects of the invention; and

FIGS. 25A and 25B are diagrams of an adjustment mechanism in accordance with aspects of the invention.

#### DETAILED DESCRIPTION OF THE INVENTION

Aspects of the present invention relate generally to apparatus and methods for immobilizing patients. The apparatus and methods disclosed herein desirably provide adjustable, non-invasive patient immobilization. As used herein, non-invasive patient immobilization refers to immobilization without the need for components that physically attach to the patient (e.g., bone screws).

In order to compensate for changes in a patient's anatomy over time, patient thermoplastic immobilization solutions for Stereotactic RadioSurgery (SRS) and radiation therapy optionally include a series of loose and/or separate "shim" components. These shims can be added or subtracted to either tighten the immobilization system or to loosen it. This is required in order to obtain a high degree of immobilization while maintaining an acceptable level of patient comfort. Patients may gain or lose volume (e.g. fat, water) from the time of simulation to the time of treatment or subsequent fractions. Such shimming requirements can result in a large number of components and associated locking clips to accommodate varied shim thickness. Shims can run from 0 mm to 4 mm in 1 mm increments. Also, such shimming requires removing the immobilization device from the anatomy of the patient and cannot be performed with the immobilization device in place.

Shimming can be cumbersome and adds a significant amount of time to the patient setup process. Systems that lack a shimming capability, however, cannot adequately adapt to patient geometry to provide sufficient immobilization in certain applications. Accordingly, preferred embodiments of this invention can eliminate the use of separate shim components while providing adjustment for improved immobilization. In addition, embodiments of this invention permit adjustment without removing the system from the patient.

Embodiments of the present invention are particularly advantageous for use during stereotactic radiosurgery and radiation therapy, but may also be utilized for cancer treatment, radiosurgery, occupational therapy, splinting, plastic surgery, surgery, invasive neurosurgery, etc. Those of skill in the art will understand other treatments for which the disclosed apparatus and methods may be utilized. During stereotactic radiosurgery, a high dose of radiation is delivered precisely to an area of the patient (such as a region of the patient's head). When a patient is referred for stereotactic radiosurgery they will first undergo a process known as simulation. The purpose of this process is to acquire an image (typically a DICOM data set) for planning and treatment with the patient immobilized in the same devices and position that will be used during treatment and to perform imaging of the patient using techniques such as computed tomography (CT), magnetic resonance (MR), positron emission tomography (PET), or a hybrid imaging technique such as PET/CT or PET/MR. Depending on the case, clinical center, and techniques being used this simulation step may occur on the same day of treatment or may

occur up to a week or more prior to treatment. In cases where an invasive immobilization frame is used, simulation and treatment typically occur on the same day in order to minimize stress to the patient and opportunities for complications. Accordingly, a thermoplastic mask may be used to immobilize the patient in accordance with aspects of the present invention.

This low melting temperature thermoplastic mask, also referred to herein as a preform, will then be used to immobilize the patient each time the patient returns for treatment. There are instances in which it is desirable to adjust the anterior/posterior position of this mask with respect to the patient. This can occur for a number of reasons including shrinkage of the thermoplastic mask and weight loss or gain of the patient. Additionally, the preform may accommodate the use of a bite block to immobilize the patient's upper palette. Examples of bite blocks are described in PCT Application No. PCT/US14/39764, entitled "HEAD AND JAW IMMOBILIZATION DEVICE", the contents of which are incorporated by reference herein. Aspects of the present invention provide for this adjustment through, in one embodiment, adjustable locking mechanisms (e.g., locking pins). While any number of locking pins can be used, in a preferred embodiment six locking pins are used for each thermoplastic mask. The locking pins can either be permanently or removably attached to the frame of the mask.

While the present embodiments are described herein with respect to stereotactic radiosurgery, it will be understood that the invention is not so limited. Aspects of the present invention may be used in any application where patient immobilization is required. Other suitable applications include immobilizing a patient for neurosurgery (as shown in FIGS. 1A and 1B), immobilizing injured or broken bones of a patient (as shown in FIG. 2), or immobilizing a patient during medical scans or imaging, such as magnetic resonance imaging.

In general, exemplary patient immobilization devices in accordance with aspects of the present invention include a shape corresponding to a portion of the patient's anatomy formed from a low melting temperature thermoplastic. An immobilization device can optionally include a frame component, an integral frame portion, or can be provided without any frame. In other words, the frame is optionally a portion of the immobilization device or a preform, or both. The immobilization device may be a preform, constructed from a low-melting temperature thermoplastic, configured to be formed to an anatomy of a patient. The immobilization device may also be formed from a rigid, semi-rigid, or non-rigid material, contoured to an anatomy of a patient.

According to one embodiment, a frame is coupled to the preform to stabilize and support the preform. A lock mechanism (e.g., a locking mechanism, a lock, etc.) is either permanently or removably coupled to the frame. The lock is configured to couple the frame to a patient support. For example, the frame may be locked to the support, attached to the support, in place on the support in an unlocked state. An adjuster mechanism (e.g., an adjustment mechanism, and adjuster, etc.) is coupled to at least one of the frame and the patient support. The adjuster is configured to provide selective adjustment of the distance (e.g., the vertical height) between the frame and the patient support.

In accordance with other aspects of the invention, exemplary patient immobilization devices include a cushion retaining device which is used in conjunction with a formable patient cushion. The cushion retaining device provides support for the cushion and hence increases the rigidity and

repeatability of the placement of the cushion. This cushion retaining device may be used in conjunction with the preform and frame described previously to provide superior patient immobilization. Additional details of aspects of the present invention are provided below with reference to the drawings.

FIGS. 3A 3B, and 3C illustrate an exemplary apparatus **100** configured for immobilizing a patient in accordance with an aspect of the present invention. Apparatus **100** may be usable to immobilize a patient during a medical procedure involving the patient's head, such as stereotactic radiosurgery. As a general overview, apparatus **100** includes a preform **110**, a frame **120**, and at least one lock **130**. Additional details of apparatus **100** are described below.

Preform **110** is formed over an anatomy of the patient. The positioning of preform **110** may be selected based on the procedure to be performed on the patient. In an exemplary embodiment, preform **110** is formed to the patient's head, as illustrated in FIG. 3A. In this embodiment, preform **110** may include a plurality of cutouts **114** in order to facilitate comfort of the patient, as well as allow the user to breath/see through preform **110**. Preform **110** may also a plurality of perforations **112** in areas that do not affect the ability of preform **110** to immobilize the patient.

Preform **110** may be formed from a low melting temperature thermoplastic. Suitable thermoplastics include, for example, polycaprolactone (PCL). To create the shape of the patient's anatomy, preform **110** is first heated to a forming temperature (e.g., a temperature above its melting temperature), which causes the preform to enter a state in which it is pliable. Preform **110** may be heated using a number of methods including, for example, a hot water bath or an oven. While the preform is in this heated state, it is pressed against or around the patient's anatomy (e.g., the patient's head) and allowed to cool. Once cooled, the thermoplastic retains the shape of the patient's anatomy and becomes rigid, thereby preventing or resisting movement of the patient. The thermoplastic of preform **110** may be of any thickness, the thickness being chosen based on the level of rigidity required for the application or procedure. For stereotactic radiosurgery applications, preform **110** preferably has a thickness of at least about 3 mm. Filler materials may be optionally added to the thermoplastic in order to increase the stiffness of the material.

Additional details regarding the features of preform **110**, or any other aspects of the present invention, may be found in U.S. Patent Application Publication No. 2014/0182603 A1, and in Patent Cooperation Treaty Application No. PCT/US2014/039764, the contents of each of which are incorporated herein by reference.

Frame **120** is coupled to preform **110**. Frame **120** provides support for preform **110** during and following the process of forming preform **110** to the shape of the patient's anatomy. As shown in FIG. 3A, more than one frame **120** may be used for a respective preform **110**.

Like preform **110**, frame **120** is shaped to correspond to the portion of the patient's anatomy to be immobilized by apparatus **100**. Frame **120** may be constructed of any material, including a thermoplastic material, so long as the melting temperature of the frame material is higher than that of the preform. Thus, frame **120** will retain its rigid shape and support preform **110** when preform **110** is deformed to correspond to the shape and contour of the patient's anatomy.

Together, preform **110** and frame(s) **120** form a patient restraint that can be attached to a patient support **190** in order to immobilize the patient. While one patient restraint is

illustrated in FIG. 3A, it will be understood that apparatus 100 may employ multiple patient restraints to immobilize the patient. For example, apparatus 100 may include an anterior patient restraint and a posterior patient restraint sized to mate or attach to one another. The frame 120 is attached to the patient support 190 via locks 130 positioned around the frame 110.

Referring to FIGS. 3B and 3C, the frame 120 includes a plurality of openings 121. Openings 121 are provided so that frame 120 can be aligned properly to other structures, such as patient support 190. The patient support 190 may include protrusions adapted to fit through the openings 121 such that the frame can be positioned on the patient support 190. The frame 120 may also include a series of ports 122 adapted to receive a lock and adjuster 135 (reference numeral 135 as used herein refers to the lock and adjuster in combination in accordance with some embodiments). In addition to being secured to preform 110, frame 120 may also be placed on and secured to a support structure 190 in order to immobilize the patient relative to the support structure 190, as shown in FIG. 3A. In an exemplary embodiment, frame 120 is secured to preform 110 and/or support structure 190 using one or more locks 130, as will be described below. Alternatively, frame 120 may be secured to preform 110 or support structure 190 using bolts, screws, pins, or other conventional securements, which will be known to one of ordinary skill in the art from the description herein.

The lock 130 is movably positioned within an opening 122 of frame 120. The lock 130 may also be co-located with an adjuster so as to form the lock and adjuster 135, permitting adjustment of the distance between frame 120 and to support structure 190, and to fix frame 120 in a position relative to support structure 190. In one example, the adjuster (e.g., adjustment mechanism, variable shim, adjustable shim, etc.) may be rotated in order to adjust the distance of frame 120. Lock 130 may also secure frame 120 to preform 110.

By providing an adjuster coupled to at least one of the frame 120 or the patient support 190, the number of parts to be handled by the user is drastically reduced. This leads to improvements in efficiency for the cancer treatment center. This adjuster can take many forms including but not limited to a stepped slider, a wedge, a wheel, and a cam. By rotating or sliding these forms of the adjuster, the position of frame with respect to the support surface is changed. The adjuster may be permanently or removably attached to the frame of the mask or the support surface.

For example, a rotatable member may be provided such that one aspect of the member is a ramped surface. If this ramped surface is positioned between the immobilization member and the surface it is placed, a rotation of this member will change the position of the immobilization member. This may similarly be performed by a ramped or stepped sliding member.

In an exemplary embodiment, lock 130 is inseparable from frame 120, such that lock 130 cannot be removed from frame 120 without disassembly of one or both components. Alternatively, lock 130 may be removably attached to frame 130, e.g., by pulling upward with sufficient force on lock 130. As with the other components of apparatus 100, lock 130 is preferably constructed from materials that are compatible with the procedure to be performed on the patient, such as polymers.

FIGS. 4A-4C illustrate an example of a co-located lock and adjuster 135 in accordance with aspects of the present invention. As a general overview, the co-located lock and adjuster 135 includes a shaft 150, and a plunger 160, and a

casing 140. Additional details of the co-located lock and adjuster 135 are provided below.

Casing 140 provides a base for the co-located lock and adjuster 135. Casing 140 may attach the lock and adjuster 135 directly to frame 120. As shown in FIGS. 4A and 4B, casing 140 may include a plurality of protrusions 142 sized to mate or engage with a port 122 of frame 120 in order to secure casing 140 (and consequently co-located lock and adjuster 135) to frame 120. Protrusions 142 may create a removable or fixed attachment of casing 140 to frame 120. Alternatively, casing 140 may be integrally formed as part of frame 120.

Casing 140 further includes a through-hole 144 for accommodating shaft 150 and plunger 160. The interior of through-hole 144 includes threading (not shown) sized and pitched to mate with corresponding threading on shaft 150. In an exemplary embodiment, casing 140 includes a plurality of numeric indicators 146 on an upper surface thereon, the purpose of which will be described in detail below.

Shaft 150 is sized to be received within the threaded through-hole 144 of casing 140. The features of shaft 150 are shown in the exploded view provided in FIG. 4C. However, shaft 150 is normally contained within casing 140, and is not removable therefrom. Shaft 150 includes threading 152 sized and pitched to mate with the threading on the interior of casing 140. Accordingly, rotation of the bonnet 180 causes rotation of the shaft 150 within through-hole 144, which vertically displaces the shaft 150 relative to casing 140 based on the pitch of the engaging threads.

Shaft 150 includes a through-hole 154 for accommodating plunger 160, as shown in FIG. 4C. Through-hole 154 includes one or more keying features 155 on the wall thereof. Keying features 155 mate with corresponding features on plunger 160, thereby coupling rotation of plunger 160 to shaft 150, as will be described below. Shaft 150 further includes one or more extensions 156 separated by gaps 153 at a lower end thereof. Extensions 156 protrude from a lower end of casing 140 when shaft 150 is received within casing 140, as shown in FIGS. 4A and 4B. Shaft 150 may also include a flange 158. Like extensions 156, flange 158 is positioned outside of a lower end of casing 140 when shaft 150 is received within casing 140. Flange 158 may be sized to bear against a surface of an adjacent structure (such as support structure 190).

Plunger 160 includes a plurality of segments having variable diameters. In an exemplary embodiment, plunger 160 includes a first segment 168 having a first diameter and a second segment 170 having a second diameter. A tapered portion 172 connects the first and second segments 168 and 170. Plunger 160 is sized to be received within the through-hole 154 of shaft 150. Plunger 160 includes a knob 162 at an upper end thereof to enable a user of apparatus 100 to easily press or turn locking pin 130. Plunger 160 further includes a flange 164 at a lower end thereof. Plunger 160 includes one or more keying features 166, as shown in FIG. 4C, which are sized to mate with keying features 155 on shaft 150.

The locking mechanism (e.g., the lock) is provided by the interaction between the flange 164, extensions 156, first segment 168, second segment 170, keying features 166, gaps 153 and tapered portion 172. The adjustment mechanism (e.g., the adjuster) is provided by the interaction between the plunger 160, the knob 162, the keying features 166, the threading 152, the threaded through-hole 144, and the keying features 155.

In the first (or unlocked) state, plunger 160 is in an undepressed position. In this state, segment 168 having the

smaller diameter is positioned radially inward from extensions 156 (or radially inward from the projections formed on the inner walls of extensions 156, if included). Segment 168 is sized so that it does not bear against extensions 156, and as a result, extensions 156 remain in a normal, unforced position (parallel to the axis of plunger 160, as shown in FIGS. 4A and 4B). In this unforced position, extensions 156 do not contact the inner walls of the mating hole on support structure 190, and shaft 150 is free to move relative to support structure 190 (e.g., by rotation of plunger 160).

In the second (or locked) state, plunger 160 is in a depressed position. During depression of plunger 160, tapered portion 172 is pressed against extensions 156 until segment 170 having the larger diameter is positioned radially inward from extensions 156 (or radially inward from the projections formed on the inner walls of extensions 156, if included). Segment 170 is sized so that it bears against extensions 156, and as a result, extensions 156 are forced radially outward. In this forced position, extensions 156 bear against or lock with the inner walls of the mating hole on support structure 190, and shaft 150 is locked in place relative to support structure 190. It is contemplated that the locking mechanisms may be integrated into the support structure rather than the frame of the preform.

The operation of the lock in one example is provided as followed. Extensions 156 are positioned within a mating hole on a structure to which a frame 120 is to be attached, e.g., in support structure 190. Depressing the plunger 160 moves the flange 164 at the end of the second segment 170 downward. The flange 164, second segment 170, tapered portion 172 and first segment 168 extend downward pass the extensions 156. The larger diameter of the first segment 168 forces the extensions 156 radially outward. The bottom portion of the keying features 166 may then extend into the gaps 153 between the extensions 156. The contact between the first segment 168, the keying features 166, the extensions 156 and the gaps 153 provide sufficient force to lock the lock and adjuster 135 with respect to a frame or patient support. To release the lock, the plunger 160 may be returned to its original position, which removes the keying features 166 and the first segment 168 from contact with the extensions 156. The lock optionally may locate the mask with respect to the support surface or this function may be performed by another feature such as a pin or boss.

The adjustment mechanism (e.g., adjuster) operates as followed according to one example of the invention. The keying features 166 are received by keying features 155, which causes the shaft 150 to rotate upon rotation of the plunger 160, via the interaction between the threading 152 and the treaded through-hole 144. Rotation of the plunger 160 (e.g., via the knob 162) adjusts the position of the flange 158 with respect to the other components. Advantageously, the adjustment may be performed with the co-located lock and adjuster 135 are either in the locked state or in the unlocked state.

In operation, flange 158 contacts a surface of support structure 190 surrounding the mating hole in which extensions 156 are positioned. Accordingly, vertical movement of shaft 150 relative to casing 140 results in movement of the adjacent surface of support structure 190 relative to casing 140. In other words, rotating shaft 150 within casing 140 adjusts the vertical distance or spacing of casing 140 (and thereby frame 120) relative to support structure 190 due to the contact between flange 158 and the surface of support structure 190.

FIG. 4D is a cross sectional diagram of the co-located mechanism 135 in the locked position. Depressing the

plunger 160 extends it through an opening 149 in the structure 148 (the structure 148 being, for example, a frame, a support, etc.). The first section 170 of diameter larger than the second section pushes the extensions 156 radially outward such that they extend partially over the edge 147 of the opening 149. The extension of the extensions 156 creates an interference lock between the elements being locked. In one aspect of the invention, the adjuster mechanism advantageously cannot be adjusted when the lock is in the locked position, such that the distance between the support and the frame cannot be changed. This may be preferred in some applications to prevent inadvertent adjustment of the distance between the support and the frame. For example, it may be beneficial to avoid inadvertent loosening of the immobilization element from the anatomy of the patient during treatment. In an embodiment, the radially outward extension of the extensions 156 of the mechanism 135 while in a locked position prevent rotation of the plunger 160 such that the adjustment mechanism may not be activated.

As described above, the mechanism 135 may also include an indexing mechanism as shown in FIG. 4E. The bonnet 180 has formed in it grooves 188 that are configured to receive a protrusion 186 formed at the end of a flange 184 (e.g., a spring). Rotation of the bonnet 180 may push the protrusion 186 out of the groove 188 by depressing the flange 184 downward. When the bonnet 180 is rotated to another discrete position as is formed by a groove 188, the spring force of the flange 184 pushes the protrusion 186 into the groove 188, which may cause an audible indication (e.g., a click noise), that the bonnet 180 has been rotated to a next discrete position. Other indexing mechanisms may be utilized as will be understood by those of skill in the art, such as a cam, step, etc.

A change in the distance of frame 120 relative to support structure 190 according to the above operation is illustrated in FIGS. 5A and 5B. As shown in FIG. 5A, frame 120 is coupled to the support structure 190. In this state, the adjuster 135 is adjusted to rotate the shaft 150 to be at a vertically upper position within casing 140 (i.e. an "unscrewed position"). In contrast, as shown in FIG. 5B, a space 192 is created between frame 120 and support structure 190. In this state, the adjuster 135 is adjusted to rotate the shaft 150 to be at a vertically lower position within casing 140 (e.g., a "screwed in position"), causing flange 158 to push against support structure 190 and force frame 120 upward and away from support structure 190.

By the above operation, the precise distance of frame 120 (and thus apparatus 100) from support structure 190 may be adjusted and controlled. This distance may be adjusted in predetermined increments (e.g., 1 mm increments) using detents within casing 140, or may be adjusted continuously over a predetermined range. In order to accommodate the degree of adjustments that may be required for a number of different patients or medical procedures, it may be desirable that casing 140 and shaft 150 enable total adjustment (or vertical distance) of at least 4 mm.

Generally, one advantage conferred by embodiments of this invention is the ability to adjust the distance between an immobilization device and a patient support while the two are coupled to one another. Such coupling may include a locked arrangement, a partially locked arrangement (for example in a system with multiple locks or adjusters when only some but not all of the locks are engaged), an unlocked arrangement, or an arrangement in which the immobilization device is not removed or separated from the patient support.

Accordingly, a coupling between the immobilization device and the patient support contemplates any of these possible arrangements.

Apparatus **100** is not limited to the above components, but may include additional or alternative components as would be understood by one of ordinary skill in the art from the description herein.

For one example, a bonnet **180** may be provided for visual indications to a user regarding the spacing of frame **120** (e.g., position of the locking mechanism) from support structure **190**. As explained above, casing **140** may include indicators **146** on an upper surface thereof. In this embodiment, the bonnet **180** may include a window **182** for selectively revealing the indicators to a user of the locking pin **130**. Bonnet **180** is coupled to plunger **160** in order to be rotated. As shown in FIG. 4A, bonnet **180** includes a window **182** to selectively reveal one of the indicators **146** on casing **140** based on the rotated position of bonnet **180**.

It is preferred but not required that the bonnet **180** (and thereby the shaft **150**) rotate to discrete locations around the arc. These discrete locations correspond to predetermined positions that are preferred for treatment. By rotating to discrete locations it can be assured that a snug, repeatably fitting mask is achieved each time. The position may be adjusted with the mask already in place on the patient or may be adjusted prior to placing the mask on the patient. An indexing mechanism, such as a spring or cam, may be provided to cause the rotation of the plunger **160** to be stopped (or triggered) at discrete locations. In an example, the indexing mechanism provides a clicking sound to indicate that a discrete location has been reached by the adjuster.

Advantageously, the adjuster may provide for both discrete and continuous adjustment. For example, the indexing mechanism may be configured to index rotation at discrete locations, but the adjuster may be rotated and stopped at positions between or outside the indexed discrete locations. For discrete operation, casing **140** and/or shaft **150** may include detents to allow adjustment of the distance in predetermined increments (e.g., 1 mm). In this embodiment, each detent may be associated with a particular indicator **146** on casing **140**. Accordingly, as the lock and adjuster **135** is adjusted through each increment, window **182** of bonnet **180** is configured to reveal the corresponding indicator **146** on casing **140**. This feature desirably provides a visual indication to a user of apparatus **100** of the spacing created or maintained at that location. An exemplary operation of an adjuster **181** with bonnet **180** through a plurality of numeric increments is shown in FIG. 6.

For continuous operation, casing **140** may include a single, continuously varying indicator **148**, as shown in FIG. 7, as opposed to discrete numeric indicators **146**. In this embodiment, bonnet **180** may include an arrow or other projection **184** for visually indicating to the user the degree of spacing using the continuously varying indicator **148**.

For another example, apparatus **100** may include separate adjusting and locking components. While the co-located lock and adjuster **135** is described herein as a single component capable of performing both adjustment and fixing functions, it will be understood that those functions can be separated. An exemplary embodiment of a frame **120** including separate locking and adjusting components is illustrated in FIGS. 8 and 9.

As shown in FIG. 8, frame **120** may include a first set of locks **200a** including the plunger/shaft extension interaction of locking mechanism of lock and adjuster **135**, and a second set of components **210** (e.g., adjusters) integrated separately from the locks **200a** in the frame **120**. Locking mechanisms

**200a** function to lock frame **120** in place by forcing extensions to bear against or lock with a mating hole on an adjacent structure, as described above. Adjusters **210** function to adjust the spacing between frame **120** and an adjacent structure by allowing a user to turn the exposed knob in discrete or continuous increments.

While locking mechanisms **200a** are illustrated in FIG. 8 as including a locking plunger, it will be understood that other locking mechanisms may be employed, such as swivel locking mechanisms **200b**, as shown in FIG. 9. Advantageously, the locking mechanisms **200a** and the adjusters **210** are separately integrated, such that locks **200** are coupled to another structure, such as a patient support. Alternatively, the locks **200** may be integrated into the frame while the adjusters **210** may be integrated into another structure, such as a patient support. Other suitable mechanisms for locking frame **120** in a position relative to a support structure will be known to one of ordinary skill in the art from the description herein. For example, the locking mechanisms can be positioned on the frames, support structures, etc. and may be integrated separately from the variable shims, together with the variable shims, or a combination thereof. Furthermore, the adjusters may be integrated in the frames, support structures, interposed elements, etc. and may be integrated separately from the locks, together with the locks, or a combination thereof.

In an embodiment of the invention the locks are attached to the frame of the thermoplastic immobilization device. In this embodiment a split frame is shown in which the left and right side of the frame are not connected other than with the thermoplastic preform. This split frame type allows a mask to be formed while minimizing the amount of stretch to the preform.

In an embodiment, a support structure is provided on which the frame of the thermoplastic mask rests when immobilizing a patient. This support structure provides the mating holes for the lock to engage. By raising this support structure anterior with respect to the patient support surface it is possible to increase the resistance of the mask to movement. This is accomplished because raising this support structure reduces the length of the sidewall of the mask. It also reduces the amount of stretch of the mask required to form the mask around the patient's anatomy. The height of the support structure can be any height chosen to optimize the rigidity of the mask while maintaining clearance for desired treatment beam paths. Preferably, this height is approximately 8 cm above the patient support surface. The support structure also provides locating pins which position the immobilization member with respect to the support structure. These are in addition to the locking pins. These locating pins provide a tightly tolerance locational accuracy which ensures the repeatability of placement of the immobilization members.

For still another example, apparatus **100** may include a patient support **220**. An exemplary patient support **220** is illustrated in FIG. 10. Patient support surface **220** is a large, flat surface on which the patient can be positioned during the medical procedure. Suitable patient supports include beds, tables, gurneys, or other well-known surfaces on which a seated or supine patient can be positioned. Support structure **190** may be integrally formed with support surface **220**, or may be a separate structure **190** that is placed on and movably positioned with respect to support surface **220**. When support structure **190** is separate from patient support surface **220**, it may be desirable that support structure **190** be removably attached to surface **220** to enable consistent, repeatable positioning of support structure **190**. Suitable

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components for removably attaching support structure **190** to surface **220** will be known to one of ordinary skill in the art from the description herein.

Support structure **190** is positioned on patient support **220** such that a top surface **194** thereof is positioned anterior to patient support surface **220**. In an exemplary embodiment, the top surface **194** of support structure **190** is spaced a predetermined distance (e.g., approximately 10 cm) from patient support surface **220**.

In addition to the support surface and immobilizations element, additional elements may be interposed between the support surface and the frame of the immobilization element. These can include items such as additional preforms, cushions, cushion retaining devices or any alternative elements understood by one of ordinary skill in the art from the description herein. Cushions and cushion retaining devices are shown as examples in FIGS. **11A**, **11B** and **19**. An interposed posterior mask preform is shown as examples in FIG. **15** in its unformed condition. Item **18** in FIG. **1B** and item **502** in FIG. **14** show examples of interposed posterior masks in their formed state. Adjustment mechanisms may be optionally coupled to one or more interposed elements.

In addition to support structure **190**, apparatus **100** may include a cushion retaining device **230** for retaining a formable cushion. An exemplary cushion retaining device **230** is illustrated in FIGS. **11A** and **11B**. The formable cushion is used to support the portion of the user's anatomy in a stable, immobile position. Additional details regarding formable cushions for use with the present invention, or any other aspects of the present invention, may be found in Patent Cooperation Treaty Application No. PCT/US2014/050335, the contents of which are incorporated herein by reference.

In order for the formable cushion to be capable of repeatably and reliably retaining the patient's anatomy (e.g. the patient's head) in a stable, immobile position, cushion retaining device **230** is configured to support the formable cushion. In an exemplary embodiment, cushion retaining device **230** supports the formable cushion on the posterior surface of the cushion. As shown in FIG. **19**, where formable cushion is shaped to cushion a patient's head, cushion retaining device **230** is contoured to support the posterior surface of the cushion, as well as the sides of the cushion around the top and sides of the user's head. This support assists in reliably and repeatably immobilizing the user's head during repeated medical procedures.

Cushion retaining device **230** is desirably formed from a rigid material to prevent deformation or movement of the formable cushion. Suitable materials for cushion retaining device **230** include, by way of example, fiber reinforced composites or plastics. Like the other components of apparatus **100**, cushion retaining device **230** is preferably constructed from materials that are compatible with the procedure to be performed on the patient. Cushion retaining device **230** preferably has a thickness of no more than 2 mm.

As shown in FIG. **11A**, cushion retaining device **230** is desirably indexed to support structure **190**, so that the position of cushion retaining device **230** relative to support structure **190** can be tracked and repeated. To make repeated immobilization of the patient possible, the preform of apparatus **100** (not shown in FIG. **11A**) may also be indexed to support structure **190**, so that the elements on both sides of the patient's head are tracked with respect to an immobile object (i.e. support structure **190**).

This cushion retaining device supports the cushion. The cushion retaining device is preferably contoured to provide a comfortable position for the patient. The cushion retaining

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device is preferably constructed of a stiff material to provide the maximum amount of support to the cushion. Examples of materials to be used include fiber reinforced composites, or any other material suitable for the application. The cushion retaining device is preferably thin to minimize its effect on the treatment beam. A preferred thickness is approximately 2 mm. This retaining device may be constructed of MR compatible materials to allow the device to be used for MR imaging.

In one embodiment the cushion retaining device is indexed to the same support structure as the anterior thermoplastic immobilization device as shown in FIG. **11A**. This may be the surface that the patient is lying on or may be a secondary support structure. By indexing both immobilization devices to the same surface increased repeatability of positioning is achieved. This indexing may be accomplished by the locking pins or may be accomplished through another feature including but not limited to: pins, bosses, and recesses.

FIG. **12** is a flowchart illustrating an exemplary method **300** for immobilizing a patient in accordance with an aspect of the present invention. The steps of the method **300** of immobilizing an anatomy of a patient for treatment, including step **302** of forming an immobilization element corresponding to the anatomy of the patient. The forming step **302** includes step **302a** of heating a preform to a forming temperature, step **302b** of positioning the heated preform with respect to the anatomy of the patient and a support supporting the anatomy of the patient, and step **302c** of activating a lock mechanism to lock the preform with respect to the support and immobilize the anatomy of the patient with respect to the support with the immobilization element. The method **300** also includes step **304** of adjusting, while the anatomy of the patient is in place in the immobilization element, a distance between the immobilization element and the support by selectively adjusting at least one adjuster mechanism coupled to at least one of the preform, the support, and the immobilization element.

In step **302**, an immobilization element is formed over an anatomy of a patient. The immobilization element may be a preform formed of low melting temperature thermoplastic. At step **302a**, the immobilization element may be formed by heating a preform to a forming temperature. This allows the immobilization element to be formed and contour to the anatomy of the patient.

In sub step **302b**, the heated preform is positioned with respect to the anatomy of the patient. In one embodiment, the heated preform may be associated with a frame to create the immobilization device. The frame may be similar to the frames described above. In an embodiment, the frame may be positioned over a support, such that the support includes corresponding indexes to permit positioning of the frame. In another embodiment, the frame is positioned with another frame. For example, a second frame may include a support constructed of low temperature thermoplastic that supports an anatomy of a patient, and positioning the first frame over the second frame constructs a splint with the support and the immobilization element. The frame may also be positioned with itself in embodiments where the top of the frame is constructed to meet corresponding indexes on the bottom of the frame. For example, the immobilization element may be configured to wrap around the anatomy of the patient until one side of the frame meets with another side of the frame, as shown for example in FIG. **18**.

In step **302c**, a lock mechanism is activated to lock the preform to a support. The lock mechanism may be the locks such as those described above. The lock mechanism may be

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an interference lock configured to create an interference between the lock and the frame and/or support when the lock mechanism is activated. Locking the preform allows the preform to form into an immobilization element when the preform cools to room temperature.

In step **304**, the distance between the immobilization element and the support is adjusted with an adjuster mechanism. The adjuster mechanism may be similar to the adjuster and adjustment mechanism as described above. The distance between the immobilization element and the support is adjusted to ensure a tight and accurate fit between the immobilization element, support, and anatomy of the patient. Advantageously, the adjusters disclosed herein permit adjustment of the distance between the immobilization and the support while the anatomy of the patient is immobilized and without removing the anatomy from the immobilization element, the immobilization element from the support, etc. Additionally, the distance between the immobilization element and the support may be adjusted without locking the immobilization element to the support (e.g., in an unlocked position). This allows for highly accurate, easily repeatable immobilization without the use of cumbersome parts and without interrupting the immobilization of the anatomy of the patient.

Method **300** is not limited to the above steps, but may include additional or alternative steps as would be understood by one of ordinary skill in the art from the description herein.

For one example, method **300** may include steps of treating the patient after the distance between the frame and the support are adjusted with the adjuster mechanism. The treatment may include stereotactic radiosurgery, radiosurgery, radiation treatment, cancer treatment, etc. The above list of treatments is exemplary and not exclusive. Those of skill in the art will understand various treatments that will benefit from and can utilize the disclosed systems, apparatus, and methods herein.

Referring back to FIGS. **1A** and **1B**, the preform **10** is formed over the head **15** of the patient **12**. The frame **13** is split into two sides, and each side is provided with locking mechanisms **14** such as those described above. In such embodiments, the preform **10** is formed such that a portion of the head **15** of the patient **12** is left open. These applications may be advantageously utilized in neurosurgery applications. Typical neurosurgery requires the head of the patient to be immobilized, and usual immobilization is performed by inserting screws or other fastening devices directly into the head of the patient. The preform **10** depicted in FIGS. **1A** and **1B** permits immobilization of the patient head while providing access to the patient's head to a neurosurgeon. Thus, the patient **12** can be immobilized non-evasively.

In FIG. **1A**, the head **15** of the patient rests in a cushion **16** that is placed in a support structure **17**. In FIG. **1B**, the head **15** of the patient **12** rests on a posterior preform **18** that is connected to the support **17**. The posterior preform **18** may be constructed of a low melting temperature thermoplastic and formed to conform to the back of the head **15** of the patient **12**. FIG. **1C** depicts the adjustment of the distance between the frame **11** and **13** and the support **17**. In FIG. **1C**, the head **15** of the patient is shown resting on a posterior preform **18**, although the head **15** may be resting on a cushion **16** as shown in FIG. **1A** or both a cushion **16** and posterior preform **18**. Adjustment of the adjuster mechanisms can selectively decrease the distance between the

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frames and support as indicated by the arrows, and selectively increase the distance between the frames and the support.

Referring to FIG. **2** again, a splint **20** is depicted. The splint **20** includes a support **23** and an immobilization element **22** that are formed over the arm **21** of a patient. The support **23** and immobilization element **22** may be rigid and/or constructed of a formable thermoplastic such that the support **23** and immobilization element **22** are contoured to the anatomy of the patient. As depicted, the immobilization element **22** includes a frame **24** that has co-located lock and adjuster mechanisms **26** integrated into the frame **24**. The support **23** also includes a frame **25** that is adapted to attach to the frame **24** via the mechanisms **26**. Alternatively, the mechanisms **26** may be positioned on the frame **25** of the support **23** or a combination of mechanisms **26** may be positioned on both the frame **24** of the immobilization element **22** and the frame **25** of the support **23**.

With reference to FIG. **13**, a patient immobilization device **400** is shown. The immobilization device **400** may be constructed of materials similar to the immobilization devices (e.g., preforms) as described above. The patient immobilization device **400** is adapted to be positioned and formed over the head, shoulders, and chest of a patient. Advantageously, the immobilization device **400**, by being positioned over the chest and shoulders of the patient, can be used to immobilize the shoulders and chest of the patient for particular treatments, such as lymph node cancer treatment. The immobilization device **400** may reduce the movement of the tumor during treatment of the patient. The immobilization device **400** additionally includes locking mechanisms (depicted as co-located lock and adjuster mechanisms **402**) positioned along the frame **404** of the immobilization device **400**.

In the embodiments that utilize multiple lock and adjuster mechanisms, such as the embodiment illustrated in FIG. **13**, the lock and adjuster mechanisms can be operated independently to lock, unlock, or adjust portions of the immobilization device as needed. For example, it is possible to use one or more selected adjuster mechanisms to tighten or loosen the fit of the immobilization device with respect to various portions of the patient's anatomy. This permits localized adjustment of the immobilization device. For example, in an application in which the head of a patient is immobilized for treatment, it may be advantageous to adjust the fit of the immobilization device with respect to the patient's chin, forehead, or other portion of the patient's head without adjusting other portions of the patient's head.

Referring to FIG. **14**, in addition to the thermoplastic mask **500** described above which is placed on the anterior side of the patient, an additional thermoplastic preform **502** may be used and formed to the posterior side of the patient's anatomy. By providing these two thermoplastic immobilization devices the patient is constrained on all sides of their head. This may provide superior immobilization as compared to using only a single thermoplastic immobilization device. In this embodiment when the locking mechanisms of the anterior immobilization device are moved to their second configuration (engaging mating holes on the support) they fix both the anterior and posterior immobilization devices in place. When the adjuster mechanism is rotated (or adjusted in embodiments where the adjuster is adjusted by other methods) it increases or decreases the distance between the frame of the anterior and posterior immobilization devices.

FIGS. **15** and **16** depict a posterior preform mask **600** and anterior preform mask **602** that may be used in conjunction with the structure depicted in FIG. **14** in their unformed

states. In the embodiments depicted in FIGS. 15 and 16, co-located mechanism 616 are positioned on the frame 614 of the anterior mask 602. The posterior preform 600 includes a thermoplastic mask 604, a frame 606, openings 608 and channels 610. The anterior preform 602 includes a perforated thermoplastic mask 612 (although the mask 612 may not be perforated), a frame 614 that includes a left section 615 and a right section 617, a series of co-located mechanisms 616 and channels 618. The openings 608 on the posterior preform 600 are configured to lock with the locking mechanisms 616 of the anterior preform 602. The channels 610 and 618 are configured to receive securing pins (not shown) from a support structure to align and secure the preforms to the structure.

FIGS. 17 and 18 depict examples of immobilization systems 650 and 670. The system 650 includes a support 654 for supporting an anatomy of a patient, and an immobilization element 652. The immobilization element 652 has a first side frame 660 with a locking and adjusting mechanism 656 and a second side frame 662 with an opening 653. The support 654 includes a first side frame 664 with an opening 663 and a second side frame 665 with a locking and adjusting mechanism 658. The opening 663 is configured to receive the mechanism 656 and the opening 653 is configured to receive the mechanism 658 such that the mechanisms 656 and 658 are of opposite vertical arrangement. It is to be understood that the symmetry of this system allows either item 652 or item 654 to be considered the immobilization element and the other to be the support.

The system 670 includes an immobilization element 672 (e.g., a preform, a support, etc.), a locking and adjusting mechanism 674, a top frame 676 and a bottom frame 678. The immobilization element 672 may be formed to an anatomy of a patient such that the top frame 676 meets with the bottom frame 678, thereby aligning the locking and adjusting mechanism 674 with an opening 679 on the bottom frame 678. Thus, a single locking and adjusting mechanism (or a series of locking and adjusting mechanisms aligned on one side) may be utilized to lock the immobilization element 672 to itself and adjust the distance between the top frame 676 and bottom frame 678.

It is another object of this invention to provide an alternative to this second thermoplastic immobilization device located posterior to the patient. In another embodiment a formable patient cushion is used to immobilize the back of the patient's head.

FIG. 19 depicts another immobilization device in accordance with aspects of the invention. The device 700 includes a frame 704, and a formable cushion 702 within the device 700. The frame 704 of the device 700 includes a plurality of openings 706 that are configured to receive locking mechanisms and adjustable shims such as those described above. The plurality of openings 706 include a slit 708 that opens through the outside of the frame 704 to aid in manufacture.

FIGS. 20-23 depict another system with a lock mechanism and adjuster mechanism that permits adjustment of an immobilization device while immobilizing a patient according to aspects of the invention. The immobilization device 800 includes a support 802 that is capable of supporting the head of the patient (at the head portion 804) and shoulders of the patient. Positioned along the outer perimeter of the support 802 are a plurality of adjuster mechanisms 805 that are integrated into the support 802. The adjusters 805 take the form of a wheel 806 accessible from the outer perimeter of the support 802 and channels 808 within holes 809 formed in the support 802.

The wheels 806 may be rotated to adjust the distance between an immobilization device (such as a frame and preform) (and thereby a patient immobilization device, such as a frame with a preform) with respect to the support 802. As shown in FIGS. 22A, 22B, and 22C, the channel 808 is adapted to receive a lock mechanism 816. As depicted, the immobilization element 815 is positioned above the support 802, and includes extensions 820 formed on the underside of the element 815. The immobilization element 815 includes openings 818 through which a lock mechanism 816 may be inserted. The channel 808 includes a hole 809 that is adapted to receive the extensions 820 and the lock mechanism 816. The outer perimeter of the channel 808 has formed on it a thread 824, although the channel 808 may also or in the alternative have formed on it a cam surface to facilitate rotation and vertical displacement of the channel.

The hole 809 of the channel has an upper portion 828 and a lower portion 826 of larger diameter, and the upper portion 828 and lower portion 826 are separated by an edge 830. The extensions 820 are inserted into the hole 809 such that they extend past the edge 830 and into the lower portion 826. When the lock mechanism 816 is inserted into the hole 809 and through the middle of the extensions 820, the diameter of the lock mechanism 816 causes the extensions 820 to push radially outward, such that they bear against the inner wall of the hole 809 and the edge 830. By extending radially outward, the extensions 820 form an interference lock between the immobilization element 815 and the support 802.

When locked (and also when unlocked), the upper surface 811 of the channel 808 bears against the lower surface of the immobilization element 815. The distance between the immobilization element 815 and the support 802 may be adjusted by the adjuster mechanism provided by the wheel 806. Referring to FIG. 23, the wheel 806 includes a matching formation along the center to match the threading or cam surface 824 of the channel 808. A cam surface with a bearing surface may be configured to increase the distance between the immobilization element and the support upon relative movement of the cam surface with respect to the immobilization element or the support. The rotation of the wheel 806 moves the channel 808 vertically. The top surface 811 of the channel 808 that is engaged with the lower surface of the immobilization device 815 such that movement of the wheel 806 adjusts the vertical position of the channel 808, thereby adjusting the distance between the surface 802 and the immobilization element 815. The wheel 806 may also include indicators (e.g., numbers) on the surface to indicate to the user of the system as to the vertical position of the channel 808, and may also include indexing mechanisms such as those described above. Advantageously, the adjustment of the distance between the support 802 and the immobilization element 815 may be performed while the anatomy of a patient is immobilized and while the immobilization element 815 is still attached to the support 802.

The embodiments depicted in FIGS. 22A-22C may advantageously permit adjustment of the distance between the immobilization device and the support while the immobilization device and the support are unlocked or locked with respect to one another, in contrast to one embodiment described previously with reference to FIG. 4D. By providing adjustment while the support and immobilization are optionally locked or unlocked, the amount of time required to adjust the system to the desired level can be reduced because the time necessary to unlock and relock is eliminated.

Alternative adjustment mechanisms may be utilized in accordance with aspects of the invention. An example of such a system is depicted in FIGS. 24A-24C. The system 900 includes a support 902, a channel 904 with a bottom portion 905 of larger diameter, and a notched column 906 formed in the support 902. The notches 908 are adapted to receive the bottom portion 905 of the channel 904, such that the vertical position of the channel 904 with respect to the surface 902 may be selectively adjusted by insertion of the bottom portion 905 into differently positioned notches 908 in the notched column 906.

FIGS. 25A and 25B depict another example of an adjuster mechanism according to aspects of the invention. The system 1000 includes a support 1002, a channel 1004 with an opening 1005 and peg hole 1008. An opening 1006 is formed in the support 1002 and corresponding peg holes 1012 are formed on the outer perimeter of the support 1000 at the location of the opening 1006. The position of the channel 1004 may be adjusted by insertion of a peg 1010 into the peg hole 1008 through a peg hole 1012 formed on the outer perimeter of the support 1002.

In an additional embodiment, the lock mechanism and/or the adjuster mechanism can be coupled directly to the preform. For example, the lock mechanism or adjuster mechanism may be pre-assembled to the preform, or assembled at the time of forming the preform to an anatomy of a patient.

Although the invention is illustrated and described herein with reference to specific embodiments, the invention is not intended to be limited to the details shown. Rather, various modifications may be made in the details within the scope and range of equivalents of the claims and without departing from the invention.

What is claimed:

1. An immobilization apparatus configured to be coupled to a patient support, the immobilization apparatus comprising:

a frame;  
 a preform formed from a low melting temperature thermoplastic and configured to be formed to an anatomy of the patient, the preform being coupled to the frame;  
 separate locks mounted to the frame each configured to lock the immobilization apparatus relative to the patient support, each of the separate locks having a plunger extending along a plunger axis, and each of the plungers being movable along the respective plunger axis between a locked position and an unlocked position;  
 separate adjusters each configured to be associated with a corresponding one of the separate locks and each configured to selectively adjust a distance between the frame and the patient support along the plunger axis of the corresponding one of the separate locks;  
 the separate adjusters being separate from one another such that the distance between the frame and the patient support along the plunger axis of the corresponding one of the separate locks is adjustable independent of the distance between the frame and the patient support along the plunger axis of another one of the separate locks, each of the separate adjusters being further configured to extend about the plunger axis of the corresponding one of the separate locks.

2. The immobilization apparatus according to claim 1 comprising at least five said separate locks and at least five said separate adjusters each configured to be associated with a corresponding one of the separate locks and each configured to selectively adjust the distance between the frame and

the patient support along the plunger axis of the corresponding one of the separate locks.

3. The immobilization apparatus according to claim 1, wherein:

the frame is a split frame including at least two separate frame portions configured to be positioned adjacent portions of the anatomy of the patient, the preform being coupled to the at least two separate frame portions and extending therebetween, wherein the at least two separate frame portions are not connected to one another other than by the preform; and

at least one of the separate locks is mounted to at least one of the at least two separate frame portions of the split frame of the immobilization apparatus.

4. The immobilization apparatus according to claim 1, wherein each of the separate adjusters is further configured to rotate about a respective adjuster axis.

5. The immobilization apparatus according to claim 4, wherein the respective adjuster axis and the plunger axis of the corresponding one of the separate locks are co-axial.

6. A system for immobilizing an anatomy of a patient, the system comprising:

a patient support configured to support the patient; and the immobilization apparatus of claim 1.

7. The system according to claim 6, wherein: the patient support includes a plurality of spaced protrusions or pins;

the frame of the immobilization apparatus defines a plurality of openings or channels each sized to receive one of the protrusions or pins; and

the openings or channels are positioned to facilitate alignment with the patient support such that the frame of the immobilization apparatus is positionable on the patient support for locational accuracy to ensure the repeatability of placement of the immobilization apparatus on the patient support.

8. The system according to claim 6, further comprising: an interposed component interposed between the patient support and the frame;

the separate adjusters being integrated into the interposed component.

9. The system according to claim 8 wherein the interposed component comprises a cushion support.

10. A system for immobilizing an anatomy of a patient, the system comprising:

a patient support configured to support the patient; and the immobilization apparatus of claim 1 wherein

the frame is a split frame including at least two separate frame portions configured to be positioned adjacent portions of the anatomy of the patient;

the preform is coupled to the at least two separate frame portions and extends therebetween;

the separate locks are mounted to each of the at least two separate frame portions of the split frame of the immobilization apparatus; and

the separate adjusters are each configured to selectively adjust a distance between one of the at least two separate frame portions of the split frame of the immobilization apparatus and the patient support;

the separate adjusters being separate from one another such that the distance between one of the at least two separate frame portions of the split frame of the immobilization apparatus and the patient support at one of the separate locks is adjustable independent of the distance between the one of the at least two separate frame portions and the patient support at another one of the separate locks.

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11. A system for immobilizing an anatomy of a patient, the system comprising:

- a patient support configured to support the patient, the patient support including a plurality of spaced protrusions or pins;
- an immobilization apparatus configured to be coupled to the patient support, the immobilization apparatus including
  - a preform formed from a low melting temperature thermoplastic and configured to be formed to the anatomy of the patient,
  - a frame attached to the preform and configured to be positioned adjacent the anatomy of the patient, the frame defining a plurality of openings or channels each sized to receive one of the protrusions or pins;
- separate locks mounted to the frame each configured to lock the immobilization apparatus relative to the patient support, each of the separate locks having a plunger extending along a plunger axis, and each of the plungers being movable along the respective plunger axis between a locked position and an unlocked position;
- separate adjusters each configured to be associated with a corresponding one of the separate locks and each configured to selectively adjust a distance between the frame and the patient support along the plunger axis of the corresponding one of the separate locks while the immobilization apparatus is coupled to the patient support, the separate adjusters being separate from one another such that the distance between the frame and the patient support along the plunger axis of the corresponding one of the separate locks is adjustable independent of the distance between the frame and the patient support along the plunger axis of another one of the separate locks, each of the separate adjusters being further configured to extend about the plunger axis of the corresponding one of the separate locks;
- the openings or channels being positioned to facilitate alignment with the patient support such that the frame of the immobilization apparatus is positionable on the patient support for locational accuracy to ensure the repeatability of placement of the immobilization apparatus on the patient support.

12. The system according to claim 11, further comprising: an interposed component interposed between the patient support and the frame;

the separate adjusters being integrated into the interposed component.

13. The system according to claim 12 wherein the interposed component comprises a cushion support.

14. The system according to claim 11 wherein the frame is a split frame including at least two separate frame portions configured to be positioned adjacent portions of the anatomy of the patient;

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the preform is coupled to the at least two separate frame portions and extends therebetween;

the separate locks are mounted to each of the at least two separate frame portions of the split frame of the immobilization apparatus; and

the separate adjusters are each configured to selectively adjust a distance between one of the at least two separate frame portions of the split frame of the immobilization apparatus and the patient support;

the separate adjusters being separate from one another such that the distance between one of the at least two separate frame portions of the split frame of the immobilization apparatus and the patient support at one of the separate locks is adjustable independent of the distance between the one of the at least two separate frame portions and the patient support at another one of the separate locks.

15. A method for immobilizing an anatomy of a patient relative to a patient support, the method comprising:

- forming a preform of an immobilization apparatus to the anatomy of the patient;
- positioning separate locks of a frame of the immobilization apparatus for locking the immobilization apparatus relative to the patient support such that a plunger or pin of each of the separate locks is movable along a plunger or pin axis between a locked position and an unlocked position;
- selectively adjusting a distance between the frame and the patient support along the plunger or pin axis of a corresponding one of the separate locks with a separate adjuster associated with the corresponding one of the separate locks;

wherein the distance between the frame and the patient support along the plunger or pin axis of the corresponding one of the separate locks is adjusted independent of the distance between the frame and the patient support along the plunger or pin axis of another one of the separate locks, each of the separate adjusters being further configured to extend about the plunger or pin axis of the corresponding one of the separate locks.

16. The method of claim 15, further comprising:

- selectively adjusting a distance between the frame and the patient support along the plunger or pin axis of another one of the separate locks with a separate adjuster associated with the another one of the separate locks;

wherein the distance between the frame and the patient support at the plunger or pin axis of the one of the separate locks is adjusted independent of the distance between the frame and the patient support at the plunger axis of the another one of the separate locks.

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